

City of Portland, Maine
Joint City / School Finance Committee Meeting
Thursday, November 29, 2018
5:30 PM, City Hall, Room 209

AGENDA

1. Introductions

2. Portland Public Schools FY20 Preliminary Budget Discussion

Members of the Portland Public Schools (PPS) Board of Education and PPS staff will be present to discuss the financial outlook for PPS in FY20 including details of further decreases in State of Maine funding for education. Discussion of budget goals for FY20 will also occur. This item is a discussion item only – no action will be taken at the current meeting.

3. Fiscal Impact Notes

The Committee will receive a proposal from Councilor Cook in regards to adding fiscal impact notes to each City Council item with a budget impact of over \$100,000. This item is a discussion item only – no action will be taken at the current meeting.

4. LED Streetlights Update (Public Hearing and Vote)

In October 2017 the City Council unanimously approved an \$8M contract with TEN Connected Solutions in support of the City's goal to convert streetlights to LED. In advance of that approval a two phase financing proposal for the project was presented to the City Finance Committee. At the current meeting the Finance Committee will re-review the financing proposal and receive a report on phase one. A Public Hearing and Committee vote on this item are scheduled.

5. Adjournment

Joint Finance Committee Meeting

FY2020 Budget Update - Portland Public Schools

November 29, 2018



PORTLAND PUBLIC SCHOOLS

PPS & Portland Delegation Meeting

- Met with members of Portland Delegation on 11/19/2018
- Discussed FY2020 projections and valuation impact
- Discussed possible changes to formula or funding to assist PPS
 - Disadvantaged student targeted funds increased
 - ELL targeted funds increased
 - Debt ceiling for DOE increased
 - Teacher retirement shift
 - What does 55% look like for Portland?



Effect of Valuation on State Subsidy - Updated to include 3 year avg.

	Valuation	Mill Rate	Local Expectation	Operating Allocation	Subsidy Total	Change
2017	7,603,600,000	8.30	63,109,880	78,666,602	15,556,722	n/a
2018	7,751,666,667	8.19	63,486,150	80,107,520	16,621,370	1,064,648
2019	8,248,950,000	8.48	69,951,096	87,271,453	17,320,357	698,987
2020	8,515,800,000	8.48	72,213,984	87,043,138	14,829,154	-2,491,203
2021	9,079,633,333	8.48	76,995,291	88,647,950	11,652,659	-3,176,495
2022	9,636,530,000	8.48	81,717,774	89,977,669	8,259,895	-3,392,765

1st line uses the two year average valuation (repealed)

2nd line uses the three year average valuation



PORTLAND PUBLIC SCHOOLS

Accelerated Mill Rate - 3% per year - Updated to include 3 year avg.

	Valuation	Mill Rate	Local Expectation	Operating Allocation	Subsidy Total	Change
2017	7,603,600,000	8.30	63,109,880	78,666,602	15,556,722	n/a
2018	7,751,666,667	8.19	63,486,150	80,107,520	16,621,370	1,064,648
2019	8,248,950,000	8.48	69,951,096	87,271,453	17,320,357	698,987
2020	8,515,800,000	8.73	74,380,404	87,043,138	12,662,734	-4,657,623
2021	9,079,633,333	9.00	81,684,304	88,647,950	6,963,646	-5,699,088
2022	9,636,530,000	9.27	89,295,218	89,977,669	682,451	-6,281,196

1st line uses the two year average valuation (repealed)
 2nd line uses the three year average valuation



PORTLAND PUBLIC SCHOOLS

FY2020 Local Expectation Increase

- In August 2018, Legislature changed formula to three year valuation (two year used in FY2019)
 - Scaled back average valuation by \$260,000,000
- The increase in the mill rate for the last few years was:
 - FY2016 to FY2017 was 0.85% (8.30)
 - FY2017 to FY2018 was -1.3% (8.19)
 - FY2018 to FY2019 was 3.5% (8.48)

	Valuation	Inc. in Mill Rate	Mill Rate	Local Expectation	Operating Allocation	Subsidy Total	Change
2020	8,515,800,000	0%	8.48	72,213,984	87,043,138	14,829,154	-2,491,203
2020	8,515,800,000	1%	8.56	72,936,124	87,043,138	14,107,014	-3,213,343
2020	8,515,800,000	3.5%	8.78	74,741,473	87,043,138	12,301,665	-5,018,692



FY2020 Projection - 3.9% Expenditure Increase

- By applying expenditure accumulators - projected to be \$114.8M
 - BFOF debt service year 1 (\$972,000)
 - \$4,258,075 in expenditure increase
 - Updated from 10/23/2018 - we were informed our teacher retirement contributions are expected to increase by almost 5% for FY2020
- If we assume we lost \$2,196,460 in State Aid (mill rate flat) then...
 - Taxes would increase 5.5%
- If we assume we lost \$4,723,949 in State Aid (mill rate increase by 3.5%)
 - Taxes would increase 8.3%
- **Does not include Pre-K**
- **Does not include programmatic shifts at Bayside**
- **Does not include potential savings from Enrollment & Facility Study**

These projections are ONLY based on accumulators, this is not indicative of the budget that the Superintendent will be submitting in March 2019



2.5% Tax Increase - What does that look like?

	Mill Rate	State Aid	Tax Levy - 2.5% Increase	Other Revenue	Total Budget	Change from FY2019	% Change from FY2019	Change from FY2020 Projection	% Change from Project.
Flat Mill Rate	8.48	\$16,133,456	\$91,348,000	\$6,224,930	\$113,706,386	\$3,177,670	2.87%	(\$1,130,405)	(0.98%)
1% Inc.	8.56	\$15,411,316	\$91,348,000	\$6,224,930	\$112,984,246	\$2,455,530	2.22%	(\$1,852,545)	(1.61%)
3.5% Inc.	8.78	\$13,605,967	\$91,348,000	\$6,224,930	\$111,178,897	\$650,181	0.59%	(\$3,657,894)	(3.19%)



FY2020 Budget Timeline - joint meetings

- January joint-meeting to discuss budget guidance
- Assuming a mid-March budget presentation:
 - Late March joint-meeting to present Superintendent's budget
 - Mid April joint meeting to present Finance Committee's budget
 - Late April joint meeting to present Public Board of Education's budget - referred from City Council



Portland Public Schools
Multi-year Budget Estimate
General, Adult Ed, and Food Services Funds
Revenue and Other Funding Sources
November 8, 2018

		Projection					
		FY19 Final	FY2020	FY2021	FY2022	FY2023	FY2024
Local Revenue (non-tax)	General	\$ 424,376	424,376	432,864	441,521	450,351	459,358
	Adult Ed	195,000	195,000	205,000	205,000	215,000	215,000
	Food Services	410,225	410,225	414,327	418,471	422,655	426,882
	<i>Total Local Revenue</i>	1,029,601	1,029,601	1,052,191	1,064,991	1,088,006	1,101,240
State Revenue	EPS	12,677,092	11,286,240	8,217,105	4,913,011	4,913,011	4,913,011
	SEED withholding		(500,000)	(520,000)	(530,000)	(540,000)	(540,000)
	Debt Service Reimb	3,662,244	3,542,914	3,435,554	3,346,884	3,277,346	3,227,346
	Other	364,530	371,821	379,257	386,842	394,579	402,471
	Adult Ed	449,040	467,002	485,682	505,109	525,313	546,326
	Food Services	40,000	33,999	34,000	34,000	34,000	28,000
	<i>Total State Revenue</i>	17,192,906	15,201,975	12,031,598	8,655,846	8,604,249	8,577,153
Federal Revenue	General	690,000	690,000	740,000	765,000	790,000	790,000
	Food Services	2,882,508	2,882,508	2,954,571	3,028,435	3,104,146	3,181,749
	<i>Total Federal Revenue</i>	3,572,508	3,572,508	3,694,571	3,793,435	3,894,146	3,971,749
Total Non-tax Revenue		\$ 21,795,015	\$ 19,804,084	\$ 16,778,359	\$ 13,514,272	\$ 13,586,402	\$ 13,650,143
Use of Fund Balance	General Fund	518,789	500,000	450,000	450,000	400,000	400,000
	Food Services	211,481	200,000	200,000	200,000	150,000	150,000
	Adult Education	50,000	50,000	50,000	-	-	-
		780,270	750,000	700,000	650,000	550,000	550,000
Property Taxes	General Education	86,406,334	94,282,707	101,822,785	110,534,653	115,677,108	122,043,254
	Adult Education	1,597,097		-	-	-	-
	Food Services	-	-	-	-	-	-
	<i>Total Property Tax</i>	88,003,431	94,282,707	101,822,785	110,534,653	115,677,108	122,043,254
Total Revenue		\$ 110,528,716	\$ 114,836,791	\$ 119,301,144	\$ 124,698,925	\$ 129,813,509	\$ 136,243,397
Tax Rate		11.14	\$ 11.79	\$ 12.68	\$ 13.71	\$ 14.30	\$ 15.03
Tax Rate Increase		0.53	\$ 0.65	\$ 0.89	\$ 1.03	\$ 0.58	\$ 0.73
%		5.0%	5.8%	7.6%	8.2%	4.3%	5.1%
<i>Valuation</i>		<i>7,900,000,000</i>	<i>8,000,000,000</i>	<i>8,030,000,000</i>	<i>8,060,000,000</i>	<i>8,090,000,000</i>	<i>8,120,000,000</i>

Portland Public Schools
Multi-year Budget Estimate
General, Adult Ed, and Food Services Funds
Revenue and Other Funding Sources
November 8, 2018

		Projection				
	FY19 Final	FY2020	FY2021	FY2022	FY2023	FY2024
<i>* Debt Service reimbursements include estimated Hall ES starting in FY2019</i>						
BFOF Debt Service		972,000	950,400	928,800	907,200	885,600
BFOF Tax Rate Increase		0.12	0.12	0.12	0.11	0.11
Other Tax Rate Increase		0.52	0.78	0.92	0.47	0.62
		4.7%	6.6%	7.2%	3.4%	4.4%

		Projection				
	FY19 Final	FY2020	FY2021	FY2022	FY2023	FY2024
Single Family Home Valuation	240,000	240,000	240,000	240,000	240,000	240,000
Tax Increase	127.20	154.88	214.79	248.08	140.35	175.48
BFOF Tax Increase		29.16	28.41	27.66	26.91	26.18

Portland Public Schools
Multi-year Budget Estimate
General, Adult Ed, and Food Services Funds
Expenditures
November 8, 2018

Projection

		FY2019 Final	FY2020	FY2021	FY2022	FY2023	FY2024
Wages	Regular	\$ 62,878,340	\$ 64,450,299	\$ 66,061,556	\$ 68,043,403	\$ 70,084,705	\$ 72,187,246
	Temp/Sub/Tutor/OT	1,999,181	2,029,169	2,059,606	\$ 2,121,394	\$ 2,185,036	\$ 2,250,587
	Add pay/Stipends/Sick payout	2,444,612	2,481,281	2,518,500	\$ 2,594,055	\$ 2,671,877	\$ 2,752,033
	<i>Total Wages</i>	67,322,133	68,960,748	70,639,663	72,758,852	74,941,618	77,189,867
Benefits	Health	14,564,525	15,584,042	16,674,925	17,842,169	19,091,121	20,427,500
	Pension--Teacher	2,135,105	2,305,913	2,375,091	2,565,098	2,642,051	2,853,415
	Pension--all other	1,212,211	1,248,577	1,286,035	1,324,616	1,364,354	1,405,285
	Workers Comp	695,670	751,324	811,429	876,344	946,451	1,022,167
	Medicare	948,774	972,493	996,806	1,021,726	1,047,269	1,073,451
	All other	524,086	534,568	545,259	556,164	567,288	578,633
	<i>Total Benefits</i>	20,080,371	21,396,917	22,689,544	24,186,117	25,658,534	27,360,451
Contract Services	Professional & Technical Svcs	1,439,851	1,483,047	1,527,538	1,573,364	1,620,565	1,669,182
	Employee Training/Dev	450,053	463,555	477,461	491,785	506,539	521,735
	SPED Contracted Svcs	288,400	297,052	305,964	315,142	324,597	334,335
	Student Transportation	230,211	237,117	244,231	251,558	259,105	266,878
	Student Transportation--Homeless	50,000	51,500	53,045	54,636	56,275	57,964
	SPED Student Transportation	50,000	51,500	53,045	54,636	56,275	57,964
	SPED Tuition	686,613	707,211.39	728,428	750,281	772,789	795,973
	Other Tuition	90,832	93,557	96,364	99,255	102,232	105,299
	Legal Services	140,000	144,200	148,526	152,982	157,571	162,298
	Utilities	2,261,340	2,329,180	2,399,056	2,471,027	2,545,158	2,621,513
	Repair & Maintenance	2,639,135	2,718,309	2,799,858	2,883,854	2,970,370	3,059,481
	Rentals & Leases	384,334	395,864	407,740	419,972	432,571	445,548
	Liability Insurance	428,281	441,129	454,363	467,994	482,034	496,495
	Other Services	635,955	655,034	674,685	694,925	715,773	737,246
	<i>Total Contractual Services</i>	9,775,005	10,068,255	10,370,303	10,681,412	11,001,854	11,331,910

Portland Public Schools
Multi-year Budget Estimate
General, Adult Ed, and Food Services Funds
Expenditures
November 8, 2018

Projection

		FY2019 Final	FY2020	FY2021	FY2022	FY2023	FY2024
Supplies	Education Supplies	945,083	963,985	983,264	1,002,930	1,022,988	1,043,448
	Tech Related Supplies	136,122	138,844	141,621	144,454	147,343	150,290
	General Supplies	620,107	626,308	632,571	638,897	645,286	651,739
	Custodial Supplies	236,000	238,360	240,744	243,151	245,583	248,038
	Software Licenses	641,111	666,755	693,426	721,163	750,009	780,010
	Gasoline	90,421	91,325	92,238	93,161	94,092	95,033
	Food/Non-food supplies	1,653,190	1,686,254	1,719,979	1,754,378	1,789,466	1,825,255
	<i>Total Supplies</i>	4,322,034	4,411,832	4,503,843	4,598,133	4,694,767	4,793,813
Other Costs	Field Trip Transportation	335,779	340,816	345,928	351,117	356,384	361,729
	Miscellaneous	398,057	390,000	390,000	390,000	390,000	390,000
	Capital	149,419	250,000	250,000	250,000	250,000	250,000
	<i>Total Other Costs</i>	883,255	980,816	985,928	991,117	996,384	1,001,729
Debt Service*	Bond DS	7,963,333	8,780,690	9,874,330	11,038,294	12,120,352	14,165,627
	Loans/Leases	232,585	237,533	237,533	245,000	250,000	250,000
	<i>Total Debt Svc</i>	8,195,918	9,018,223	10,111,863	11,283,294	12,370,352	14,415,627
Total Expenditures		\$ 110,578,716	\$ 114,836,791	\$ 119,301,144	\$ 124,498,925	\$ 129,663,509	\$ 136,093,397
Variance		\$ 4,830,127	\$ 4,258,075	\$ 4,464,353	\$ 5,197,781	\$ 5,164,584	\$ 6,429,888
		4.6%	3.9%	3.9%	4.4%	4.1%	5.0%
		2,129,438	-	-	-	-	-
		-	972,000	950,400	928,800	907,200	885,600
		2,129,438	972,000	950,400	928,800	907,200	885,600
		2,700,690	3,286,075	3,513,953	4,268,981	4,257,384	5,544,288
		2.55%	2.97%	3.06%	3.58%	3.42%	4.28%

Section 2: Operating Cost Allocations

from page 1

A) Subsidizable Pupils (Includes Student Transfers)			4YO/PreK	K-8	9-12	Total
5)	October	2017	125	4,536	2,026	6,687
6)	October	2018	115	4,540	2,098	6,753

B) Basic Counts			Ave Calendar Year Pupils	Declining Enrollment	SAU EPS Rates from Page 1	Basic Cost Allocations
1)	4YO/PreK Pupils	October Only	115.0	-	7,091	815,465.00
2)	K-8 Pupils	October Only	4,538.0	-	7,091	32,178,958.00
3)	9-12 Pupils	October Only	2,062.0	-	7,534	15,535,108.00
4)	Adult Education Courses at 0.1	October Only	23.6		7,534	177,802.40
5)	4YO/PreK Equiv. Instruction Pupils	October Only	0		7,091	-
6)	K-8 Equiv. Instruction Pupils	October Only	0.375		7,091	2,659.13
7)	9-12 Equiv. Instruction Pupils	October Only	0.125		7,534	941.75

C) Weighted Counts			Pupils	EPS Weights	SAU EPS Rates from Page 1	Weighted Cost Allocations
1)	4YO/PreK Disadvantaged	50.85%	58.5	0.15	7,091	62,223.53
2)	K-8 Disadvantaged	50.85%	2,307.6	0.15	7,091	2,454,478.74
3)	9-12 Disadvantaged	50.85%	1,048.6	0.15	7,534	1,185,022.86
4)	4YO/PreK Limited English Proficiency		28.00	0.525	7,091	104,237.70
5)	K-8 Limited English Proficiency		1076.00	0.525	7,091	4,005,705.90
6)	9-12 Limited English Proficiency		393.00	0.525	7,534	1,554,452.55

D) Targeted Funds			Pupils	EPS Weights	EPS Targeted Amounts	Targeted Cost Allocations
1)	4YO/PreK Student Assessment		115.0		50	5,719.53
2)	K-8 Student Assessment		4,538.0		50	225,697.43
3)	9-12 Student Assessment		2,062.0		50	102,553.57
4)	4YO/PreK Technology Resources		115.0		109	12,489.58
5)	K-8 Technology Resources		4,538.0		109	492,849.49
6)	9-12 Technology Resources		2,062.0		327	673,923.46
7)	4YO/PreK Pupils		115.0	0.10	7,091	81,546.50
8)	K-2 Pupils		1,550.5	0.10	7,091	1,099,459.55
9)	4YO/PreK Disadvantaged Targeted		58.5	0.05	7,091	20,743.50
10)	K-8 Disadvantaged Targeted		2,307.6	0.05	7,091	818,236.46
11)	9-12 Disadvantaged Targeted		1,048.6	0.05	7,534	394,973.66

E) Isolated Small School Adjustment						
1)	PreK-8 Small School Adjustment					56,794.79
2)	9-12 Small School Adjustment					-

Section 2: Operating Allocation Totals

	62,061,931.59
Percentage of EPS Transition Amount	100%
Adjusted Total Operating Allocation Amount	62,061,931.59

Section 3: Other Allocations

		Base Year Expenditure	Inflation Adjustment		
A) Other Subsidizable Costs					
1)	Gifted and Talented Expenditures from 2015-2016	368,070.43	101.30%	372,855.35	
2)	Special Education - EPS Allocation			12,487,590.51	same
3)	Special Education - High Cost Out of District			114,903.00	same
4)	Transportation Operating - EPS Allocation			2,579,346.93	same
5)	Approved Bus Payments for 2015-2016			101,669.00	same
Total Other Subsizeable Costs				15,656,364.79	

B) Teacher Retirement Amount (Normalized Cost)

2,243,789.52

Total Adjusted Operating Allocation (page 2) plus Total other Subsizeable Costs plus Teacher Retirement

79,962,085.89

C) Debt Service Allocations

1)	Town/District	Payment Date	Name of Project	Principal	Interest	Total
	Portland	8/1/2018	East End	140,625.00	12,734.38	153,359.38
		11/1/2018	East End	337,837.00	56,408.02	394,245.02
		2/1/2019	East End	-	10,625.00	10,625.00
		5/1/2019	East End	-	53,029.65	53,029.65
		10/1/2018	Ocean Ave	707,000.00	170,121.88	877,121.88
		4/1/2019	Ocean Ave	-	155,981.88	155,981.88
		8/1/2018	Hall	1,165,563.26	440,728.61	1,606,291.87
		2/1/2019	Hall	-	411,589.53	411,589.53
2)	Total Debt Service Interest Payments			2,351,025.26	1,311,218.95	3,662,244.21
3)	Approved Lease for 2016-2017					-
4)	Approved Lease Purchase for 2016-2017					-
	Total Debt Service Allocation					3,542,914.00
	Total Combined Allocations					83,504,999.89

Section 4: Calculation of Required Local Contribution - Mill Expectation

A) Subsidizable Pupils (Excludes Superintendent Trasnfers) by Member Municipality

Member Municipality	Average Calendar Year Subsidizable Pupils	Percentage of total Pupils	Allocations	Debt Service	Total Allocation
Portland	6,729.50	100%	79,962,085.89	3,542,914.00	83,504,999.89

B) State Valuation by Member Municipality

Member Municipality	2016/2017/2018 State Valuation	Mill Expectation	Total Local Share
Portland	8,515,800,000	8.48	72,213,984.00

C) Required Local Contribution

Member Municipality	Total Allocation by Municipality	Required Local Contribution by Municipality	Calculated Mill Rate	State Contribution by Municipality
Portland	83,504,999.89	72,213,984.00	8.48	11,291,015.89

	Value		Increase in Value		
FY2021	9,079,633,333	8.99	563,833,333	81,625,903.67	9,411,919.67
FY2022	9,636,530,833	9.26	556,897,500	89,234,275.52	7,608,371.85

Section 5: Totals and Adjustments

		Total Allocation	Local Contribution	State Contribution
A)	Total Allocation, Local Contribution, and State Contribution	83,504,999.89	72,213,984.00	11,291,015.89
	Totals after adjustment to Local and State Contributions	83,504,999.89	72,213,984.00	11,291,015.89
B)	Other Adjustments to State Contribution			
1)	Plus Audit Adjustment			-
2)	Less Audit Adjustment			-
3)	Less Adjustment for Unappropriated Local Contribution			-
4)	Less Adjustment for Unallocated Balance in Excess of 3%			-
5)	Special Education Budgetary Hardship Adjustment			-
6)	Career & Technical Education Center Allocation			3,348,352.01
7)	Plus Long-Term Drug Treatment Centers Adjustment			-
8)	Regionalization and efficiency assistance			189,786.00
9)	Bus Refurbishing Adjustment			-
10)	Less MaineCare Seed - Private			-
11)	Less MaineCare Seed - Public			-
			Adjusted State Contribution	14,829,153.91
	Local and State Percentages Prior to Adjustments	Local	86.48% State	13.52%
	Local and State Percentages After Adjustments	Local	82.24% State	17.76%
			FY2019	17,320,357.21
				(2,491,203.30)



To: Portland Board of Public Education
Portland City Council
Xavier Botana, Superintendent of Schools
Jon Jennings, City Manager
Brendan O'Connell, City Finance Director
From: Alicia Gardiner, Executive Director of Budget & Finance
Re: FY2020 State Funding Projection
Date: November 8, 2018

The attached document titled "FY2020 EPS Worksheet - Projection" plugs some known data and projected accumulators into the school funding formula to determine the changes that we may expect to see for our FY2020 state education subsidy. I will attempt to outline the changes to explain how it affects our revenue.

Section 1

Attending Pupils: The student enrollment count as of October 1, 2018 was 6,765. This does not always mean that the state won't make adjustments but for now, I used the known numbers.

Actual FTE Staffing Counts: The certified staffing report will be submitted later this evening and the DOE may make adjustments. I reduced staffing based on the changes made during the FY2019 budget process.

System Administration/Support: The system administration support went from 92 dollars per student in FY2019 to 43 dollars per student in FY2020. We will also see an adjustment on page 5 for targeted system administration support as we will be part of shared cost center as approved by the voters earlier this week (about \$190k in FY2020).

Section 2

Disadvantaged Percentage: We believe that we have a 5% drop in reported free and reduced lunch students, going from 55.85% to 50.85%. We are still working diligently to ensure that parents are filling out the appropriate forms to qualify and believe this is an artificial drop. It does however affect our weight counts for subsidy purposes.



Limited English Proficiency: The state has changed the threshold for students who qualify under the weighted count for limited english proficiency and we believe we will lose approximately 10% of our weighted students in this category.

Section 3

Teacher Retirement: We were just informed that the teacher retirement contribution for the school department will go from 3.97% to 4.16%, an increase of just under 5%. I did not adjust the teacher retirement amount here but I would expect that would also increase to account for the increase in employer cost. An increase would yield more subsidy.

Debt Service: The schedule is from FY2020 but the amount we will receive is set at \$3.542,914.

Section 4

State Valuation: In August 2018 the legislature voted to let municipalities go back to the three year average valuation or the previous year valuation, whichever is lower. Had we continued to use the two year average valuation for the upcoming year (as we did in FY2019), the local expectation would have been higher by \$2.2M. Per the City Assessor, approximately \$140.8M was sheltered in the 2019 valuation which will be part of the FY2021 subsidy calculation. Even at the current year mill rate, that is a savings of \$1.2M in local share responsibility.

Mill Expectation: The subsidy projection used in the multi-year budget format uses a flat mill rate. While we anticipate the level of funding will remain flat from FY2019, the total cost of education will rise, thus raising the mill expectation from the member municipalities. The prior year increase was 3.5% and two years prior it was just under 1%. A rise in the mill rate will mean a higher total local share and lower subsidy amounts.

Section 5

CTE Allocation: The CTE allocation is given on top of the regular allocation and is not subject to local share responsibility. I used a 1.5% estimated increase from the FY2019 allocation.



PORTLAND PUBLIC SCHOOLS
prepared & empowered

Regionalization and Efficiency Assistance: This is the portion of the system administration that we receive from regionalization efforts. In actuality, we are supposed to receive \$94 per student or \$632,620 but because our state contribution is less than 30%, we get the minimum 30% amount of \$189,786.

Summary

At a flat mill rate, we anticipate that we will lose approximately \$2.5M in State subsidy. As it is unlikely that the mill rate will stay flat or decline, this is a best case scenario.



TO: Members of the Rules and Reports Committee

FROM: Kim Cook, District 5 City Councilor

CC: City Council; Jon P. Jennings, City Manager

DATE: November 18, 2018

SUBJECT: Fiscal Impact Statement Proposal

Overview: Fiscal impact statements (or fiscal notes) ensure a thorough analysis of fiscal impacts and ensures that policy makers and the public are aware of the financial impact of a particular item (i.e. the costs, savings and/or revenue increases or decreases). In Portland, fiscal impact information is not always presented consistently - it is presented by different Departments in different formats and do not always make clear ongoing versus one-time costs, or the fiscal year of the anticipated cost. The objective of this proposal is to implement a process whereby fiscal impacts of Council actions are more fully analyzed and considered before the Council takes final action on items.

I would propose that fiscal impact statements come as a companion order to the primary item being considered by the full Council. The Council would either act on the fiscal impact statement separately (by taking a vote to accept it, reject it, or amend it) or by adopting the fiscal impact statement as an amendment to the primary item. I would further suggest that the City Council include in the fiscal impact statement its recommendation of how to fund the item (e.g., raise property taxes or reduce/eliminate certain existing spending). I have suggested this fiscal impact statement process be applied only to items with an impact of \$50,000 or more in a fiscal year.

1. BACKGROUND

The State Legislature employs a fiscal note process which presents a brief description of the effect of a bill or amendment on the finances of Maine State Government. The fiscal notes are prepared by the Office of Fiscal and Program Review (OFPR), a non-partisan staff office of the Legislature. For original bills, fiscal impact statements are distributed as separate document from OFPR to the bill's sponsor and the committee of reference. Fiscal notes are intended to accurately and objectively describe the fiscal impact of bills and amendments so that the Legislature can make informed decisions with respect to state and local government finances. In the state process, a bill that has a fiscal note cannot be enacted unless it is "funded" by the Appropriations Committee. Complete details of the State fiscal impact process can be found at the following link:

https://www.maine.gov/legis/ofpr/other_publications/fiscalnote_process/overview124.htm

2. FORM OF FISCAL IMPACT STATEMENT & DEPTH OF ANALYSIS

I would propose that any item that either results in an expenditure or loss of revenue of \$50,000 in a given fiscal year be subject to this fiscal impact statement process. A \$50,000 expenditure added into the City budget could trigger a 1 cent increase in the mil rate (depending on rounding) and could therefore trigger a corresponding increase in taxes for property owners within the City.

The State of Maine fiscal note process focuses on the direct fiscal impacts of legislation and this is the approach I would recommend for Portland.

Per the State website: *“The fiscal impacts described in fiscal notes concentrate on the direct fiscal impact of the legislation on state government expenditures and revenue and the costs to local units of government. Fiscal notes do not try to assign a monetary value to the social benefits of a piece of legislation or conduct extensive modeling to determine the secondary and tertiary economic impacts (also known as “dynamic” analysis). While these indirect impacts are not included in the fiscal note, it does not mean that they are necessarily neglected by legislators.”*

I would recommend that the City analyze projected costs, revenues or savings for a 3-year period, and include additional fiscal detail and information where staff believes that is helpful. I would also propose that the fiscal note include the impact on the City tax levy. The impact could be shown in both dollars and percentage (i.e. overall increase to FY20 mil rate of \$0.04 or 0.1% etc.) and potentially include the impact on an average home.

SAMPLE FISCAL IMPACT FROM STATE OF MAINE LEGISLATURE

Preliminary Fiscal Impact Statement

	2009-10	2010-11	Projections 2011-12	Projections 2012-13
Net Cost (Savings)				
General Fund	\$105,000	\$150,000	\$155,000	\$160,000
Appropriations/Allocations				
General Fund	\$105,000	\$150,000	\$155,000	\$160,000
Other Special Revenue Funds	\$0	\$45,000	\$45,000	\$45,000
Revenue				
Other Special Revenue Funds	\$0	\$45,000	\$45,000	\$45,000

Fiscal Detail and Notes

The Department of Testing will require a General Fund appropriation of \$105,000 in fiscal year 2009-10 and \$150,000 in fiscal year 2010-11 for a Senior Tester position and a Tester I position to prepare and conduct the tests required in section 2 of the bill. A \$45 fee on testing beginning in fiscal year 2010-11 will generate \$45,000 in Other Special Revenue Funds to fund an additional Tester I position in FY 2011.

**ORDER AUTHORIZING LEASE-PURCHASE AGREEMENT
RE: CONVERSION OF CITY STREET LIGHTS**

WHEREAS, pursuant to Order 73-17/18 adopted on October 16, 2017, the City Council approved an agreement with TEN Connected Solutions, Inc. for assistance with the conversion of city street lights to Light-emitting Diode (LED) technology (the “Project”), in an amount not to exceed \$8,000,000;

WHEREAS, pursuant to Order 74-17/18, also adopted on October 16, 2017, the City Council approved a lease purchase agreement with Bank of America Public Capital Group to finance \$4,000,000 of the costs of the Project; and

WHEREAS, pursuant to Section 2-314 of Chapter 2 of the Portland Code of Ordinances, the City Council now desires to authorize a second lease purchase agreement to finance the remaining \$4,000,000 of the costs of the Project;

NOW, THEREFORE, by the City Council of the City of Portland, Maine, **IT IS HEREBY ORDERED:**

1. That the City is authorized to enter into a municipal lease purchase agreement in an amount not to exceed \$4,000,000 and a term not to exceed 11 years, to lease finance the remaining costs of the Project (the “Lease Agreement”);

2. That the Lease Agreement shall be executed and delivered in the name of and on behalf of the City, by the City Manager under the seal of the City, attested by its Clerk, and shall be in such form and contain such terms and conditions, not inconsistent herewith, as may be approved by the City Manager, such approval and the approval of the City to be conclusively evidenced by his execution thereof; provided that any obligation of the City to make lease payments pursuant to such Lease Agreement shall be subject to annual appropriation by the City Council;

3. That such Lease Agreement shall **not** constitute a general obligation of the City nor be backed by a pledge of the faith and credit of the City or its taxing power, but shall be payable solely from annual appropriations therefore approved by the City Council;

4. That lease financing proposals to finance the Project shall be solicited and awarded pursuant to Article VII, Chapter 2 of the Portland Code of Ordinances;

5. That the Director of Finance be and hereby is authorized and directed to covenant and certify in the name of and on behalf of the City that no part of the proceeds of the Lease Agreement or the Project shall be used directly or indirectly in a manner that would cause the Lease Agreement to be a “private activity bond” or an “arbitrage bond” within the meaning of Sections 141 and 148 of the Internal Revenue Code of 1986, as amended;

6. That the Lease Agreement authorized by this Order are in addition to any lease agreement or other financing arrangement previously authorized or to be authorized for the same or similar purposes;

7. That the Director of Finance be and hereby is authorized to negotiate, execute, and deliver, in the name of and on behalf of the City such other contracts, agreements, documents and certificates, including an escrow agreement, as may be necessary or appropriate as determined and approved by the Director of Finance in connection with the execution and delivery of the Lease Agreement (the “Other Financing Documents”), which Other Financing Documents shall be in such form and contain such terms and conditions, not inconsistent herewith, as may be approved by the Director of Finance such approval and the approval of the City to be conclusively evidenced by his execution thereof;

8. That the Director of Finance, City Manager, Clerk and other proper officials of the City (the “Authorized Officials”) be and hereby are authorized and empowered in the name of and on behalf of the City to do or cause to be done all such acts and things, not inconsistent herewith, as may be necessary or desirable in order to effect the execution and delivery of the Lease Agreement;

9. That if any Authorized Official who has signed or sealed the Lease Agreement or Other Financing Documents shall cease to be an Authorized Official before the Lease Agreement so signed and sealed shall have been actually delivered by the City, such Lease Agreement nevertheless may be delivered with the same force and effect as though the person or persons who signed or sealed such Lease Agreement had not ceased to be such officer or official;

10. That if any Authorized Official is for any reason unavailable to approve and execute the Lease Agreement or any Other Financing Documents, the person or persons then acting in any such capacity, whether as an assistant, a deputy, or otherwise, is authorized to act for such Authorized Official with the same force and effect as if such Authorized Official had performed such act; and

11. That the investment earnings on the proceeds of the Lease Agreement, if any, be and hereby are authorized to be used to pay a portion of the costs of the Project or may be deposited into the City’s General Fund, at the discretion of the City Manager.

Order 73-17/18

Passage: 8-0 (Strimling absent) on 10/16/2017

Effective 10/26/2017

ETHAN K. STRIMLING (MAYOR)
BELINDA S. RAY (1)
SPENCER R. THIBODEAU (2)
BRIAN E. BATSON (3)
JUSTIN COSTA (4)

**CITY OF PORTLAND
IN THE CITY COUNCIL**

DAVID H. BRENERMAN (5)
JILL C. DUSON (A/L)
PIOUS ALI (A/L)
NICHOLAS M. MAVODONES, JR (A/L)

**ORDER APPROVING THE AGREEMENT WITH
TEN CONNECTED SOLUTIONS, INC. FOR
CONVERSION OF CITY STREET LIGHTS TO LED TECHNOLOGY**

ORDERED, that the Agreement with TEN Connected Solutions, Inc. for assistance with the conversion of city street lights to Light-emitting Diode (LED) technology, is hereby approved in substantially the form attached hereto; and

BE IT FURTHER ORDERED, that the City Manager is hereby authorized to execute the TEN Connected Solutions, Inc. Agreement and whatever other documents are necessary to effect the intent and purpose of the TEN Connected Solutions, Inc. Agreement.

**AGREEMENT BETWEEN THE
CITY OF PORTLAND
AND
TEN CONNECTED SOLUTIONS, INC.**

THIS AGREEMENT is entered into this _____ day of _____, 2017, by and between the **CITY OF PORTLAND**, a body politic and corporate (hereinafter the “**CITY**”), and **TEN CONNECTED SOLUTIONS, INC.**, a Delaware corporation with a mailing address of 1501 Reedsdale Street, Suite 401, Pittsburgh, PA 15233 (hereinafter the “**CONTRACTOR**”).

W I T N E S S E T H:

WHEREAS, the CITY is in need of a conversion of city street lights to LED fixtures and transfer of ownership of light fixtures from Central Maine Power Company to the City (the “Project”) and did advertise a Request for Proposals # 2917 entitled “Conversion of City Street Lights to LED Fixtures & Transfer of Ownership from Utility to Municipality,” dated October 6, 2016 (hereinafter, the “Request for Proposals”), a copy of which is attached as Exhibit A and made a part hereof; and

WHEREAS, the CONTRACTOR has the requisite knowledge and technical ability to perform the required services and has submitted a response to the Request for Proposals dated November 9, 2016 (the “Response”), a copy of which is attached as Exhibit B and made a part hereof; and

WHEREAS, after further discussing the Project with the CITY, CONTRACTOR has submitted a detailed proposal for the design and construction of the Project (the “Proposal”), a copy of which is attached hereto as Exhibit C and made a part hereof; and

WHEREAS, after due consideration, the CITY decided to award this contract to CONTRACTOR;

NOW, THEREFORE, in consideration of the mutual promises made by each party to the other, the parties covenant and agree as follows:

1. The CONTRACTOR will furnish the services, materials, supplies, equipment and labor (hereinafter the "Work") in accordance with the specifications contained in the Request for Proposals, the Response, and the Proposal.

The restatement in this document of any term of the Exhibits shall not be deemed to waive any term not so restated. If any disagreement is found between the Exhibits and this document, then this document shall govern; the Request for Proposals shall govern over the Proposal and the Response, to the extent they disagree; and the Proposal shall govern over the Response to the extent they disagree; provided, however, that this document and its attachments shall be construed to be supplemental to one another to the extent possible.

2. The CONTRACTOR covenants and agrees that all Work performed and furnished hereunder shall be in accordance with applicable professional standards, and that all Work shall be performed in a good workmanlike manner. Unless a longer warranty period is specified in the Exhibits hereto, all Work provided shall be warranted by the CONTRACTOR for one (1) full year from the date of completion of all Work hereunder and acceptance thereof by the CITY. Notwithstanding the foregoing, any longer period specified in the attachments shall stay in effect.
3. Prior to the execution of this Agreement, the CONTRACTOR shall, at its own expense, carry Professional Liability Insurance for errors, omissions and negligence, in the amount of One Million Dollars (\$1,000,000.00) per claim. The CONTRACTOR will also procure and maintain occurrence-based Automobile Liability Insurance and Commercial General Liability Insurance coverage in amounts of not less than Four Hundred Thousand Dollars (\$400,000.00) per occurrence for bodily injury, death and property damage, naming the CITY as an additional insured thereon, and also Workers' Compensation Insurance coverage to the extent required by law. With respect to the Automobile and Commercial General Liability Insurance, the CONTRACTOR shall name the CITY as an additional insured for coverage only in those areas where government immunity has been expressly waived by 14 M.R.S. A. § 8104-A, as limited by § 8104-B, and § 8111. This provision shall not be deemed a waiver of any defenses, immunities or limitations of liability or damages available to the CITY under the Maine Tort Claims Act, other Maine statutory law, judicial precedent, common law, or any other defenses, immunities or limitations of liability available to the CITY. Prior to execution of this Agreement, the CONTRACTOR shall furnish the CITY and thereafter maintain certificates evidencing all such coverages, which certificates shall guarantee thirty (30) days' notice to the CITY of termination of insurance from the insurance provider or agent. CONTRACTOR shall also provide a copy of any endorsement naming the CITY as additional insured. A certificate that merely has a box checked under "Addl Insr," or the like, or that merely states the City of Portland is named as an Additional Insured, will not be acceptable. The Workers' Compensation insurance shall include an endorsement waiving all rights of subrogation against the City of Portland, its officers or employees. Upon CITY'S request, CONTRACTOR shall provide CITY with a complete copy of any of the above-

referenced policies. CONTRACTOR shall be responsible for any and all deductibles and/or self-insured retentions.

4. The CONTRACTOR shall furnish to the CITY, upon execution of the Contract, a Contract Performance Bond and a Contract Labor and Materials Payment Bond each for the full amount of the construction phases of the Contract (Part 1, Phase 2; and Part 2, Phase 2) and issued by a surety company or surety companies authorized to do business in the State of Maine and approved by the CITY. The Bonds shall remain in effect for one year after final acceptance of the Work, and protect the CITY for at least one year of warranty of the Work hereunder, and also shall insure settlement of claims for the payment of all bills for labor, materials and equipment. The bonds shall specifically exclude coverage for those portions of the Contract or the Work pertaining to design services, the Project Savings Performance Guarantee, the Phase III Basic Services, and any other part of this Contract and the Contract Documents which do not relate specifically to construction management and supervision of Work for purchasing and installing of Equipment by Contractor, or for work to be accomplished by Portland.
5. To the fullest extent permitted by law, the CONTRACTOR shall defend, indemnify and hold harmless the CITY, its officers and employees, from and against all claims, damages, losses, and expenses, just or unjust, including, but not limited to, the costs of defense and attorney's fees arising out of or resulting from the performance of this Agreement, provided that any such claims, damage, loss or expense (1) is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property, including the loss of use therefrom, and (2) is caused in whole or in part by any act or omission of the CONTRACTOR, its contractors, subcontractors, consultants, agents, or representatives, and anyone directly or indirectly employed by or under the control of CONTRACTOR or those entities. Such obligation of indemnification shall not be construed to negate or abridge any other obligation of indemnification running to the CITY which otherwise exists. The extent of the indemnification provision shall not be limited by the provision for insurance in this Agreement. CONTRACTOR's obligations under this paragraph shall survive termination of this Agreement.
6. Prior to any payment, the CITY reserves the right to require Waivers of Lien for materials and labor from the CONTRACTOR and its subcontractors and suppliers guaranteeing One Hundred Percent (100%) performance, free and clear from all liens and encumbrances. Any mechanic's lien or any other lien which may be filed against the premises which are the subject of this Agreement by reason of the Work described herein shall be defended (by counsel reasonably acceptable to the CITY) and promptly discharged by the CONTRACTOR at its own expense. The CITY may require the CONTRACTOR to provide a bond satisfactory to the CITY to indemnify it against any lien and as a substitution in place of a lien. If the CONTRACTOR should fail either to defend the CITY against the lien or to discharge it, then the CITY may do so at the CONTRACTOR's expense. In the event of such an undertaking by the CITY, the CONTRACTOR will promptly reimburse the CITY for all its costs and expenses in so doing, including, but not limited to, reimbursement of the CITY's reasonable counsel fees, as well as costs which may be incurred by it in substituting a bond in place of a lien.

CONTRACTOR's obligations under this paragraph shall survive termination of this Agreement.

7. The CONTRACTOR shall perform the work to the reasonable satisfaction of the City Manager or his designee (hereinafter, the "Director") who will have the right of inspection at all times, and whose approval and acceptance of the work will be a condition precedent to payments by the CITY under this Contract. CITY inspectors will have the authority to stop work in progress if such work is being done contrary to the plans, specifications or engineering practice. The CONTRACTOR acknowledges and agrees that such inspection is for purposes of approving payment alone and such inspection shall not be deemed to impose any duty or liability upon the CITY to supervise any aspect of or approve the quality of the Work.
8. Time is of the essence in the performance of this Agreement. Upon receipt of executed contracts and insurance as required, the CITY will promptly send an executed contract to the CONTRACTOR, which will commence work as soon as reasonably possible. The CONTRACTOR agrees to complete the Work in accordance with the schedule set forth in Exhibit C, subject to delays caused by weather conditions, strikes, war or national emergency. The time set for such completion may be extended only by written consent of the Director, which will not be unreasonably withheld, conditioned or delayed.
9. In the event of any dispute as to the amount, nature or scope of the work required under this Contract, CITY shall give written notice of such dispute to CONTRACTOR via an overnight nationally recognized courier. Within ten (10) business days of the mailing of such notice, representatives of the CITY and CONTRACTOR shall meet and in good faith attempt to resolve the dispute. In the event the representatives of the CITY and CONTRACTOR are unable to resolve the dispute within ten (10) business days of their meeting, then based on the discussions held by the representatives of the CITY and CONTRACTOR, the Director or designee will make a reasonable determination as to the design and that determination will be final and binding.
10. For performance of all the terms and conditions of this Agreement, the CITY will pay the CONTRACTOR an amount not to exceed Four Million Dollars (\$4,000,000.00) for Part 1 of the Project as described in Exhibit C and an amount not to exceed Four Million Dollars (\$4,000,000.00) for Part 2 of the Project as described in Exhibit C, which will include any expenses. Contractor acknowledges and agrees that the City has not yet obtained financing for Part 2 of the Project, and Contractor shall not incur, and City shall not be responsible for, any expense related to Part 2 of the Project without the City's prior written approval.
11. The CITY shall have the right to retain ten percent (10 %) of each invoice amount as retainage until the Work is completed and accepted by the CITY.
12. The CONTRACTOR shall keep accurate records of all services performed under this Agreement and shall submit such information to the CITY on a monthly basis. Payment

for such Work shall be made to the CONTRACTOR not more than thirty (30) days after receipt of an invoice and acceptance of the Work by the Director or designee.

13. The CITY agrees to furnish or provide access to the CONTRACTOR to any information or material in its possession which is relevant to the CONTRACTOR's performance hereunder and CITY staff will cooperate with CONTRACTOR. The CONTRACTOR will not, without the CITY's written consent, disclose, or permit disclosure, by any officer, employee, or agent or subcontractor of CONTRACTOR, of any information or material furnished or generated under this Agreement, which is marked as confidential or indicated in (a) below as presumed to be confidential by the CITY. The CONTRACTOR shall be entitled to rely upon the accuracy of such information. The provisions of this Article shall not apply to information which is in the public domain, published, or comes into the public domain through no fault of the CONTRACTOR or is required to be disclosed by law or legal process.

The following shall be requirements of this Agreement:

- (a) All data collected shall be treated as confidential material and shall be disclosed *only* to authorized CITY representatives;
 - (b) The CONTRACTOR shall not disclose or permit disclosure of any confidential information or material furnished and/or generated under this Agreement without the CITY's prior written consent; and
 - (c) All documents, data, studies, estimates, summaries and any other work or material developed under this Agreement shall be the property of the CITY and shall be promptly delivered to the appropriate Department Contact person upon completion of a particular service/assignment or upon the request of the CITY.
14. If after thirty (30) days' prior written notice by CITY to CONTRACTOR, that is sent to CONTRACTOR via an overnight nationally recognized courier: (i) which notice sets forth the details of a material default by CONTRACTOR under this Agreement, and (ii) CONTRACTOR fails to reasonably cure such default within thirty (30) days of receipt of such notice, then the CITY may terminate this Agreement for cause by written Notice to the CONTRACTOR. In the event of such termination, the CONTRACTOR shall not be entitled to any further payment under this Agreement from the date of receipt of said Notice, except for the expenses of CONTRACTOR reasonably incurred by CONTRACTOR in good faith prior to the notice of termination for goods and services accepted by CITY.
15. The CITY shall have the right to terminate this Agreement at any time for its convenience on thirty (30) days' prior written Notice to the CONTRACTOR. If the Agreement is terminated by the CITY for convenience, the CITY shall pay the CONTRACTOR for all Work performed and all materials purchased pursuant to this Agreement prior to receipt of such Notice.

16. Out of concern for the public, CITY e employees and the CONTRACTOR's employees, all work performed by the CONTRACTOR shall be in conformance with pertinent OSHA, local, state and federal government regulations.
17. No waiver of any breach of any one or more of the conditions of this Agreement by the CITY shall be deemed to imply or constitute a waiver of any succeeding or other breach hereunder.
18. This Agreement and its attachments represents the entire and complete agreement and understanding between the parties and supersedes any prior agreement or understanding, written or oral, between the parties with respect to the subject matter of this Agreement. This Agreement cannot be amended except by written instrument executed by the CITY and CONTRACTOR.
19. This Agreement shall be construed in all respects in accordance with, and governed by, the laws of the State of Maine. All parties hereto hereby consent to the exclusive jurisdiction of the Superior Court for the County of Cumberland in the State of Maine, for all actions, proceedings and litigation arising from or relating directly or indirectly to this Agreement or any of the obligations hereunder, and any dispute not otherwise resolved as provided herein shall be litigated solely in said Court.
20. This Agreement may be executed in any number of counterparts and by different parties in separate counterparts. Each counterpart when so executed shall be deemed to be an original and all of which together shall constitute one and the same agreement. A signature in a pdf or electronic document shall be considered the equivalent of an original signature.
21. CONTRACTOR warrants and represents that it has the full right and authority to enter into this Agreement, that there is no impediment that would inhibit its ability to perform its obligations under this Agreement, and that the person signing this Agreement on behalf of CONTRACTOR has the authority to do so.
22. If any term of this Agreement is to any extent invalid, illegal, or incapable of being enforced, such term shall be excluded to the extent of such invalidity, illegality, or unenforceability; all other terms hereof shall remain in full force and effect.

Signature Page Follows

IN WITNESS WHEREOF, the said **CITY OF PORTLAND** has caused this Agreement to be signed and sealed by Jon P. Jennings, its City Manager, thereunto duly authorized, and **TEN CONNECTED SOLUTIONS, INC.**, has caused this Agreement to be signed and sealed by Robert G. Campbell, its President, thereunto duly authorized, as of the day and date first above written.

WITNESS:

CITY OF PORTLAND

By: _____
Jon P. Jennings
Its City Manager

WITNESS:

TEN CONNECTED SOLUTIONS, INC.

By: _____
Robert G. Campbell
Its President

Approved as to form:

Approved as to funds:

Corporation Counsel's Office

Finance Director



City of Portland, Maine

Request for Proposals – Conversion of City Street Lights to LED Fixtures & Transfer of Ownership from Utility to Municipality RFP #2917

The City of Portland, Maine is inviting qualified companies to submit proposals for the design and implementation of City-wide street light enhancements and conversions to LED fixtures. Proposals must be submitted no later than **3:00 p.m. on Wednesday, November 9, 2016**. Late, unsigned bids or bids submitted electronically shall not be accepted. Six (6) complete hard copies of your proposal, including any descriptive literature, shall be submitted on the forms provided and in an envelope plainly marked on the outside with the RFP's title and number along with one (1) digital copy on a flash drive.

Proposals from vendors not registered with the Purchasing Office may be rejected; receipt of this document directly from the City of Portland indicates registration. Should a vendor receive this Request from a source other than the City, please contact 207-874-8654 to ensure that your firm is listed as a vendor for this RFP.

GENERAL

Project Background

The City of Portland (City) incurs significant annual costs related to street lighting. Approximately 6,100 of the existing street lights in the City are owned by the local investor owned utility, Central Maine Power. The City owns another 600 lights in, primarily, decorative fixtures. Through this RFP, the City is interested in selecting a service provider to assist it in reducing street light costs by retrofitting these street lights to LED technology and enhancing their functionality by implementing advanced controls. The City anticipates the scope of work to include upgrading the pole mounted street lights as well as lighting in City parking facilities. The City wishes to explore the deployment of environmental sensors and other "smart city" elements in the LED conversion process.

The City seeks to build a network of LED streetlights with advanced controls that can serve as the backbone on which to deploy "smart city" technologies that offer increased functionality of infrastructure, innovative services to residents and visitors, and opportunities for public/private partnerships that may expand services while providing revenue streams to the City. Firms are invited to describe how elements of this vision may be incorporated into this project.

Inventories of utility owned street lights and City owned streetlights are included in this document.

Scope of Services

The City is seeking proposals from qualified service providers (referred to variously as “proposer”, “firm”, or “contractor”). This proposal is to be a turn-key proposal that includes: undertaking an IGA (Investment Grade Audit) of the street lights and their attributes, performing an independent and certified lighting design analysis which includes designing each unique street to either an RP 8-14 standards where applicable or at a standard to be specified; providing a comprehensive financial analysis to indicate ROI (Return On Investment), savings and payback period; completing all applicable incentive applications; carrying out all procurement requirements; applying on behalf of the City for all available grants and rebates relating to the LED conversion project; performing project management functions; undertaking or overseeing the LED luminaire installation and the recycling/disposal of all waste material; and identifying any Financing Options that the supplier can provide. The service provider must also demonstrate experience in performing street light acquisitions from Electric Utilities or submit a detailed approach to how best perform an acquisition and the options associated with an acquisition. The service provider must also have experience implementing advanced lighting controls to increase the functionality of the lighting system as well as experience deploying environmental sensors that can provide data to support a variety of “smart city” technologies including advanced traffic signal controls, parking control and enforcement, pedestrian and traffic counts, and other public safety functions. The City may also consider additional functionality to include public Wi-Fi, public information kiosks, and electric vehicle charging.

Conflict of Interest

The City seeks to work with firms that represent consumers, not suppliers, avoiding both the appearance, as well as any actual conflict of interest. Any subsequent disclosure of a conflict of interest after the award has been made, but which existed at the time of proposal submission, will be grounds for termination of any resulting contract

General

Pursuant to City procurement policy and ordinance, the City is unable to contract with businesses or individuals who are delinquent in their financial obligations to the City. These obligations may include but are not limited to real estate and personal property taxes and sewer user fees. Bidders who are delinquent in their financial obligations to the City must do one of the following: bring the obligation current, negotiate a payment plan with the City’s Treasury office, or agree to an offset which shall be established by the contract which shall be issued to the successful bidder.

It is the custom of the City of Portland, Maine to pay its bills 30 days following equipment delivery and acceptance, and following the receipt of correct invoices for all items covered by the purchase order. If your organization prefers to receive payment via electronic transfer rather than by check, please see the web link below* and include that EFT form with your bid submission. In submitting bids under these specifications, bidders should take into account all discounts; both trade and time allowed in accordance with this payment policy and quote a net price. The City is exempt from the State's sales and use tax as well as all Federal excise taxes.

* <http://www.portlandmaine.gov/DocumentCenter/Home/View/817>

All materials and equipment used as well as all methods of installation shall comply at a minimum with any and all Federal, OSHA, State and/or local codes, including applicable municipal ordinances and regulations.

Insurance Requirements

The successful bidder shall agree to defend, indemnify and save the City harmless from all losses, costs or damages caused by its acts or those of its agents, and, before signing the contract, will produce evidence satisfactory to the City's Corporation Counsel of coverage for General Public and Automobile Liability insurance in amounts not less than \$400,000 per person, for bodily injury, death and property damage, protecting the contractor and the City, and naming the City as an additional insured from such claims, and shall also procure Workers' Compensation insurance.

Equal Employment Opportunities

Vendor shall comply fully with the Nondiscrimination and Equal Opportunity Provisions of the Workforce Investment Act of 1998, as amended (WIA, 29 CFR part 37); the Nontraditional Employment for Women Act of 1991; title VI of the Civil Rights Act of 1964, as amended; section 504 of the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1975, as amended; title IX of the Education Amendments of 1972, as amended; and with all applicable requirements imposed by or pursuant to regulations implementing those laws, including but not limited to 29 CFR part 37 and all other applicable laws, including the Maine Human Rights Act, ordinances and regulations regarding equal opportunity and equal treatment.

October 6, 2016

Matthew F. Fitzgerald
Purchasing Manager

PROPOSAL FORMAT

Proposals must be submitted in the following format using the numbering sequence outlined below. This is the minimum information to be provided and will be used in the evaluation process. Proposals are to be limited to a maximum of 25 pages excluding addendums

A. Cover Letter

A cover letter signed by an authorized representative of the company of Proposer shall outline the intent of the response and shall state that the information contained in the Proposal accurately describes the services to be provided

B. Company Profile

- Provide a description of the your firm's company or business, its purpose, history and successes, including the number of years in LED street lighting business and major successes.
- List any similar projects completed or underway, the client/owner, and the approximate value of the work.
- Describe your firm's experience developing projects that conform to relevant state laws, local standards, and local Public Utility Commission rules.
- Provide examples where the firm has successfully assisted municipalities with evaluation and acquisition of their street lights from electric utilities.
- Describe the firm's experience deploying smart city and IoT (internet of things) technology as part of LED street lighting projects.
- Describe partnerships with vendors, technology providers, or service providers and describe how these have added value to previous projects.

C. Key Personnel

Identify key personnel that would be employed for this program and provide a detailed resume/CV of their relevant experience, education & successes. Key personnel should demonstrate ample experience in managing turn-key street lighting projects.

D. References

Include a list of at least three (3) projects that the Proposer has successfully completed an LED retrofit and provide associated references and contact information for the persons or organizations that engaged the Proposer. By submitting a proposal, the Proposer consents to City contacting these references, and consents to City also contacting any other organization for the purposes of evaluating the Proposal.

E. Approach

Describe the approach and/or process proposed to address the project requirements. Include any notable methodologies, tools and techniques, and their respective suitability to this project. Also provide a project plan that reflects your proposed approach/process and demonstrates your ability to meet the milestones.

The following key components must be included in the approach description:

1. Audit

The provider will determine the existing street and outdoor light inventory via a Geographic Information Systems (GIS) inventory assessment of all the street lights and outdoor lights included in the project. The provider should list the attributes that they collect and describe how they relate to the design process.

During the inventory assessment, the provider will report and review all issues with the City weekly so that the City may begin to address them in order to minimize any delay on the eventual conversion.

The proponent must produce an electronic inventory file suitable for use in common GIS software (e.g. ESRI ArcMap), as well as Microsoft Excel, that contains the required attributes.

Based on the inventory, utility bill analysis, and consultation on controls and/or other products, the Proposer will develop an Audit Report which will include:

- Deficiencies in the current street lighting network
- Baseline energy use, energy cost and operations & maintenance costs
- Estimated retrofit energy use and operations & maintenance costs
- Estimated sources of funding, including rebates
- Calculation of estimated total conversion cost (remaining design tasks, product, and installation), energy reduction, and simple payback

The City seeks Proposers who can provide the strongest case for why their Audit will be most accurate and support approval by the City.

2. Financial stability and capability

- Proposer should demonstrate the capacity to finance street lighting projects by having financed or been part of a financing project using an Energy Savings Performance Contract (ESPC).
- Should the City opt for this type of financing, the Proposer must produce an ESPC contract at the time opt in.

- Proposer must have experience with a range of financing mechanisms in order to advise the City on the most advantageous approach.

3. Design

The design of an LED network will have a measurable impact on both the life cycle savings as well as overall light quality. Proposers must include a design component in the scope of work.

The determination of adequate light levels for safety of pedestrians, cyclists, and vehicles is guided by the Illuminating Engineering Society (IES) Standard for Roadway Lighting (RP-8-14). The City recognizes that existing pole placement limits the degree to which IES standards may be met. The City will look favorably upon proposals that use design methodologies that will best deliver adequate lighting through the City for the expected life of the products and the City's desire for specific goals associated with customized lighting levels. IES standards may not be the standard selected by the City. Additionally, the designer should incorporate an analysis of the following data points to identify target areas that may need special consideration:

- Pedestrian/vehicle and bicycle/vehicle crash data for the last 5 years to identify areas where light levels and/or spacing have affected public safety.
- Important localized land uses (e.g. parks, schools, hospitals, etc.)
- Relative volumes of pedestrian and bicycle activity
- Unique neighborhood characteristics

The provider should describe their design process, including how actual light levels are measured before & after the conversion, and how designs are modified for unique street characteristics.

4. Project Management

Proposers shall describe their approach to Project Management and how this will impact cost, quality control and timing of the project.

- Qualifications and experience of Project Team
- Experience in projects managing multiple projects simultaneously
- Experience in projects managing large LED retrofits of similar scale
- Detailed description on how the project will be managed including:
 - A description of the methods to be employed in the performance and coordination of the work that will control the scope, quality, schedule and cost of the Project
 - The anticipated risks and assumptions that will be part of completing the Project
 - Any special challenges or considerations foreseen by the Proposer and proposed solutions for each.

5. Technology Procurement

a. Fixtures

Describe the process for selecting appropriate fixtures.

The Proposer should develop complete and detailed specifications for LED Luminaires to replace lighting fixtures. The specifications will be non-proprietary performance specifications describing all relevant photometric, electrical, physical, and durability characteristics of the luminaires.

The Proposer should provide details on their method of developing specifications and how that ensures that appropriate quality standards are met.

b. Smart Controls, IoT, and other Smart City solutions

The Proposer will advise the City on the use of controls in terms of impact on safety, standardization, and energy and cost savings. The Proposer should be able to present analysis of how advanced controls could impact the total life-cycle costs of the system. The Proposer should also propose to the City other value-add systems and discuss with the City their financial impacts, commercial readiness, and alignment with utility policies. These systems include environmental sensors that can generate data to support additional functionality in allied infrastructure and services including traffic controls, parking, public safety, and electronic communication.

The City will require open APIs in all control systems order to ensure functionality across platforms and to ensure our ability to expand the system.

6. Installation & Maintenance

Based on the finalized design, the Proposer will describe their approach to installation and maintenance or oversight as an owner representative. The following elements should be covered:

- Description of Work
- Required installation schedule
- Reference standards
- Submittals
- Quality Assurance and Warranty
- Installation
- Field Quality Control
- Adjusting and Cleaning
- Disposal

7. Construction Administration

The Proposer will describe their approach to Construction Administration including the following:

- Perform a sampling of spot-checks on installed lights to ensure proper installation procedures are being followed, especially at the beginning of the project
- Manage data on installations and provide a weekly status update of the of the project
- Confirmation of satisfactory installation completion by install contractor

8. Acquisition of Street Lights

The Proposer will describe their experience and methodology for assisting municipalities in acquiring their street lights.

9. Rebates/Incentives

The Proposer will describe their experience and approach to managing rebates/incentives for street lights on behalf of municipalities.

F. Value Added Services

The City seeks opportunities to provide the public with enhanced services and to make management of municipal infrastructure more efficient. Proposers should describe how they can leverage the functionality of an advanced LED streetlight network to offer value added services. These may include public facing elements such as citywide Wi-Fi, electric vehicle charging, and public information kiosks as well as operational functions such as advanced traffic and parking management, public safety applications, and location analytics. Each Proposer should describe services or products it can provide and detail what the Proposer is prepared to supply as part of a contract. The City is open to collaborations with third party partners so discussion may include opportunities for the City to monetize elements of the infrastructure through public/private partnerships.

G. Additional Information

The proposer may provide other information that may be relevant for the review and evaluation of the prospective vendor's experience or capabilities.

H. Project Schedule

The selected Proposer shall begin work immediately upon contract signing and complete the tasks in their entirety within a reasonable yet aggressive schedule.

I. Submission

Six (6) paper copies and one (1) digital copy of the proposal must be submitted. No faxed or e-mailed submissions will be considered. Please submit the electronic copy on a thumb drive in PDF format and enclose with your paper copies.

J. Proposer Selection

At its discretion, the City may select a firm outright or select a finalist(s) for in-person interviews. The City reserves the right to negotiate directly with the firm selected for additional project work at a negotiated contract for services. The City reserves the right to accept or reject any or all proposals for any reason, to negotiate with any individual or firm and to select one or more of the proposals. Attachment B includes a table that identifies the selection criteria which will be used to rank proposals.

K. Questions

All questions shall be directed in writing ONLY to the Purchasing Office at the above address and be received at least five business days prior to the bid opening date (FAX 207-874-8652, or E-mail jrl@portlandmaine.gov). Questions received after this time will not be addressed. Responses from the City that substantially alter this bid will be issued in the form of a written addendum to all bid holders registered in the Purchasing Office. Oral explanations or interpretations given before the award of the contract will not be binding.

PROPOSAL FORM

**Request for Proposals –
Conversion of City Street Light System to LED Fixtures
and from Utility Owned To Municipally Owned
and Maintained Fixture on Utility Owned Poles
RFP #2917**

**** THIS SHEET MUST BE INCLUDED IN YOUR PROPOSAL ****

The undersigned hereby declares that he/she or they are the only person(s), firm or corporation interested in this proposal as principal, that it is made without any connection with any other person(s), firm or corporation submitting a proposal for the same, and that no person acting for or employed by the City of Portland is directly or indirectly interested in this proposal or in any anticipated profits which may be derived there from.

The undersigned hereby declares that they have read and understand all conditions as outlined in this Request for Proposals, and that the proposal is made in accordance with the same.

The bidder acknowledges the receipt of Addenda numbered: _____

COMPANY NAME: _____

AUTHORIZED SIGNATURE: _____

DATE: _____

PRINT NAME & TITLE: _____

ADDRESS: _____

E-MAIL ADDRESS: _____

PHONE NUMBER: _____ FAX NUMBER: _____

TYPE OF ORGANIZATION - PARTNERSHIP, CORPORATION, INDIVIDUAL, OTHER:

STATE OF INCORPORATION, IF APPLICABLE: _____

FEDERAL TAX IDENTIFICATION NUMBER (Required): _____

NOTE: Proposals must bear the handwritten signature of a duly authorized member or employee of the organization submitting a proposal.

ATTACHMENT A – Participating City Profile

City PROFILE	Approximate Units
Portland, Maine	
Lane Miles of Road	568
Street Lights attached to utility or decorative poles and scheduled for replacement through this project	6,700
Population	66,000

Electricity Supply Costs	Streetlight Group A	Streetlight Group B
Energy Rate	\$0.584	\$0.0568
Capacity Rate	\$0.0002	\$0.0001
Total Supply Rate	\$0.0586	\$0.05569
Supply Spend (annual)	\$109,645	\$76,640
T&D Rate	\$0.161	\$0.161
T&D Spend (annual)	\$154,505	\$217,033
kWh (annual)	1,872,677	1,348,029

ATTACHMENT B – Rating Categories

The following table will be used to rank proposals in the selection process:

RATING CATEGORY	WEIGHT
Company Capability & Experience • Respondent demonstrates strong knowledge of street light technology, quality standards, and design requirements. • Respondent documents relevant experience ◦ Managing or performing all aspects of the proposed project ◦ With comparably sized projects in urban and suburban environment • Knowledge of municipal street lighting operations and maintenance • Experience or demonstrated understanding of utility requirements and incentives. • Experience in assisting municipalities with evaluation and acquisition of their street lights • Experience providing and/or recommending financing solutions for street light conversions • Possession of unique tools and technologies to improve system performance • Experience incorporating smart cities and IoT technologies into LED street light conversions • Sufficient qualified staff to support project implementation	40
Project Approach • Describes a coherent, convincing plan to meet or exceed requirements of scope of work for all tasks ◦ Includes a detailed project plan that accelerates implementation where possible ◦ Includes a description of a rigorous Audit Report that can be used to support financing of the remaining project costs ◦ Includes a design approach that will meet the City's goals of safety, standardization, and minimizing lifecycle costs ◦ Includes a project management approach which demonstrates efficiencies in time and cost ◦ Describes the City's involvement in all phases and describes an efficient use of their time and resources (e.g. efficient plan for meetings, use of police details or avoiding them) ◦ Describes opportunities to implement smart cities and IoT technologies that enhance the capabilities of urban infrastructure and provide improved services to residents and visitors. ◦ Identifies opportunities for public/private partnerships that may provide services to the public and potentially generate revenue for the City.	60

City of Portland Owned Street Lights

AREA/STREETS	WATTS	VOLTAGE	TYPE	METER	METER #	FIXTURES	\$PER/MO	STYLE	MISC.
Congress St.	250	120	Metal Halide	No	N/A	51	XXXX	Esplanade	Green Decorative
Congress St.	70	120	Metal Halide	No	N/A	102	XXXX	Esplanade	Green Decorative
Exchange St	100	120	Metal Halide	No	N/A	35	XXXX	Washington	
Fore St. (Exchange - Franklin)	100	120	Metal Halide	No	N/A	20	XXXX	Washington	
Franklin Arterial (Fore - Commercial)	100	120	Metal Halide	No	N/A	3	XXXX	Washington	
Post Office Park	100	120	Metal Halide	Yes	AB22038232	9	\$173.05	UNK	Market St.
Moulton/Dana/Wharf	40/175	120/240	Incad/Merc Vap	Yes	AB28616501	31	\$223.39	Lantern	Needs updating
Custom House St.	100	120	Metal Halide	No	N/A	2	XXXX	Washington	
Myrtle St.	250	120	Metal Halide	Yes	City Hall	4	XXXX	Esplanade	Green Decorative
Myrtle St.	70	120	Metal Halide	Yes	City Hall	8	XXXX	Esplanade	Green Decorative
Cumberland/ Chesnut	175	120	Metal Halide	No	N/A	3	XXXX	Esplanade	
Lower Chestnut	175	120	Metal Halide	Yes	AB22728084	14	\$113.36	Esplanade	Silver
Somerset/ Pearl	175	120	Metal Halide	Yes	SA57106067	10	\$239.78	Esplanade	Silver
Portland Pier	175	120	Merc Vapor	Yes	SA77846414	8	\$63.99	Nautical	
High / Free	250	120	Metal Halide	No	N/A	3	XXXX	Esplanade	Green Decorative
High / Free	70	120	Metal Halide	No	N/A	6	XXXX	Esplanade	Green Decorative
Custom House / Fore St. (corner)	100	120	Metal Halide	Yes	Private	7	XXXX	Washington	Pd by Building
Fore River Pkwy/ Congress	150	480	HPS	Yes	AB99669129	9	\$194.85	Mongoose	Silver / Mast Arm
Fore River Pkwy (Frederick St)	100	480	HPS	Yes	AB21637477	29	\$191.05	Hallbrook	Green Trail
Veterans Bridge (Controller)	150	120	HPS	Yes	AB21001121	9	\$181.98	Mongoose	Mast Arm
Veterans Bridge /W. Comm.	100	480	HPS	Yes	AB19258963	38	XXXX	Hallbrook	Green Trail
Veterans Bridge /W. Comm.	150	480	HPS	Yes	AB19258963	15	\$426.20	Mongoose	Silver
Longfellow Square	70	120	Metal Halide	No	N/A	4	XXXX	Esplanade	Green Decorative
Monument Square	1000	120/240	Metal Halide	Yes	AB06483167	2	\$110.00	Round Flood	Pierce Atwood
Monument Square	1000	120/240	Metal Halide	Yes	WH7689591	2	\$119.00	Round Flood	Maine Bank & Trust
Monument Square	1000	120/240	Metal Halide	Yes	AB85251348	2	\$125.00	Round Flood	22 Monument Sq
Monument Square	250	120	Metal Halide	Yes	O3433482	6	???	Monument Lts	Monument Sq
Lower Congress St (MMC)	70	120	Metal Halide	No	N/A	22	XXXX	Esplanade	Green Decorative
Thompson Pt. (end of Sewall St.)	150	240	HPS	Yes	AB99526656	5	\$66.17	Mongoose	
Stone St.	250	120	Metal Halide	No	N/A	3	XXXX	Esplanade	Green Decorative
Cumberland/ Preble	70	120	Metal Halide	No	N/A	10	XXXX	Esplanade	Green Decorative
Baxter / Vannah (Controller)	250	120	Metal Halide	Yes	AB18449378	4	\$61.81	Esplanade	Mast Arm
Forest / Bedford (Controller)	150	120	HPS	Yes	61020017	2	\$61.13	Cutoff Luminaire	Mast Arm
Marginal / Preble (Controller)	150	120	HPS	Yes	59105141	2	\$68.74	Mongoose	Mast Arm
Johnson / Skyway (Controller)	150	240	HPS	Yes	77328932	4	\$75.27	Mongoose	Mast Arm
Tommy's Park	100	120	Metal Halide	Yes	SA99043828	3	\$25.00	Granville	Cnr Middle/ Exc.
Center / Fore (Controller)	100	120	Merc Vapor	Yes	LG34779885	2	\$100.28	Small flood	Gorham's Corner
Marginal / Preble	175	120	Metal Halide	Yes		7		Esplanade	Silver
Congress/ MMC	70	120	Met	Yes		20		Esplanade	Green Decorative
Forest Ave (Congress to Cumberland)	250	120	Metal Halide	Yes		9		Esplanade	Green Decorative
Forest Ave (Congress to Cumberland)	70	120	Metal Halide	Yes		18		Esplanade	Green Decorative
Congress St. (front of City Hall)	250	120	Metal Halide	Yes		8		Esplanade	Green Decorative
Federal (Pierce Attwood)	70	120	Metal Halide	Yes		4		Esplanade	Green Decorative
New Veteran's Bridge				Yes		29		Mongoose	Accent Lts (Silver)
New Veteran's Bridge				Yes		18		unk	Bike-way Lts.
Charles St/ MMC						602			

Street Light Inventory - CMP-Leased Units - January 4, 2016

Account Number	Group	Quantity	Type	Wattage	Delivery Cost	Lighting Equipment	Unit Cost	Group Cost
						Cost		
441-149-3430-001	School	3	Sodium Enclosed	250	\$5.42	\$11.64	\$17.06	\$51.18
	School	18	Sodium Flood	250	\$5.42	\$12.07	\$17.49	\$314.82
	School	7	Sodium Enclosed	150	\$3.50	\$9.14	\$12.64	\$88.48
	School	18	Sodium Flood	400	\$8.36	\$13.52	\$21.88	\$393.84
	School	1	Sodium Enclosed	400	\$8.36	\$13.27	\$21.63	\$21.63
	School	1	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$10.04
	School	1	Sodium Cut Off	400	\$8.36	\$15.01	\$23.37	\$23.37
	School	1	Metal Halide Cut Off	400	\$8.26	\$20.80	\$29.06	\$29.06
	School	1	Sodium Cut Off	150	\$3.50	\$10.11	\$13.61	\$13.61
	School	1	Special Facilities					\$108.11
		52					Group Cost	\$1,054.14
441-149-6480-001	E Pen D	16	Sodium Cut Off	250	\$5.42	\$12.98	\$18.40	\$294.40
	E Pen D	25	Sodium Cut Off	100	\$2.33	\$9.12	\$11.45	\$286.25
	E Pen D	15	Sodium Cut Off	400	\$8.36	\$15.01	\$23.37	\$350.55
	E Pen D	40	Halide Enclosed	100			\$4.14	\$165.60
	E Pen D	23	Sodium Cut Off	50	\$1.17	\$9.67	\$10.84	\$249.32
	E Pen D	3	Metal Halide Flood	250	\$5.42	\$18.71	\$24.13	\$72.39
	E Pen D	4	Halid Spc Esplnd	175	\$3.80	\$28.75	\$32.55	\$130.20
	E Pen D	13	Sodium Decashield	400	\$8.36	\$20.21	\$28.57	\$371.41
	E Pen D	101	Sodium Enclosed	50	\$1.17	\$8.04	\$9.21	\$930.21
	E Pen D	90	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$903.60
	E Pen D	138	Sodium Enclosed	100	\$2.33	\$8.74	\$11.07	\$1,527.66
	E Pen D	194	Sodium Enclosed	150	\$3.50	\$9.14	\$12.64	\$2,452.16
	E Pen D	103	Sodium Enclosed	250	\$5.42	\$11.64	\$17.06	\$1,757.18
	E Pen D	27	Sodium Enclosed	400	\$8.36	\$13.27	\$21.63	\$584.01
	E Pen D	15	Sodium Flood	250	\$5.42	\$12.07	\$17.49	\$262.35
	E Pen D	14	Sodium Flood	400	\$8.36	\$13.52	\$21.88	\$306.32
	E Pen D	135	Sodium Post Top	100	\$2.33	\$9.12	\$11.45	\$1,545.75
	E Pen D	39	Halide Enclosed	250			\$9.63	\$375.57
	E Pen D	82	Halide Enclosed	70			\$3.06	\$250.92
	E Pen D	25	Halide Spc Hlbrk	175	\$3.80	\$25.75	\$29.55	\$738.75
	E Pen D	85	Sodium Decashield	25	\$5.42	\$14.35	\$19.77	\$1,680.45
	E Pen D	21	Sodium Cut Off	70	\$1.72	\$9.04	\$10.76	\$225.96
	E Pen D	30	Sodium Cut Off	150	\$3.50	\$10.11	\$13.61	\$408.30
	E Pen D	2	Halid Spc Esplnd	70	\$1.72	\$22.95	\$24.67	\$49.34
	E Pen D	1	Special Facilities					\$577.01
		1241					Group Cost	\$16,495.66

Street Light Inventory - CMP-Leased Units - January 4, 2016

Account Number	Group	Quantity	Type	Wattage	Delivery Cost	Lighting Equipment	Unit Cost	Group Cost
						Cost		
441-149-7680-001	Peaks Isl	223	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$2,238.92
	Peaks Isl	2	Sodium Enclosed	100	\$2.33	\$8.74	\$11.07	\$22.14
	Peaks Isl	2	Sodium Flood	250	\$5.42	\$12.07	\$17.49	\$34.98
	Peaks Isl	20	Sodium Cut Off	70	\$1.72	\$9.04	\$10.76	\$215.20
		247					Group Cost	\$2,511.24
441-149-7707-001	LTL DIMND	23	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$230.92
	LTL DIMND	1	Sodium Cut Off	70	\$1.72	\$9.04	\$10.76	\$10.76
		24					Group Cost	\$241.68
441-149-7712-001	GRT DMND	5	Sodium Cut Off	70	\$1.72	\$9.04	\$10.76	\$53.80
	GRT DMND	28	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$281.12
	GRT DMND	4	Sodium Enclosed	100	\$2.33	\$8.74	\$11.07	\$44.28
	GRT DMND	3	Sodium Enclosed	50	\$1.17	\$8.04	\$9.21	\$27.63
		40					Group Cost	\$406.83
441-149-7726-001	Cliff Isl	43	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$431.72
	Cliff Isl		Special Facilities					\$20.94
							Group Cost	\$452.66
441-150-0429-001 City Pole	W PEN C	67	Sodium Cut Off	150	\$3.50	\$10.11	\$13.61	\$911.87
	W PEN C	33	Sodm Spc Halbrk	100	\$2.33	\$25.00	\$27.33	\$901.89
	W PEN C	4	Sodium Cut Off	400	\$8.36	\$15.01	\$23.37	\$93.48
	W PEN C	13	Sodm Spc Esplnd	250	\$5.42	\$30.36	\$35.78	\$465.14
	W PEN C	6	Sodium Decashield	400	\$8.36	\$20.21	\$28.57	\$171.42
	W PEN C	381	Sodium Enclosed	50	\$1.17	\$8.04	\$9.21	\$3,509.01
	W PEN C	4	Sodium Flood	400	\$8.36	\$13.52	\$21.88	\$87.52
	W PEN C	93	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$933.72
	W PEN C	208	Sodium Enclosed	100	\$2.33	\$8.74	\$11.07	\$2,302.56
	W PEN C	305	Sodium Enclosed	150	\$3.50	\$9.14	\$12.64	\$3,855.20
	W PEN C	82	Sodium Enclosed	250	\$5.42	\$11.64	\$17.06	\$1,398.92
	W PEN C	10	Sodium Enclosed	400	\$8.36	\$13.27	\$21.63	\$216.30
	W PEN C	14	Sodium Flood	250	\$5.42	\$12.07	\$17.49	\$244.86
	W PEN C	216	Sodium Post Top	100	\$2.33	\$9.12	\$11.45	\$2,473.20
	W PEN C	13	Halide Enclosed	250			\$9.63	\$125.19
	W PEN C	26	Halide Enclosed	70			\$3.06	\$79.56
	W PEN C	65	Sodium Cut Off	100	\$2.33	\$9.12	\$11.45	\$744.25
	W PEN C	12	Sodium Cut Off	250	\$5.42	\$12.98	\$18.40	\$220.80
	W PEN C	22	Sodium Cut Off	70	\$1.72	\$9.04	\$10.76	\$236.72
	W PEN C	77	Sodium Cut Off	50	\$1.17	\$9.67	\$10.84	\$834.68
	City Pole	4	Sodm Spc Halbrk	100	\$2.33	\$25.00	\$27.33	\$109.32
		1	Metal Halide Flood	400	\$8.26	\$21.77	\$30.03	\$30.03
		1	Area Lighting				\$21.38	\$21.38
		1	Special Facilities				\$671.35	\$671.35
							Group Cost	\$20,638.37
		1658						

Street Light Inventory - CMP-Leased Units - January 4, 2016

Account Number	Group	Quantity	Type	Wattage	Delivery Cost	Lighting Equipment Cost	Unit Cost	Group Cost
441-150-0434-001	East A	50	Sodium Cut Off	150	\$3.50	\$10.11	\$13.61	\$680.50
	East A	15	Sodium Cut Off	250	\$5.42	\$12.98	\$18.40	\$276.00
	East A	22	Sodium Cut Off	100	\$2.33	\$9.12	\$11.45	\$251.90
	East A	623	Sodium Enclosed	50	\$1.17	\$8.04	\$9.21	\$5,737.83
	East A	134	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$1,345.36
	East A	157	Sodium Enclosed	100	\$2.33	\$8.74	\$11.07	\$1,737.99
	East A	257	Sodium Enclosed	150	\$3.50	\$9.14	\$12.64	\$3,248.48
	East A	44	Sodium Enclosed	250	\$5.42	\$11.64	\$17.06	\$750.64
	East A	2	Sodium Enclosed	400	\$8.36	\$13.27	\$21.63	\$43.26
	East A	8	Sodium Flood	250	\$5.42	\$12.07	\$17.49	\$139.92
	East A	8	Sodium Flood	400	\$8.36	\$13.52	\$21.88	\$175.04
City Pole	East A	384	Sodium Post Top	100	\$2.33	\$9.12	\$11.45	\$4,396.80
	East A	86	Sodium Cut Off	50	\$1.17	\$9.67	\$10.84	\$932.24
	East A	41	Sodium Cut Off	70	\$1.72	\$9.04	\$10.76	\$441.16
	East A	3	Sodium Cut Off	400	\$8.36	\$15.01	\$23.37	\$70.11
City Pole	East A	9	Hald Spc EspInd	175	\$3.80	\$28.75	\$32.55	\$292.95
	East A	1	Area Lighting				\$42.12	\$42.12
	East A	1	Special Facilities				\$7,280.50	\$7,280.50
		1845					Group Cost	\$27,842.80
441-150-0448-001	West B	114	Sodium Cut Off	50	\$1.17	\$9.67	\$10.84	\$1,235.76
	West B	7	Sodium Flood	400	\$8.36	\$13.52	\$21.88	\$153.16
	West B	17	Sodium Cut Off	100	\$2.33	\$9.12	\$11.45	\$194.65
	West B	16	Sodium Cut Off	70	\$1.72	\$9.04	\$10.76	\$172.16
	West B	1	Sodium Cut Off	400	\$8.36	\$15.01	\$23.37	\$23.37
	West B	535	Sodium Enclosed	50	\$1.17	\$8.04	\$9.21	\$4,927.35
	West B	49	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$491.96
	West B	78	Sodium Enclosed	100	\$2.33	\$8.74	\$11.07	\$863.46
	West B	68	Sodium Enclosed	150	\$3.50	\$9.14	\$12.64	\$859.52
	West B	1	Sodium Enclosed	250	\$5.42	\$11.64	\$17.06	\$17.06
	West B	4	Sodium Flood	250	\$5.42	\$12.07	\$17.49	\$69.96
	West B	49	Sodium Post Top	100	\$2.33	\$9.12	\$11.45	\$561.05
	West B	5	Sodium Cut Off	150	\$3.50	\$10.11	\$13.61	\$68.05
	West B	1	Sodium Cut Off	250	\$5.42	\$12.98	\$18.40	\$18.40
	West B	1	Special Facilities				\$68.09	\$68.09
		946					Group Cost	\$9,724.00
		6096 Fixtures					Total Cost	Per Month
							Per Year	\$79,367.38
								\$952,408.56

CITY OF PORTLAND, MAINE
Conversion of City Street Lights to LED Fixtures & Transfer of Ownership from Utility
to Municipality
RFP #2917

Current Date: October 25, 2016

The attention of firms submitting proposals for the work named in the above Invitation is called to the following modifications to the documents as were issued.

The items set forth herein, whether of clarification, omission, addition and/or substitution, shall be included and form a part of the Contractor's submitted material and the corresponding Contract when executed. No claim for additional compensation, due to lack of knowledge of the contents of this Addendum will be considered.

ALL BIDDERS ARE ADVISED THAT RECEIPT OF THIS NOTICE MUST BE DULY ACKNOWLEDGED ON THE BID PROPOSAL FORM OR BY THE INSERTION OF THIS SHEET, SIGNED, AND SUBMITTED WITH YOUR PROPOSAL.

MATTHEW FITZGERALD
PURCHASING MANAGER

We received the following question and are providing a response via this addendum:

- Q. You have included Portland's fixture inventory yet there is no mention of pricing in the RFP document. Please confirm whether or not you are expecting pricing with the response.
- A. The City will review all proposals based on the criteria listed in the RFP document, which do not include price. The review committee will select the firm they feel offers the best solution for the City and enter into negotiations regarding project implementation and cost. We anticipate proposals that offer a variety of project approaches, services, and technology. Consequently, it would be difficult to compare price quotes fairly at this time. Proposers should be aware, however, that the City anticipates a project that offers high value and will closely scrutinize costs at all stages of implementation

Receipt of **Addendum No. 1** to the City of Portland's **RFP #2917: Conversion of City Street Lights to LED Fixtures & Transfer of Ownership from Utility to Municipality** is hereby acknowledged.

COMPANY: _____

NAME: _____

SIGNED BY: _____ DATE: _____

PRINT NAME & TITLE: _____

ADDRESS: _____

ZIP CODE

**CITY OF PORTLAND, MAINE
Conversion of City Street Lights to LED Fixtures &
Transfer of Ownership from Utility to Municipality
RFP #2917**

Current Date: October 26, 2016

The attention of firms submitting proposals for the work named in the above Invitation is called to the following modifications to the documents as were issued.

The items set forth herein, whether of clarification, omission, addition and/or substitution, shall be included and form a part of the Contractor's submitted material and the corresponding Contract when executed. No claim for additional compensation, due to lack of knowledge of the contents of this Addendum will be considered.

ALL BIDDERS ARE ADVISED THAT RECEIPT OF THIS NOTICE MUST BE DULY ACKNOWLEDGED ON THE BID PROPOSAL FORM OR BY THE INSERTION OF THIS SHEET, SIGNED, AND SUBMITTED WITH YOUR PROPOSAL.

**MATTHEW FITZGERALD
PURCHASING MANAGER**

We received the following question and are providing a response via this addendum:

1. *Are funds for this project budgeted / approved for 2017?*

The City currently has \$100,000 available in the Capital budget for the streetlight project. There are additional funds proposed in next year's capital budget, the amount of which may be adjusted depending on how the City chooses to finance the project. The City is not wed to funding the project with a municipal bond and will explore alternative funding sources that may be proposed.

2. *Who is your utility provider? (We ask this for rebate purposes).*

The investor owned electric utility serving Portland is Central Maine Power.

<http://www.cmpco.com/>

The State efficiency agency is Efficiency Maine: <http://www efficiencymaine.com/>

Receipt of **Addendum No. 2** to the City of Portland's **RFP #2917: Conversion of City Street Lights to LED Fixtures & Transfer of Ownership from Utility to Municipality** is hereby acknowledged.

COMPANY: _____

NAME: _____

SIGNED BY: _____ DATE: _____

PRINT NAME & TITLE: _____

ADDRESS: _____

ZIP CODE

**CITY OF PORTLAND, MAINE
Conversion of City Street Lights to LED Fixtures &
Transfer of Ownership from Utility to Municipality
RFP #2917**

Current Date: October 31, 2016

The attention of firms submitting proposals for the work named in the above Invitation is called to the following modifications to the documents as were issued.

The items set forth herein, whether of clarification, omission, addition and/or substitution, shall be included and form a part of the Contractor's submitted material and the corresponding Contract when executed. No claim for additional compensation, due to lack of knowledge of the contents of this Addendum will be considered.

ALL BIDDERS ARE ADVISED THAT RECEIPT OF THIS NOTICE MUST BE DULY ACKNOWLEDGED ON THE BID PROPOSAL FORM OR BY THE INSERTION OF THIS SHEET, SIGNED, AND SUBMITTED WITH YOUR PROPOSAL.

**MATTHEW FITZGERALD
PURCHASING MANAGER**

We received additional questions related to this RFP and are providing a response via this addendum on the next page.

Receipt of **Addendum No. 3** to the City of Portland's **RFP #2917: Conversion of City Street Lights to LED Fixtures & Transfer of Ownership from Utility to Municipality** is hereby acknowledged.

COMPANY: _____

NAME: _____

SIGNED BY: _____ DATE: _____

PRINT NAME & TITLE: _____

ADDRESS: _____

ZIP CODE

CITY OF PORTLAND, MAINE
Conversion of City Street Lights to LED Fixtures &
Transfer of Ownership from Utility to Municipality
RFP #2917

1. *Can the City please provide an address for delivery of proposal submissions (preferably one that receives FedEx/UPS deliveries)?*

City of Portland
Attn.: Purchasing
389 Congress Street, Room 103
Portland, Maine 04101
(207) 874-8654

2. *Page 5 of the RFP, Section E, Subsection 2 indicates that the City is considering an Energy Savings Performance Contract (ESPC) for this project. Given that street lighting is a measure based on published and regulated rates, as well as the fact that it is a measure in which savings are not impacted by behavior and/or weather (which results in the savings being simple to calculate and achieve), can the City please clarify why it would consider an ESPC for this project? The concern is that that type of contract model would only unnecessarily inflate the cost of this project.*

The City is willing to consider a variety of funding options including Energy Saving Performance Contracting, municipal leasing, bonding, or other approaches. We anticipate exploring these options with respondents and determining which approach is in the best interest of the City.

3. *Page 7 of the RFP, Section 6, third sub-bullet mentions "Reference standards" as an element that needs to be covered in the approach. Can the City please clarify how this is defined and the type of standards it is looking for?*

Regarding the reference to "Reference Standards" on page 7, Section 6 of the RFP: The City is interested in the approach for ensuring the installed lights conform as closely as possible to the Illuminating Engineering Society (IES) Standard for Roadway Lighting (RP-8-14). To the extent that any lights are added to the streetlight inventory (to address lighting deficiencies or to improve service) the new lights should conform to the City's Technical Manual which may be found at:

<http://www.portlandmaine.gov/documentcenter/view/2211>

4. *Page 11 of the RFP, Attachment A - in the second table, the Energy Rate listed for Streetlight Group A is \$0.584. Please confirm that this, in fact, the correct rate for this Group. Compared to the Energy Rate for Streetlight Group B, this seems significantly high.*

The current electrical supply cost for Streetlight Group A is \$0.05835/kWh. The contract for this tranche expires in December 2018. The current electrical supply cost for Streetlight Group B is \$0.0469/kWh. The contract for this tranche expires in December 2019. Please find the attached documents: CAP Group Memo, which shows how the streetlight groups are divided and the total kWh usage for 12 months. CMP Bill Street Light Inventory has been updated to show which supply tranche each Streetlight Group is assigned to.

CompanyName	Address1	CurrentLDCacctNum	UtilityType	TotalkWh	UsageUnits	dtContract	Term	dtExpectedStart	dtRollOver	FixedPrice	Pricing Group
City of Portland	S/L East Penin D	4411496480001	Street Lighting	909293.2581	kWh	19-May-15	36	01-Dec-15	01-Dec-18	0.05835	Street Lighting Group A
City of Portland	Peaks Island	4411497680001	Street Lighting	94470.80645	kWh	19-May-15	36	01-Dec-15	01-Dec-18	0.05835	Street Lighting Group A
City of Portland	S/L East A	4411500434001	Street Lighting	882732	kWh	19-May-15	36	01-Dec-15	01-Dec-18	0.05835	Street Lighting Group A
City of Portland	STREET CLIFF ISL	4411497726001	STLGHT	16107.83871	kWh	19-May-15	36	01-Dec-15	01-Dec-18	0.05835	Street Lighting Group A
City of Portland	West Penin C	4411500429001	Street Lighting	931383.8065	kWh	01-Feb-16	36	01-Dec-16	01-Dec-19	0.0469	Street Lighting Group B
City of Portland	S/L West 8	4411500448001	Street Lighting	342391.871	kWh	01-Feb-16	36	01-Dec-16	01-Dec-19	0.0469	Street Lighting Group B
City of Portland	STREET SCHOOL	4411493430001	STLGHT	69854.3871	kWh	01-Feb-16	36	01-Dec-16	01-Dec-19	0.0469	Street Lighting Group B
City of Portland	STREET GRT DMND	4411497712001	STLGHT	15182.16129	kWh	01-Feb-16	36	01-Dec-16	01-Dec-19	0.0469	Street Lighting Group B
City of Portland	VALLEY ST	4411691345001	STLGHT	1219	kWh	01-Feb-16	36	01-Dec-16	01-Dec-19	0.0469	Street Lighting Group B
City of Portland	STREET LTL DIMND	4411497707001	STLGHT	8989.225806	kWh	19-May-15	36	01-Dec-15	01-Dec-18	0.05835	Street Lighting Group A

Street Light Inventory

CMP Leased Units

1/4/2016

Account Number	Group	Quantity	Type	Wattage	Delivery Cost	Lighting Equipment Cost	Unit Cost	Group Cost	Streetlight Supply Tranche
441-149-3430-001	School	3	Sodium Enclosed	250	\$5.42	\$11.64	\$17.06	\$51.18	Streetlight B
	School	18	Sodium Flood	250	\$5.42	\$12.07	\$17.49	\$314.82	Streetlight B
	School	7	Sodium Enclosed	150	\$3.50	\$9.14	\$12.64	\$88.48	Streetlight B
	School	18	Sodium Flood	400	\$8.36	\$13.52	\$21.88	\$393.84	Streetlight B
	School	1	Sodium Enclosed	400	\$8.36	\$13.27	\$21.63	\$21.63	Streetlight B
	School	1	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$10.04	Streetlight B
	School	1	Sodium Cut Off	400	\$8.36	\$15.01	\$23.37	\$23.37	Streetlight B
	School	1	Metal Halide Cut Off	400	\$8.26	\$20.80	\$29.06	\$29.06	Streetlight B
	School	1	Sodium Cut Off	150	\$3.50	\$10.11	\$13.61	\$13.61	Streetlight B
	School	1	Special Facilities					\$108.11	
		52					Group Cost	\$1,054.14	
441-149-6480-001	E Pen D	16	Sodium Cut Off	250	\$5.42	\$12.98	\$18.40	\$294.40	Streetlight A
	E Pen D	25	Sodium Cut Off	100	\$2.33	\$9.12	\$11.45	\$286.25	Streetlight A
	E Pen D	15	Sodium Cut Off	400	\$8.36	\$15.01	\$23.37	\$350.55	Streetlight A
	E Pen D	40	Halide Enclosed	100			\$4.14	\$165.60	Streetlight A
	E Pen D	23	Sodium Cut Off	50	\$1.17	\$9.67	\$10.84	\$249.32	Streetlight A
	E Pen D	3	Metal Halide Flood	250	\$5.42	\$18.71	\$24.13	\$72.39	Streetlight A
	E Pen D	4	Hald Spc Esplnd	175	\$3.80	\$28.75	\$32.55	\$130.20	Streetlight A
	E Pen D	13	Sodium Decashield	400	\$8.36	\$20.21	\$28.57	\$371.41	Streetlight A
	E Pen D	101	Sodium Enclosed	50	\$1.17	\$8.04	\$9.21	\$930.21	Streetlight A
	E Pen D	90	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$903.60	Streetlight A
	E Pen D	138	Sodium Enclosed	100	\$2.33	\$8.74	\$11.07	\$1,527.66	Streetlight A
	E Pen D	194	Sodium Enclosed	150	\$3.50	\$9.14	\$12.64	\$2,452.16	Streetlight A
	E Pen D	103	Sodium Enclosed	250	\$5.42	\$11.64	\$17.06	\$1,757.18	Streetlight A
	E Pen D	27	Sodium Enclosed	400	\$8.36	\$13.27	\$21.63	\$584.01	Streetlight A
	E Pen D	15	Sodium Flood	250	\$5.42	\$12.07	\$17.49	\$262.35	Streetlight A
	E Pen D	14	Sodium Flood	400	\$8.36	\$13.52	\$21.88	\$306.32	Streetlight A
	E Pen D	135	Sodium Post Top	100	\$2.33	\$9.12	\$11.45	\$1,545.75	Streetlight A
	E Pen D	39	Halide Enclosed	250			\$9.63	\$375.57	Streetlight A
	E Pen D	82	Halide Enclosed	70			\$3.06	\$250.92	Streetlight A
	E Pen D	25	Halide Spc Hlbrk	175	\$3.80	\$25.75	\$29.55	\$738.75	Streetlight A
	E Pen D	85	Sodium Decashield	25	\$5.42	\$14.35	\$19.77	\$1,680.45	Streetlight A
	E Pen D	21	Sodium Cut Off	70	\$1.72	\$9.04	\$10.76	\$225.96	Streetlight A
	E Pen D	30	Sodium Cut Off	150	\$3.50	\$10.11	\$13.61	\$408.30	Streetlight A
	E Pen D	2	Hald Spc Esplnd	70	\$1.72	\$22.95	\$24.67	\$49.34	Streetlight A
	E Pen D	1	Special Facilities					\$577.01	
		1,241					Group Cost	\$16,495.66	

Account Number	Group	Quantity	Type	Wattage	Delivery Cost	Lighting Equipment Cost	Unit Cost	Group Cost	Streetlight Supply Tranche
441-149-7680-001	Peaks Isl	223	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$2,238.92	Streetlight A
	Peaks Isl	2	Sodium Enclosed	100	\$2.33	\$8.74	\$11.07	\$22.14	Streetlight A
	Peaks Isl	2	Sodium Flood	250	\$5.42	\$12.07	\$17.49	\$34.98	Streetlight A
	Peaks Isl	20	Sodium Cut Off	70	\$1.72	\$9.04	\$10.76	\$215.20	Streetlight A
		247					Group Cost	\$2,511.24	
441-149-7707-001	LTL DIMND	23	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$230.92	Streetlight A
	LTL DIMND	1	Sodium Cut Off	70	\$1.72	\$9.04	\$10.76	\$10.76	Streetlight A
		24					Group Cost	\$241.68	
441-149-7712-001	GRT DMND	5	Sodium Cut Off	70	\$1.72	\$9.04	\$10.76	\$53.80	Streetlight B
	GRT DMND	28	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$281.12	Streetlight B
	GRT DMND	4	Sodium Enclosed	100	\$2.33	\$8.74	\$11.07	\$44.28	Streetlight B
	GRT DMND	3	Sodium Enclosed	50	\$1.17	\$8.04	\$9.21	\$27.63	Streetlight B
		40					Group Cost	\$406.83	
441-149-7726-001	Cliff Isl	43	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$431.72	Streetlight A
	Cliff Isl		Special Facilities					\$20.94	Streetlight A
							Group Cost	\$452.66	
441-150-0429-001	W PEN C	67	Sodium Cut Off	150	\$3.50	\$10.11	\$13.61	\$911.87	Streetlight B
City Pole	W PEN C	33	Sodm Spc Halbrk	100	\$2.33	\$25.00	\$27.33	\$901.89	Streetlight B
	W PEN C	4	Sodium Cut Off	400	\$8.36	\$15.01	\$23.37	\$93.48	Streetlight B
	W PEN C	13	Sodm Spc Espind	250	\$5.42	\$30.36	\$35.78	\$465.14	Streetlight B
	W PEN C	6	Sodium Decashield	400	\$8.36	\$20.21	\$28.57	\$171.42	Streetlight B
	W PEN C	381	Sodium Enclosed	50	\$1.17	\$8.04	\$9.21	\$3,509.01	Streetlight B
	W PEN C	4	Sodium Flood	400	\$8.36	\$13.52	\$21.88	\$87.52	Streetlight B
	W PEN C	93	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$933.72	Streetlight B
	W PEN C	208	Sodium Enclosed	100	\$2.33	\$8.74	\$11.07	\$2,302.56	Streetlight B
	W PEN C	305	Sodium Enclosed	150	\$3.50	\$9.14	\$12.64	\$3,855.20	Streetlight B
	W PEN C	82	Sodium Enclosed	250	\$5.42	\$11.64	\$17.06	\$1,398.92	Streetlight B
	W PEN C	10	Sodium Enclosed	400	\$8.36	\$13.27	\$21.63	\$216.30	Streetlight B
	W PEN C	14	Sodium Flood	250	\$5.42	\$12.07	\$17.49	\$244.86	Streetlight B
	W PEN C	216	Sodium Post Top	100	\$2.33	\$9.12	\$11.45	\$2,473.20	Streetlight B
	W PEN C	13	Halide Enclosed	250			\$9.63	\$125.19	Streetlight B
	W PEN C	26	Halide Enclosed	70			\$3.06	\$79.56	Streetlight B
	W PEN C	65	Sodium Cut Off	100	\$2.33	\$9.12	\$11.45	\$744.25	Streetlight B
	W PEN C	12	Sodium Cut Off	250	\$5.42	\$12.98	\$18.40	\$220.80	Streetlight B
	W PEN C	22	Sodium Cut Off	70	\$1.72	\$9.04	\$10.76	\$236.72	Streetlight B
	W PEN C	77	Sodium Cut Off	50	\$1.17	\$9.67	\$10.84	\$834.68	Streetlight B
City Pole	W PEN C	4	Sodm Spc Halbrk	100	\$2.33	\$25.00	\$27.33	\$109.32	Streetlight B
	W PEN C	1	Metal Halide Flood	400	\$8.26	\$21.77	\$30.03	\$30.03	Streetlight B
	W PEN C	1	Area Lighting				\$21.38	\$21.38	Streetlight B
	W PEN C	1	Special Facilities				\$671.35	\$671.35	Streetlight B
		1,658					Group Cost	\$20,638.37	

Account Number	Group	Quantity	Type	Wattage	Delivery Cost	Lighting Equipment Cost	Unit Cost	Group Cost	Streetlight Supply Tranche
441-150-0434-001	East A	50	Sodium Cut Off	150	\$3.50	\$10.11	\$13.61	\$680.50	Streetlight A
	East A	15	Sodium Cut Off	250	\$5.42	\$12.98	\$18.40	\$276.00	Streetlight A
	East A	22	Sodium Cut Off	100	\$2.33	\$9.12	\$11.45	\$251.90	Streetlight A
	East A	623	Sodium Enclosed	50	\$1.17	\$8.04	\$9.21	\$5,737.83	Streetlight A
	East A	134	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$1,345.36	Streetlight A
	East A	157	Sodium Enclosed	100	\$2.33	\$8.74	\$11.07	\$1,737.99	Streetlight A
	East A	257	Sodium Enclosed	150	\$3.50	\$9.14	\$12.64	\$3,248.48	Streetlight A
	East A	44	Sodium Enclosed	250	\$5.42	\$11.64	\$17.06	\$750.64	Streetlight A
	East A	2	Sodium Enclosed	400	\$8.36	\$13.27	\$21.63	\$43.26	Streetlight A
	East A	8	Sodium Flood	250	\$5.42	\$12.07	\$17.49	\$139.92	Streetlight A
	East A	8	Sodium Flood	400	\$8.36	\$13.52	\$21.88	\$175.04	Streetlight A
City Pole	East A	384	Sodium Post Top	100	\$2.33	\$9.12	\$11.45	\$4,396.80	Streetlight A
	East A	86	Sodium Cut Off	50	\$1.17	\$9.67	\$10.84	\$932.24	Streetlight A
	East A	41	Sodium Cut Off	70	\$1.72	\$9.04	\$10.76	\$441.16	Streetlight A
	East A	3	Sodium Cut Off	400	\$8.36	\$15.01	\$23.37	\$70.11	Streetlight A
City Pole	East A	9	Hald Spc Esplnd	175	\$3.80	\$28.75	\$32.55	\$292.95	Streetlight A
	East A	1	Area Lighting				\$42.12	\$42.12	Streetlight A
	East A	1	Special Facilities				\$7,280.50	\$7,280.50	
		1,845					Group Cost	\$27,842.80	
441-150-0448-001	West B	114	Sodium Cut Off	50	\$1.17	\$9.67	\$10.84	\$1,235.76	Streetlight B
	West B	7	Sodium Flood	400	\$8.36	\$13.52	\$21.88	\$153.16	Streetlight B
	West B	17	Sodium Cut Off	100	\$2.33	\$9.12	\$11.45	\$194.65	Streetlight B
	West B	16	Sodium Cut Off	70	\$1.72	\$9.04	\$10.76	\$172.16	Streetlight B
	West B	1	Sodium Cut Off	400	\$8.36	\$15.01	\$23.37	\$23.37	Streetlight B
	West B	535	Sodium Enclosed	50	\$1.17	\$8.04	\$9.21	\$4,927.35	Streetlight B
	West B	49	Sodium Enclosed	70	\$1.72	\$8.32	\$10.04	\$491.96	Streetlight B
	West B	78	Sodium Enclosed	100	\$2.33	\$8.74	\$11.07	\$863.46	Streetlight B
	West B	68	Sodium Enclosed	150	\$3.50	\$9.14	\$12.64	\$859.52	Streetlight B
	West B	1	Sodium Enclosed	250	\$5.42	\$11.64	\$17.06	\$17.06	Streetlight B
	West B	4	Sodium Flood	250	\$5.42	\$12.07	\$17.49	\$69.96	Streetlight B
	West B	49	Sodium Post Top	100	\$2.33	\$9.12	\$11.45	\$561.05	Streetlight B
	West B	5	Sodium Cut Off	150	\$3.50	\$10.11	\$13.61	\$68.05	Streetlight B
	West B	1	Sodium Cut Off	250	\$5.42	\$12.98	\$18.40	\$18.40	Streetlight B
	West B	1	Special Facilities				\$68.09	\$68.09	
		946					Group Cost	\$9,724.00	
		6,096	Fixtures				Total Cost	Per Month	\$79,367.38
								Per Year	\$952,408.56

**CITY OF PORTLAND, MAINE
Conversion of City Street Lights to LED Fixtures &
Transfer of Ownership from Utility to Municipality
RFP #2917**

Current Date: November 2, 2016

The attention of firms submitting proposals for the work named in the above Invitation is called to the following modifications to the documents as were issued.

The items set forth herein, whether of clarification, omission, addition and/or substitution, shall be included and form a part of the Contractor's submitted material and the corresponding Contract when executed. No claim for additional compensation, due to lack of knowledge of the contents of this Addendum will be considered.

ALL BIDDERS ARE ADVISED THAT RECEIPT OF THIS NOTICE MUST BE DULY ACKNOWLEDGED ON THE BID PROPOSAL FORM OR BY THE INSERTION OF THIS SHEET, SIGNED, AND SUBMITTED WITH YOUR PROPOSAL.

**MATTHEW FITZGERALD
PURCHASING MANAGER**

We received additional questions related to this RFP and are providing a response via this addendum on the next page. This will be the final addendum issued and we will be unable to respond to any further questions.

Receipt of **Addendum No. 4** to the City of Portland's **RFP #2917: Conversion of City Street Lights to LED Fixtures & Transfer of Ownership from Utility to Municipality** is hereby acknowledged.

COMPANY: _____

NAME: _____

SIGNED BY: _____ DATE: _____

PRINT NAME & TITLE: _____

ADDRESS: _____

ZIP CODE

**CITY OF PORTLAND, MAINE
Conversion of City Street Lights to LED Fixtures &
Transfer of Ownership from Utility to Municipality
RFP #2917**

QUESTIONS:

1. How does the utility company bill?

For the streetlights currently owned by the utility (Central Maine Power), the City receives a bill for T&D and for the cost of leasing the equipment. (These costs are outlined in the document "Streetlight Inventory" distributed with the RFP and updated in the last addendum.) The City receives a separate bill for electrical supply from a 3rd party supplier. For metered streetlights currently owned by the City, we receive a bill from CMP for T&D and a separate bill for supply.

2. What will be the role of Central Maine Power moving forward?

CMP will be a partner throughout the course of the project. To begin, the City and the selected Contractor will coordinate closely with CMP during the physical inventory and IGA. Determining the length of time each fixture has been in service will be a key component of the audit process. Once the project proceeds to replacing fixtures, CMP requires that their staff or their authorized contractor install a fuse between the new fixture and their line. Having CMP install the new, City-owned equipment while they install the fuse may be option. Upon project completion, CMP will remain the T&D utility distributing power to the lighting equipment but will no longer own it.

3. What is the book value of the existing lighting poles that need to be purchased?

Approximately 6300 of the lights to be replaced during the course of this project are attached to wooden utility poles owned by the utility. We do not anticipate replacing these poles, only replacing the existing lighting equipment. The City currently owns the vast majority of the decorative poles and attached lights. (City owned lights are described in document "City Owned Streetlights") included in the RFP. Proposing firms are advised to familiarize themselves with Maine Public Utilities Commission rulings related to the NBV of the existing lighting fixtures as well as other rulings related to the municipal streetlighting. These are:

September 22, 2014: Docket No. 2013-00448

October 7, 2015: Docket Nos. 2014-00313 and 2014-00317

September 13, 2016: Docket No. 2014-00313

Following the process outlined by the PUC in Docket No. 2014-00313, the selected firm will work with the City and CMP to determine which utility owned lights have been in service for 15 years or more and which have not. This will be necessary to determine the NBV, if any, of the existing lights.

**CITY OF PORTLAND, MAINE
Conversion of City Street Lights to LED Fixtures &
Transfer of Ownership from Utility to Municipality
RFP #2917**

4. Is there a limitation on maximum term of the concession?

There is no defined limit on the term of the concession. The City will negotiate terms with the successful respondent on various aspects of the project as may be proposed.

5. What are the expectations at the end of the contract term? (Build/Operate, Build/Operate/Transfer)

At the end of the project the City anticipates owning, operating, and maintaining the streetlights. If vendors propose additional technologies as part of their proposals the City may consider other models and/or partnerships as well.

6. Does the City have a minority-DBE target?

No, but see section regarding EEO in the boilerplate of the RFP.

7. Are the lights in the city owned garages included in this RFP? I was told they were but I do not see them in the RFP itself.

Yes, the lights in the garages are included. This is referenced in the "Project Background" section of the RFP:

"The City anticipates the scope of work to include upgrading the pole mounted street lights as well as lighting in City parking facilities."

8. How will we know if the proposal was received?

This will be noted on the City's website after the proposals are opened.

9. There are several communications options available. In many cases, municipalities have FCC assigned frequencies (such as 450 MHz) which can be leveraged. Such frequencies often have good range and reliable communications with legal protections preventing others from using those frequencies. Does Portland have any such frequency assignments?

The City has a number of radio frequencies assigned by the FCC. If we were to network the streetlights on any of them we would need to coordinate closely with existing radio users to ensure adequate bandwidth and to avoid interference. They are heavily used.

**CITY OF PORTLAND, MAINE
Conversion of City Street Lights to LED Fixtures &
Transfer of Ownership from Utility to Municipality
RFP #2917**

- 10. Under “Proposal Format” on page 4 of the RFP it states that “Proposals are to be limited to a maximum of 25 “pages” excluding addendums. We are assuming that this is 25 sheets of paper, double-sided (which could be 50 numbered pages of content). Is this correct? Due to the significant amount of information requested, this certainly would have an impact on how we will respond**

Please disregard this requirement on the length of your proposal.

- 11. Were there other questions asked prior to the issuance of the RFP?**

City staff had informal conversations with many firms during the development of the RFP in order to learn how street light conversion projects can leverage a host of smart city technologies. The only question that may not have been specifically addressed is:

Q: Would the City be willing to host pilot projects or demonstrations projects involving new smart city technologies?

A: Yes, as noted in the RFP, the City is open to public/private partnerships that could offer improved or innovative services to our residents and visitors. This could involve demonstrating new services. We would want to review each opportunity on a case by case basis



PRESENTED TO

CITY OF PORTLAND, MAINE

11/9/2016

RESPONSE TO REQUEST FOR PROPOSALS

RFP #2917

CONVERSION OF CITY STREET LIGHT SYSTEM
TO LED FIXTURES AND TRANSFER OF
OWNERSHIP FROM UTILITY TO MUNICIPALITY



PRESENTED BY: TEN CONNECTED SOLUTIONS

TEN Maine

19 Yarmouth Road, Ste 301
New Gloucester ME 04260

TEN Philadelphia

40 West Evergreen Ave
Philadelphia PA 19118

TEN New England

51 Melcher Street
Boston MA 02210

TEN DC/Baltimore

9025 Maier Rd, Ste B
Laurel MD 20723

TEN Connected Solutions Headquarters 1501 Reedsdale St, Ste 401, Pittsburgh PA 15233

855.429.1010 | tenconnected.com | info@tenconnected.com



PROPOSAL FORM

Request for Proposals –
Conversion of City Street Light System To LED Fixtures and from Utility Owned To
Municipally Owned and Maintained Fixture On Utility Owned Poles
RFP #2917

**** THIS SHEET MUST BE INCLUDED IN YOUR PROPOSAL ****

The undersigned hereby declares that he/she or they are the only person(s), firm or corporation interested in this proposal as principal, that it is made without any connection with any other person(s), firm or corporation submitting a proposal for the same, and that no person acting for or employed by the City of Portland is directly or indirectly interested in this proposal or in any anticipated profits which may be derived there from.

The undersigned hereby declares that they have read and understand all conditions as outlined in this Request for Proposals, and that the proposal is made in accordance with the same.

The bidder acknowledges the receipt of Addenda numbered: #1, #2, #3, and #4 _____

COMPANY NAME: TEN Connected Solutions, Inc.

AUTHORIZED SIGNATURE: _____

DATE: 11/8/16

PRINT NAME & TITLE: Troy T. Geanopulos, Chief Executive Officer

ADDRESS: 19 Yarmouth Road, New Gloucester, ME 04260 and 1501 Reedsdale Street, Ste. 401, Pittsburgh, PA 15233

EMAIL ADDRESS: troy.geanopulos@tenconnected.com

PHONE NUMBER: 412-576-5002 FAX NUMBER: 412-429-8889

TYPE OF ORGANIZATION-PARTNERSHIP, CORPORATION, INDIVIDUAL, OTHER: Corporation

STATE OF INCORPORATION: Delaware

FEDERAL TAX IDENTIFICATION NUMBER (Required): 81-3028722

NOTE: Proposals must bear the handwritten signature of a duly authorized member or employee of the organization submitting a proposal.

CITY OF PORTLAND, MAINE
Conversion of City Street Lights to LED Fixtures & Transfer of Ownership from Utility
to Municipality
RFP #2917

Current Date: October 25, 2016

The attention of firms submitting proposals for the work named in the above Invitation is called to the following modifications to the documents as were issued.

The items set forth herein, whether of clarification, omission, addition and/or substitution, shall be included and form a part of the Contractor's submitted material and the corresponding Contract when executed. No claim for additional compensation, due to lack of knowledge of the contents of this Addendum will be considered.

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MATTHEW FITZGERALD
PURCHASING MANAGER

We received the following question and are providing a response via this addendum:

- Q. You have included Portland's fixture inventory yet there is no mention of pricing in the RFP document. Please confirm whether or not you are expecting pricing with the response.
- A. The City will review all proposals based on the criteria listed in the RFP document, which do not include price. The review committee will select the firm they feel offers the best solution for the City and enter into negotiations regarding project implementation and cost. We anticipate proposals that offer a variety of project approaches, services, and technology. Consequently, it would be difficult to compare price quotes fairly at this time. Proposers should be aware, however, that the City anticipates a project that offers high value and will closely scrutinize costs at all stages of implementation

Receipt of Addendum No. 1 to the City of Portland's RFP #2917: Conversion of City Street Lights to LED Fixtures & Transfer of Ownership from Utility to Municipality is hereby acknowledged.

COMPANY: TEN Connected Solutions, Inc.

NAME: Patrick Regan

SIGNED BY: Patrick Regan DATE: 10/28/16

PRINT NAME & TITLE: Patrick Regan, VP Streetlighting and Smart Cities

ADDRESS: Local ofc. 19 Yarmouth Drive, New Gloucester, ME 04260

ZIP CODE

HQ: 1501 Reedsdale Street, Suite 401
Pittsburgh, PA 15233

CITY OF PORTLAND, MAINE
Conversion of City Street Lights to LED Fixtures &
Transfer of Ownership from Utility to Municipality
RFP #2917

Current Date: October 26, 2016

The attention of firms submitting proposals for the work named in the above Invitation is called to the following modifications to the documents as were issued.

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MATTHEW FITZGERALD
PURCHASING MANAGER

We received the following question and are providing a response via this addendum:

1. *Are funds for this project budgeted / approved for 2017?*

The City currently has \$100,000 available in the Capital budget for the streetlight project. There are additional funds proposed in next year's capital budget, the amount of which may be adjusted depending on how the City chooses to finance the project. The City is not wed to funding the project with a municipal bond and will explore alternative funding sources that may be proposed.

2. *Who is your utility provider? (We ask this for rebate purposes).*

The investor owned electric utility serving Portland is Central Maine Power.

<http://www.cmpco.com/>

The State efficiency agency is Efficiency Maine: <http://www.efficiencymaine.com/>

Receipt of **Addendum No. 2** to the City of Portland's **RFP #2917: Conversion of City Street Lights to LED Fixtures & Transfer of Ownership from Utility to Municipality** is hereby acknowledged.

COMPANY: TEN Connected Solutions, Inc.

NAME: Patrick Regan

SIGNED BY: Patrick Regan DATE: 10/28/16

PRINT NAME & TITLE: Patrick Regan, VP Street Lighting and

ADDRESS: Local ofc. 19 Yarmouth Drive, Suite 301 Smart Cities

New Gloucester, ME 04260

HQ: 1501 Reedsdale Street, Suite 401 ZIP CODE

Pittsburgh, PA 15233

**CITY OF PORTLAND, MAINE
Conversion of City Street Lights to LED Fixtures &
Transfer of Ownership from Utility to Municipality
RFP #2917**

Current Date: October 31, 2016

The attention of firms submitting proposals for the work named in the above Invitation is called to the following modifications to the documents as were issued.

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**MATTHEW FITZGERALD
PURCHASING MANAGER**

We received additional questions related to this RFP and are providing a response via this addendum on the next page.

Receipt of **Addendum No. 3** to the City of Portland's **RFP #2917: Conversion of City Street Lights to LED Fixtures & Transfer of Ownership from Utility to Municipality** is hereby acknowledged.

COMPANY: TEN Connected Solutions, Inc.

NAME: Patrick Regan

SIGNED BY: Patrick Regan DATE: 10/31/16

PRINT NAME & TITLE: Patrick Regan, VP street Lighting and Smart

ADDRESS: Local ofc. 19 Yarmouth Drive, New Cities
Gloucester, ME 04260

ZIP CODE

HQ: 1501 Reedsdale Street, Suite 401
Pittsburgh, PA 15233

CITY OF PORTLAND, MAINE
Conversion of City Street Lights to LED Fixtures &
Transfer of Ownership from Utility to Municipality
RFP #2917

Current Date: November 2, 2016

The attention of firms submitting proposals for the work named in the above Invitation is called to the following modifications to the documents as were issued.

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MATTHEW FITZGERALD
PURCHASING MANAGER

We received additional questions related to this RFP and are providing a response via this addendum on the next page. This will be the final addendum issued and we will be unable to respond to any further questions.

Receipt of **Addendum No. 4** to the City of Portland's **RFP #2917: Conversion of City Street Lights to LED Fixtures & Transfer of Ownership from Utility to Municipality** is hereby acknowledged.

COMPANY: TEN Connected Solutions, Inc.

NAME: Patrick Regan

SIGNED BY: Patrick Regan DATE: 11/2/16

PRINT NAME & TITLE: Patrick Regan, VP Street Lighting and Smart

ADDRESS: Local ofc. 19 Yarmouth Drive, Suite 301, Cities

New Gloucester, ME 04260

HQ: 1501 Reedsdale Street Suite 401 ZIP CODE
Pittsburgh, PA 15233



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Attachment 1: Key Personnel Detailed Resumes/CVs

Attachment 2: City of Baltimore Street Lighting Upgrade Project Bi-weekly Report dated October 28, 2016

Attachment 3: City of Harrisburg Case Study

Attachment 4: Project Photo Gallery



A. Cover Letter

November 9, 2016

Mr. Matthew Fitzgerald
Purchasing Manager, City of Portland
389 Congress St.
Portland, Maine 04101

Dear Mr. Fitzgerald:

On behalf of TEN Connected Solutions, Inc., a wholly owned subsidiary of The Efficiency Network, Inc. (TEN), I am pleased to present you with TEN's response to the City of Portland's Request for Proposals – Conversion of City Street Lights to LED Fixtures & Transfer of Ownership from Utility to Municipality (RFP #2917). The intent of our response is to highlight our experience and to show why TEN is the best choice to help the City of Portland complete its visionary street lighting and smart cities project. TEN is one of the nation's industry-leading companies helping 21st Century cities, like Portland, implement groundbreaking LED street lighting conversions and Smart Cities technology integration solutions. TEN Connected's street lighting and smart cities' solutions deliver lower costs, better lights, safer streets and brighter, more beautiful city neighborhoods.

TEN Connected has actively worked on developing solutions for more than 200,000 street lights across the United States. Our proven approach has helped cities save millions of dollars annually on utility bills while dramatically reducing energy and maintenance costs and while creating new revenue streams.

As a technology and vendor neutral company, TEN Connected Solutions' approach for Portland will be to vet available technologies and present the best and most applicable products to City leadership. TEN Connected will work with the City of Portland during each step of the project – from audit, to contract, and installation – to develop, plan, and execute a conversion of the street lighting systems, using state-of-the-art technologies including the implementation of smart cities' technologies. Additionally, TEN Connected will deliver a 100% accurate audit in terms of locating and identifying, by GPS, each street lighting system asset.

TEN Connected has the expertise, experience, and national network of partners in place to deliver a world-class lighting project for the City of Portland. The information contained in this proposal accurately describes the services to be provided.

We thank you for the opportunity to submit this response and we look forward to working with you.



Troy T. Geanopulos, CEO



B. Company Profile

Company Description

TEN Connected Solutions, Inc. (TEN Connected), a wholly-owned subsidiary of The Efficiency Network, Inc. (TEN), is one of the nation's industry-leading LED street lighting design, conversion, and Smart Cities technology integration companies. TEN Connected's smart street lighting solutions deliver lower costs, better lights, safer streets and brighter, more beautiful communities.

Headquartered in the Commonwealth of Pennsylvania, in the City of Pittsburgh, TEN has been in the energy-efficiency and smart city solutions business since its incorporation in February, 2012, and has operated under this firm name for nearly five years. In addition to our Pennsylvania headquarters, TEN Connected also has offices in New Gloucester, Maine, Washington DC/Baltimore area, Philadelphia, PA, and Boston, MA.

Proudly, and specifically to assist cities and towns in Maine to acquire their street lighting systems to convert to LED, TEN Connected has established our local office at 19 Yarmouth Drive, Suite 301, located on the Pineland Business Park Campus in Gloucester, a mere 20-minute drive from the City of Portland.

TEN Connected – Maine (Pineland Business Park Campus)
19 Yarmouth Drive, Suite 301
New Gloucester, ME 04260

For the purposes of the City of Portland, TEN Connected Solutions' Project Management team will be based out of our Maine office to ensure a closely monitored, managed, and successful project.

It is important to note that TEN's in-house team has designed and implemented over \$700 million in energy efficiency projects for a multitude of customers over the past several decades. And TEN's seasoned professionals have, in the past, worked in material roles for some of the country's most well-known energy efficiency companies, including TEN, Siemens, Johnson Controls, NORESKO, Opterra, Chevron, and Constellation An Exelon Company.

TEN Connected's commitment to offering street lighting and Smart City technology solutions is evident based on the credentialed, high-caliber team assembled at TEN Connected to provide world-class energy efficiency projects – including a significant focus on performance contracting which encompasses, to a large degree, municipality-wide roadway and street lighting LED conversions, city and college-owned parking facility upgrades, as well as smart energy efficiency projects. This is our exclusive focus. There are few, if any, energy and utility situations TEN Connected has not already encountered and successfully addressed (even most recently) for other street lighting clients such as the cities of Scranton, PA, Baltimore, MD, Harrisburg, PA and Bethlehem, PA.

Experience and Performance Capabilities



In addition to substantial energy performance contracting design and implementation experience, and the significant references of TEN's team, TEN itself carries with it the Qualified Energy Service Company (ESCO) Certification by the United States Department of Energy (DOE). This designation is proof of expertise and experience through a rigorous DOE qualification process and allows TEN to perform Energy Savings Performance Contracting (ESPC) at Federal agencies.



Similar/Current Projects Underway

Project	Client/Owner	Number of Street Lights Converted	Value
City of Baltimore, MD	City of Baltimore, Maryland	7,000	\$3.2 million
City of Bethlehem, PA	City of Bethlehem, Pennsylvania	5,400	\$3.8 million
City of Harrisburg, PA	City of Harrisburg, Pennsylvania	6,000+	\$3.6 million
City of Scranton, PA	City of Scranton, Pennsylvania	6,000+	\$4.0 million

Experience developing projects that conform to relevant state laws, local standards, and local Public Utility Commission rules.

1. The City of Baltimore, MD selected TEN to serve as its design and construction management representative to the local utility company, Baltimore Gas & Electric (BGE) to manage their extensive street lighting conversion to LED, beginning with a Phase I asset inventory audit and conversion of approximately 7,000 fixtures to LED which are in 17 high-crime zones designated by the City. Enhanced public safety/homeland security is the primary focus of Baltimore's Phase I project. So much so, that the City asked TEN to evaluate and recommend fixtures best suited to have the ability to "overdrive them" in these areas to shine a light on potential criminal activity – with the added feature to be able to dim the LED in the future if necessary, as well as to be able to troubleshoot maintenance from a centralized location. The project conformed to all relevant state laws, local standards, and local Public Utility Commission rules.

2. The City of Bethlehem, PA selected TEN to replace 5,400 of the City's existing High Pressure Sodium (HPS) street lamp fixtures with 60% more efficient LED fixtures. TEN's Bethlehem project represented, at the time of completion, the largest and most comprehensive city-wide LED street light conversion project completed in Pennsylvania, including pilot projects that already had been completed in Philadelphia and Pittsburgh – only to be eclipsed by TEN's conversion of all the street lighting in the City of Harrisburg, PA (6,000+ fixtures) to LED to be handed over to Harrisburg at the end of December 2016. In Bethlehem, TEN served as designer, project manager, and primary point of contact between Bethlehem and TEN's local project installer and the fixture manufacturers. Bethlehem chose Philips for their cobra heads as the result of a highly competitive bid process that drove project costs down, facilitated by TEN. The project conformed to all relevant state laws, local standards, and local Public Utility Commission rules.

3. In the City of Harrisburg, PA, TEN completed the design and installation of more than 6,000 new roadway cobra head and decorative LED fixtures – this process also included a period of public feedback, where the local public was invited to view and vote on the shortlisted LED cobra head fixtures. In addition to an Asset Inventory Audit, TEN is also completing an extensive historical analysis of utility billing for the streetlight system to determine any overcharges paid by Harrisburg to PPL Electric Utilities – known as TEN's Overcharge Lookback Audit. To the extent that any overcharges are revealed, TEN will facilitate return of those payments to Harrisburg for as many years as permitted under applicable law. TEN's process for Harrisburg also minimized the use of project contingencies which then afforded the City of Harrisburg the ability to include more decorative, color-changing LED bridge lighting designed and installed by TEN under the same contract.

Picture shown is TEN installing LED bridge under-lighting for the City of Harrisburg in November, 2016. The LEDs have controls and will be programmed for 26 different color sequences for special occasions such as Red, White and Blue for the 4th of July. This is the "icing-on-the-cake" as 6,000+ streetlights already have been installed across the City.





4. Recently, TEN and the **City of Scranton, PA** entered into a contract for TEN to convert Scranton's 6,000+ streetlights (cobra heads and decoratives) to state-of-the-art LEDs. Scranton selected TEN in a competitive process over Siemens, Johnson Controls and SmartWatt. The project includes the installation of a front-end controls package, as well as the refurbishment (sandblasting and painting) of approximately 300 decorative poles throughout the city. TEN is also in the process of bringing forward, for the City's consideration, a smart media revenue-generating solution estimated to provide the City with more than \$2 million in income in the first five years at no additional costs.

Utility Billing Structures & Street Light Acquisition Experience

Due to TEN Connected's contracts with cities like Bethlehem and Harrisburg (both served by Pennsylvania Power and Light - PPL) and Baltimore (served by Baltimore Gas & Electric – BGE) for their LED streetlight conversion projects, TEN Connected has become intimately familiar with utility street light billing structures. Furthermore, TEN's work with the City of Baltimore requires TEN Connected not only to design and project manage Baltimore's LED streetlight conversion project, but specifically includes the technical analysis of Baltimore's BGE utility billing structure, including utility bill reconciliation. TEN Connected is confident that we will be able to provide this same comprehensive level of understanding, experience, and successes to the City of Portland.

In addition, TEN Connected has dedicated staff through its network, who have previously held senior roles in the street lighting divisions of Northeast Utilities and Connecticut Light & Power. This expertise has facilitated repayment of utility overcharge refunds for municipal clients in CT and MA, most recently \$1.3 million to Springfield, MA.

Rebate & Incentive Optimization

TEN will undertake careful planning to optimize and obtain maximum value of any and all incentives available, including those available from Central Maine Power / Efficiency Maine. Later on in this response, TEN specifically projects the rebates currently available to Portland for this project.

TEN's street lighting projects have garnered significant rebates for the Cities of Bethlehem, PA and Harrisburg, PA, and TEN anticipates the same success for the City of Scranton, PA. It is important to note that TEN does not look to "participate" in the rebate funding amount allocated to the project, and any rebates or incentives will be paid directly to the City of Portland throughout the project.

Below is the amount of rebates/incentives obtained by TEN for our recent customers.

TEN Customer	Rebate Program	Rebate Obtained* & Paid Directly to TEN Customer
City of Bethlehem, PA	Act 129 PA (PPL)	\$224,000
City of Harrisburg, PA	Act 129 PA (PPL)	\$385,000
City of Scranton, PA	Act 129 PA (PPL)	\$234,000 (*estimated)

In the case of Harrisburg, PA, TEN initially projected the rebate amount for Harrisburg to be \$285,000. However, throughout the process, TEN was able to obtain \$100,000 more than initially estimated because of our significant team effort. In the end, TEN was able to work with the utility to convince them to use more realistic operating hours of 4,300 hours vs. 3,883 for treatment as street lighting rather than the exterior lighting. Additionally, TEN ensured that omni-directional LED decorative lights would be "DLC" listed.



Examples of Acquisition

Recently, TEN Connected assisted the City of Harrisburg, PA in the acquisition of hundreds of street lights from the large, regional electric utility provider, PPL. In addition, by working with TEN Connected to complete a detailed inventory audit and installing a wireless controls system for the new LED street lights, the City now had the data to dispute any past billing discrepancies and negotiate with the utility for fair and accurate acquisition prices.

Fundamentally, based on significant experience and technical expertise, TEN Connected will deliver to the City of Portland a customized Audit Report showing costs, savings and payback (both simple and with any incentives included) for the LED streetlight retrofit. There are few, if any, energy and utility situations TEN Connected has not already encountered and successfully addressed for other street lighting clients - specifically including successfully applying for rebates, administering the process for, applying for, and obtaining confirmation of corrected billing for newly installed wattages from the utility, obtaining refunds for overcharges related to street lights that no longer exist, and reconciling each and every street lighting utility bill.

Deploying Smart City and Internet of Things (IoT) Technology

TEN Connected Solutions' core business is converting street lighting to LED technology and positioning cities for the future through integrating smart cities technology – including those that generate revenue for cities. In each of TEN Connected Solutions' past projects, our team has worked with city leadership to make the street lighting system "future-ready" for smart city and IoT technology implementation. Streetlights and the poles they are supported by are valuable real estate and by converting the system to LEDs and investing in a wireless controls system, cities now can use the savings – if they so choose – to begin to think about smart cities solutions.

Below are specific examples of TEN's experience deploying smart city and IoT technology as part of LED street lighting projects:

- **Baltimore, MD:** As part of Baltimore's conversion of 7,000 streetlights to LED technology (Phase I of 33,000) TEN recommended that Baltimore acquire fixtures with a built-in base capable of accepting a 7-pin NEMA connector to accept either a photocell or intelligent wireless controls node for the purpose of turning fixtures on/off, dimming fixtures, and troubleshooting for maintenance, as well as the ability to increase light levels in high crime areas.
- **Harrisburg, PA:** TEN has completed the conversion of 6,000+ streetlights to LED as well as the installation of a wireless controls system, resulting in more than \$500,000 in annual energy savings, monitored by a centralized front-end system.
- **Bethlehem, PA:** TEN replaced 5,400 of the City's existing High Pressure Sodium (HPS) street lamp fixtures with 60% more efficient LED fixtures complete with an intelligent wireless controls system retrieving data from the streetlights and sending it back to a front-end system.
- **Scranton, PA:** TEN is currently under construction converting Scranton's 6,000+ streetlights to LED. As part of the project, in addition to installing intelligent wireless controls on all cobra head streetlights, TEN is installing a smart media system to generate City revenue and better connect visitors and local residents to activities and information in the area. The system includes wireless mobile beacons providing free Wi-Fi in addition to a Scranton-specific app delivering special offers around the City, as well as important information and notifications provided by the City.

In addition to Scranton, TEN Connected is working with the cities of Baltimore and Harrisburg, to implement smart city kiosks. The kiosks, like similar devices currently being used in New York City and Kansas City, will connect



visitors, residents, and businesses to local attractions, city services, and public information while generating revenue for each city.

Smart Cities' Partnerships and Approach

TEN Connected Solutions is not an equipment manufacturer and as such allows us to be vendor neutral to the benefit of the City of Portland. This also allows TEN Connected to maintain a competitive process through final design to maximize value for the City, both in terms of fixture costs, labor costs, maximizing post-installation warranties and minimizing long-term life cycle costs.

TEN Connected has a proven process to administer an additional competitive bidding process amongst a short list of City-approved LED fixture manufacturers for improving the cost and warranty terms for the City. Sample areas, using short-listed products, can also be provided to the City of Portland. In the City of Harrisburg, 2 fixtures each of equivalent wattages from five (5) manufacturers were installed by TEN along a stretch of city roadway for purposes of visual inspection and comparison in furtherance of final product selection.

With TEN Connected's competitive platform, not only will the City of Portland have final approval of the high-quality LED luminaires included in the competitive process, but the City will also have final approval of the product chosen for installation by having procured this project through a competitive performance contract process.

As one of the nation's industry-leading LED street lighting conversion companies, TEN Connected has established significant relationships with world-class LED fixture and controls manufacturers, and Smart City and Smart Media platform providers, and TEN Connected has been able to work diplomatically with each of them, specifically (but not exclusively) with the following:

Past Technology Partnerships Description	Experience and Value Add
Eaton/Cooper Lighting	(Harrisburg Project) LED cobra heads and decorative streetlights
GE, CREE, Holophane, Philips	(Shortlisted fixtures for the Cities of Harrisburg, Bethlehem, and Scranton)
TELENSA Controls	(Harrisburg Project)
Truly Green Lighting	(Harrisburg & Scranton Projects)
Philips Lighting	(Bethlehem Project)
City Touch Controls	(Bethlehem Project)
CIMCON Controls	(Scranton Project)
Leotek Lighting	(Baltimore Project)
Intellistreets	(Smart Lighting Platform/Rev Generation Vendor)
Smart City Media, LLC	(Smart Media Platform proposed for Scranton/Harrisburg Projects)



C. Key Personnel

TEN Connected's team below consists of industry veterans that have up to 25 years of experience in the energy services industry. Our company was created to offer energy efficiency under an altogether different price level and with economic terms to create unmatched value for our clients. It is important to note again that, although TEN's name might not be familiar in Maine, TEN's key personnel (and some who are directly assigned to this project) have worked for leading energy services companies such as TEN, Constellation An Exelon Company, NORESKO, Johnson Controls, Siemens, Opterra, Chevron and others. Additionally, members of our street lighting team have successfully completed parking facility upgrades for cities and large universities such as, most recently, Pennsylvania State University and Temple University. **Individual experience and resumes for TEN Connected's Street Lighting Team may be found in Attachment 1: Key Personnel Detailed Resumes/CVs.**

When it comes time for installation, TEN Connected's dedicated project delivery staff of experienced project and construction managers specialize in delivering guaranteed, efficient technology solutions as planned on budget and on time. After TEN Connected has competitively procured all luminaires, other materials, and control system components (approved by the City during the design phase), the installation can begin. TEN Connected's project management is key to a quality installation, and we guarantee that there will be accountable TEN Connected employees assigned to this project throughout the installation, as follows:

Patrick Regan, Esquire – VP, Business Development

Patrick leads the TEN Connected Solutions business development team. Patrick has spent almost a decade as a pioneer in helping visionary governments design, finance and implement energy efficiency and connected street lighting solutions and Smart Cities technology projects. Patrick is TEN's primary contact person responsible for coordinating all of TEN's resources, as well as contract negotiations. Patrick has a valuable combination of skills and experience, including being a licensed attorney, which enables him to understand and discuss customer contractual needs and requirements, as well as extensive hands-on experience in recognizing customer financing strategy and needs, including presentation and analysis of various project funding options to determine those best suited for a customer's project. Over the past several years, Patrick has had the direct responsibility for coordinating the delivery of over \$60 million in energy efficiency measures, including thousands of LED street lights. Patrick received a BA from Boston College and his JD from Duquesne University School of Law.

Olivia Benson - Program Manager, Street Lighting / Smart Cities Technology Solutions

Olivia has worked in City government and understands the importance of meeting stakeholder goals at each step of the way. Olivia will assist in the overall development and management of the project and will manage project-related communications to ensure effective coordination and ultimately is responsible for the City's overall satisfaction with the project. After graduating from Carnegie-Mellon University, Olivia served as a policy director for the City of Pittsburgh where she managed and designed community-focused programming for city-wide implementation and led public affairs outreach strategies and education initiatives, while at the same time obtaining two master's degrees – an MS in Public Policy from the University of Pennsylvania and an MBA from Point Park University. As it relates to street lighting, Olivia is the Program Manager for TEN's conversion of over 6,000 streetlights to LED in the City of Harrisburg, PA, over 6,000 streetlights to LED in the City of Scranton, PA, and 900 streetlights in the Borough of Middletown, PA, including the integration of meaningful outreach strategies to support and exceed customer expectations.

Mike Schneider, LC, CLEP, CPM – Director of Design

Mike researches, designs, and oversees for TEN Connected the testing and installation of high-quality, cost-effective lighting projects along with various other energy saving and capital improvement projects. His extensive



field experience allows him to apply and revise designs in order to best meet all unique situations. Having worked with nearly 60 lighting manufacturers, Mike knows the lighting products, how they work, and the best applications for each option. Mike currently serves as an advisory member on the Illuminating Engineering Society of North America's (IESNA) Energy Management Committee and Industrial Lighting Committee. In addition, Mike is a Lighting Certified Professional (LC) by the National Council of Qualifications for the Lighting Professions, and a Certified Lighting Efficiency Professional (CLEP) by the Association of Energy Engineers. Mike was directly responsible for assisting the Cities of Bethlehem, Baltimore, Harrisburg, and Scranton in analyzing the efficiency and characteristics of several world-class LED fixtures for possible selection, and Mike will serve in this same lead design role for the City of Portland. In addition, Mike has extensive experience researching, designing and overseeing the installation of parking garage and concourse lighting projects, including most recently LED lighting conversions at Penn State University's Beaver Stadium, and two parking garages at Temple University.

Joseph Statler - Director of Installation

Joe has a 13-year record of success overseeing all phases of multimillion-dollar construction, infrastructure, efficiency, street lighting and environmental projects for government, public housing and private-sector clients. Joe is an outstanding professional who has extensive experience in supervising and managing energy efficiency programs and policy planning initiatives. Joe is directly responsible for the success of TEN's conversion of 5,400 street light fixtures to LED in the City of Bethlehem, PA and Joe's TEN Connected team currently is managing TEN's LED street lighting upgrade projects in the cities of Baltimore, Harrisburg and Scranton, and will oversee project management for this project. In addition to a successful track record converting thousands of city streetlights to LED, Joe also has overseen and has been responsible for installation of millions of dollars of parking garage lighting upgrades, particularly for hospitals and colleges.

Bobby Hall - Project Manager

Bobby is responsible for all onsite project management and subcontractor supervision during construction. He will ensure the worksite is safe and supervised in an effective and efficient manner. He will be the field supervisor during the lighting upgrade and as needed for the length of the project.

Greg Lok, PE, CEM – VP, Technology and Engineering

Greg is an energy management, technology and controls specialist developing individual projects, managing customer expectations with respect to technology selections, and making sure that engineering development meets the specific needs outlined by the customer. Greg is responsible for the lighting controls integration for the Cities of Bethlehem, Harrisburg and Scranton LED conversions, and will have primary responsibility for the City of Portland's controls integration, as well as performance monitoring (Measurement & Verification). Greg is a licensed Professional Engineer.

All project plans go through a final approval process with TEN Connected's executive team:

Troy Geanopulos - CEO, The Efficiency Network, Inc. (TEN) & TEN Connected Solutions

Troy has founded and co-founded several energy efficiency companies over the past 25 years, including TEN and TEN Connected Solutions. The foundation for each of these companies has been respect for customer and partner relationships and the ability to recognize and adjust to market trends. For Troy, perhaps the most exciting aspect is the TEN ability to bring new technologies to the table in a way that will help customers improve their organizations. By doing so, he expects TEN to stimulate local economies worldwide and make a lasting positive impact on the environment. Troy has a BA from Dickinson College and has participated in the Entrepreneurial Leadership Forum through the Tepper School of Business at Carnegie Mellon University. He is very active in the Pittsburgh community, currently serving or having served on several non-profit boards.



Rob Campbell, PE, MBA - President, The Efficiency Network, Inc. (TEN) & EVP, TEN Connected Solutions

Rob is an energy efficiency expert, a proven-effective team leader with more than 25 years of industry experience. At TEN Connected, Rob is responsible for all internal operations, systems, and processes. He provides oversight to the financial, engineering, information technology and construction teams. Before co-founding TEN, Rob was the Vice President of Constellation New Energy's Projects and Services Group. Rob is a licensed Professional Engineer and holds a BS in Mechanical Engineering from the University of Toronto and an MBA from Tepper School of Business at Carnegie Mellon University.

Following is a comprehensive list of all services that TEN Connected performs in-house with our own employees.

- Street Light System Inventory Audit
- Utility Bill Auditing & Analysis
- Utility Overcharges Analysis
- Street Lighting System Procurement Financial Analysis
- Street Lighting and Roadway Engineering & Design
- Competitive Procurement/Supply of Equipment from Vendors and Manufacturers
- Construction Management
- Monitoring and Verification (M&V)
- Guaranteed Energy Savings
- Project Opportunity Identification & Recommendation
- Energy Savings Calculations, including Payback Analysis
- Project Cost Estimating
- Subcontractor Bid Solicitation & Evaluation
- Contractor Site Supervision
- Commissioning
- Smart Cities Technology Evaluation & Integration
- Rebate & Incentive Application & Administration
- Project Financial Analysis
- Warranty/repair Administration

D. References

All projects listed below were completed by TEN Connected Solutions personnel. Detailed project descriptions are found later in this section.

Project Reference - City of Baltimore, MD LED Street Lighting Conversion

The **City of Baltimore** selected TEN to manage their latest street lighting conversion to LED, beginning with a Phase I asset inventory audit and conversion of approximately 7,000 fixtures to LED which are located in 17 high-crime zones designated by the City. Enhanced

public safety/homeland security is the primary focus of Baltimore's Phase I project. So much so, that the City asked TEN to evaluate and recommend fixtures best suited to "overdrive them" in these areas to shine a light on potential criminal activity – with the added feature to be able to dim the LED in the future if necessary.

In Baltimore, TEN is serving as project manager, designer, fixture evaluator, and primary point of contact between Baltimore and the local project installer and fixture manufacturer, as well as Baltimore's liaison with the local utility, Baltimore Gas & Electric (BGE). As part of the project, TEN completed and delivered an LED performance characteristics report to the City and, based on the report and consultation with TEN, Baltimore selected the fixture (manufactured by Leotek) that is currently being installed under TEN's supervision and project management.



Baltimore Before – HPS Cobra Head Luminaires



Baltimore After – LED Cobra Head Luminaires

Contact Information:

Brent Hooper
Street Lighting Superintendent
City of Baltimore
Department of Transportation
(410) 396-1311 (office)
brent.hooper@baltimorecity.gov

Project Summary

Total Fixtures Replaced	7,000+
Total Dollar Value of Contract Cost	\$3.5 million
Installation Subcontractor(s):	Baltimore Gas and Electric (BGE) Subcontractor
Annual Cost Savings (year 1)	\$160,000
Annual Electric Savings	584,000 kWh
Tons of CO ₂ Saved Annually	906,000



Project Reference - City of Bethlehem, PA LED Street Lighting Conversion

The **City of Bethlehem** selected TEN to replace 5,400 of the City's existing High Pressure Sodium street lamp fixtures with 60% more efficient LED fixtures. At the time, this project represented the largest and most comprehensive city-wide LED street light conversion project completed to date in Pennsylvania, including pilot projects already completed in Philadelphia and Pittsburgh. It is now the second largest and most comprehensive LED streetlight conversion project in Pennsylvania, after TEN's Harrisburg, PA project. TEN acted as project manager, designer and primary point of contact between Bethlehem and TEN's local subcontractor and the fixture manufacturer. Bethlehem chose Philips fixtures as the result of a highly competitive bid process facilitated by TEN.



Contact Information:

Michael Alkhal, P.E.
City of Bethlehem
Director of Public Works, & City
Engineer
610-865-7050
malkhal@bethlehem-pa-gov

Project Summary

Total Fixtures Replaced	5,400+
Funding Source	PNC (tax-exempt lease)
Installed Cost	\$3,804,093
Annual Cost Savings (year1)	\$472,579
Annual Electric Savings	2,042,262 kWh
Tons of CO ₂ Saved Annually	2,822,000 Pounds
Payback after Incentive (yrs.)	7.6 years
Contract Term (length of guarantee)	10 years
Rebate Secured for the City	\$224,000 (Act 129 PPL Electric Utilities)
Procurement Vehicle	PA Guaranteed Energy Savings Act (Act 39)

TEN requested a reference from the City of Bethlehem and here is what Mike Alkhal, P.E., Director of Public Works and City Engineer for the City of Bethlehem, had to say:

"TEN has played a significant role in implementing our City of Bethlehem lighting project with skill, professionalism, and energy. The professionalism in gaining an understanding of the requirements of the project, follow-up, and the coordination of existing contractors was outstanding."

"Their professional approach to project management, responsiveness, coordination and communication along with the timeliness of output ensured that I would recommend TEN's projects team. It has been a pleasure working with them at all levels. Deadlines were met and communication, with the appropriate people, in both verbal and written form, was of high quality."



"The project diligently addressed our community member's concerns and emphasized public safety to the highest level. The aesthetic appearance of the Historic District was a high priority and TEN conscientiously worked with the historic district's non-profit organization and the City to meet their needs, not only to meet their visual goals but to stay within the confines of the project budget."

Michael Alkhal, P.E., City of Bethlehem

Project Reference - City of Harrisburg, PA LED Street Lighting Conversion

TEN was selected by the **City of Harrisburg** over 10 other companies (including a shortlist of Johnson Controls, Siemens, and Honeywell) to convert the City's street lighting system to state-of-the-art LEDs. Now substantially complete except for LED lighting being installed on 2 bridges, the project encompasses well over 6,200 fixtures, and took about 6 months to install and commission the cobra heads and decorative fixtures.



TEN delivered to Harrisburg as part of the project a comprehensive asset inventory audit of the entire street lighting system, loaded onto Harrisburg's GIS system. By the end of this year, all the roadway and street lighting in Pennsylvania's state capital owned by the city, including bridge lighting, will be illuminated with LEDs, including a controls system capable of, at a minimum, dimming fixtures, troubleshooting maintenance, locating each fixture by GPS, and monitoring energy usage from a centralized location.

Contact Information:

Wayne Martin, P.E.
City Engineer
City of Harrisburg
717-315-4255
wsmartin@cityofhbg.com

Project Summary

Total Fixtures Replaced	6,000+
Funding Source	M&T Bank (tax-exempt lease)
Initial Cost Estimate	\$2.8 million
Installed Cost	\$3.6 million
Annual Cost Savings (year.1)	\$510,333.00
Tons of CO ₂ Saved	5,736,872 Pounds
Simple Payback	7 years
Estimated Rebate Incentive	\$277,374
Procurement Vehicle	PA Guaranteed Energy Savings Act (Act 39)
Date Started	2015
Date Completed	To be Fully Completed & Commissioned December 2016

As part of TEN's audit in Harrisburg, the following data was collected in preparation of the conversion:

TEN Asset Inventory Audit Data Set for Harrisburg, Pennsylvania LED Street Lighting

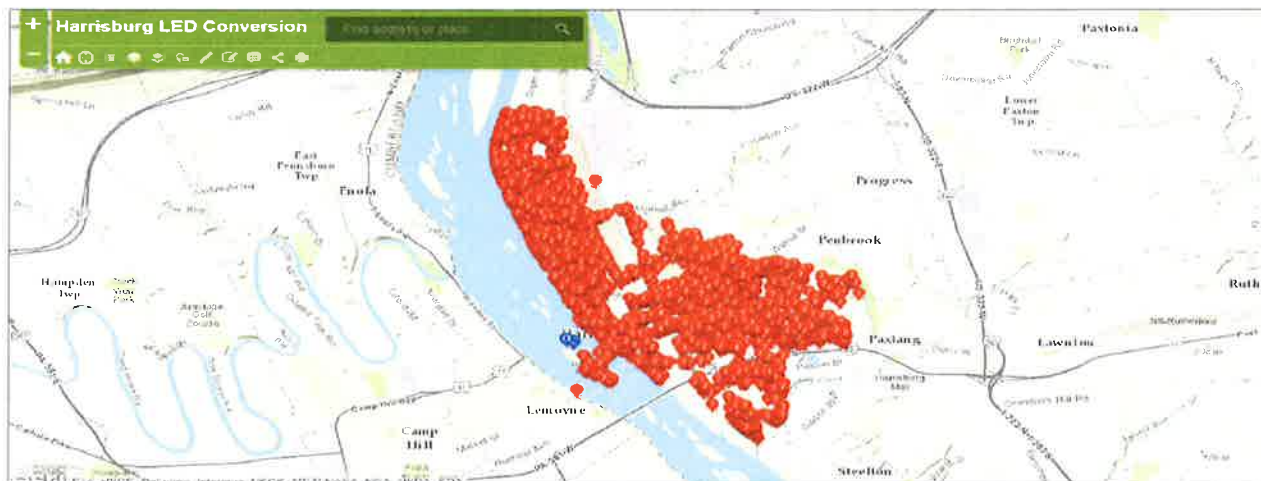
1. All current streetlight fixtures
2. Luminaire styles and types
3. Wattages for all luminaires
4. Actual sample lighting levels of each luminaire type and of each roadway type (access road, two-lane, etc.)
5. GPS/GIS pole location of each asset
6. Current condition of pole and mast arms

7. Infrastructure issues related to poles and wiring
8. Infrastructure issues such as tree/limb obstruction or interference
9. Compliance with PennDOT lighting requirements
10. Values and averages for lighting levels and uniformity; and comparison to current lighting standards, IES recommendations and other City requirements

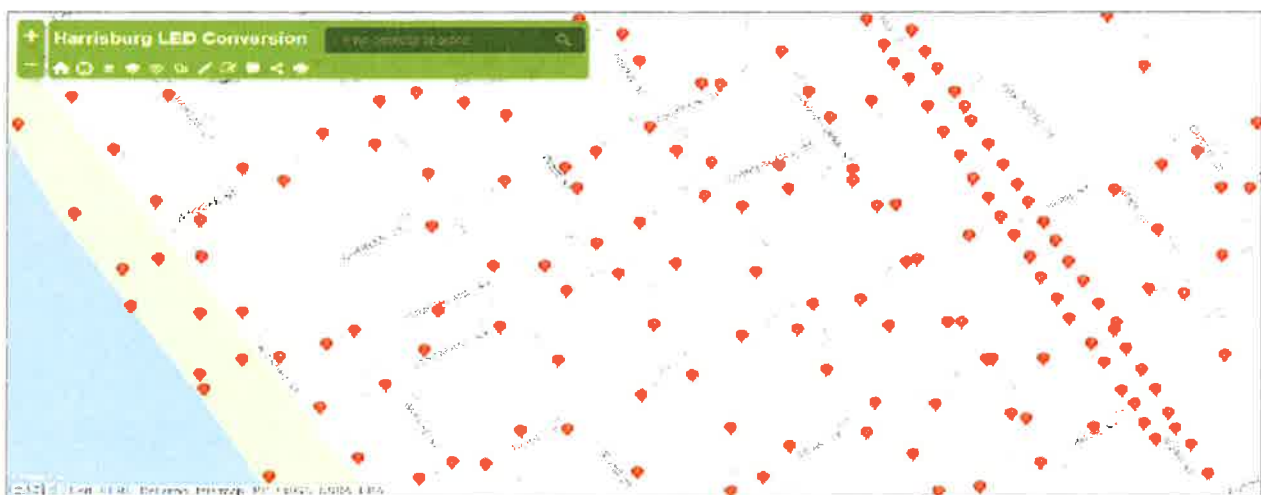
In Harrisburg, in addition to the Asset Inventory Audit, TEN is also undertaking an extensive historical analysis of utility billing for the streetlight system to determine any overcharges paid by Harrisburg to PPL Electric Utilities – known as TEN’s Overcharge Audit. To the extent that any overcharges are revealed, TEN will facilitate return of those payments to Harrisburg for as many years as permitted under applicable law.

Below is screen shot depicting TEN’s GIS/GPS progress mapping of the asset inventory audit for Harrisburg. At the time of this screen shot of the GIS system was taken, approximately 90% of the asset inventory audit was complete (red sections had not been audited). It’s now 100% complete and as the new street lighting system is being installed over the next several months, TEN will apply **real-time LIVE TRACKING** updates to its platform to inform all City stakeholders real-time as soon as a new LED is installed.

Progress Mapping – Installation LIVE TRACKING - 1

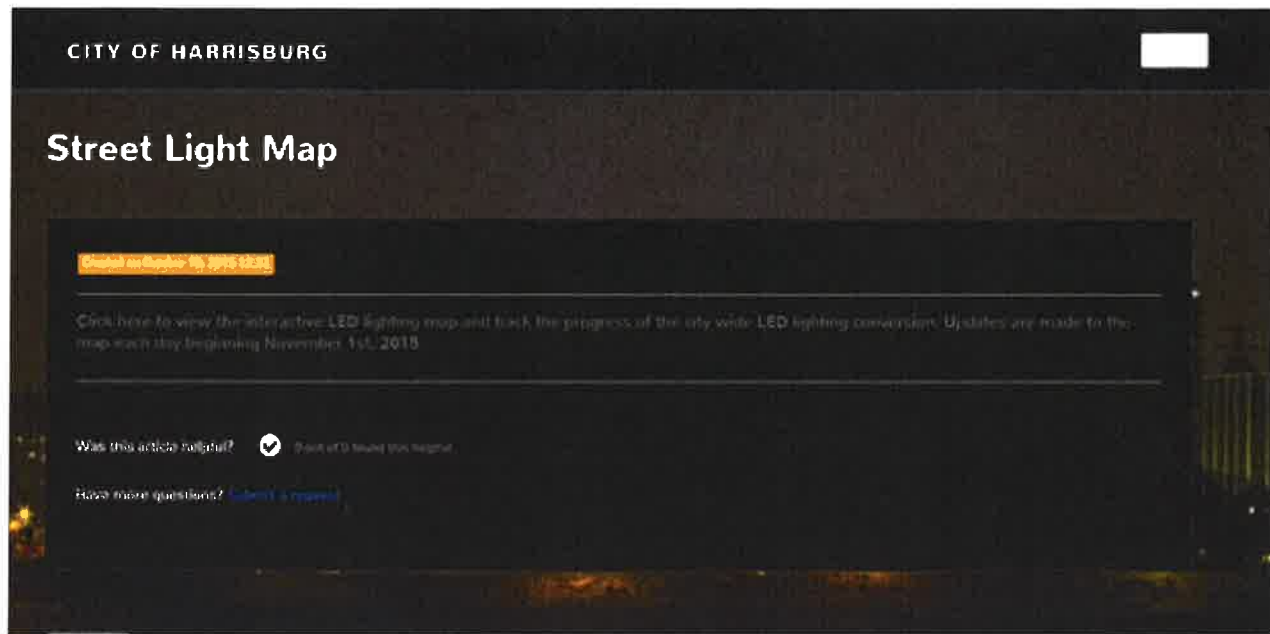


Progress Mapping – Installation LIVE TRACKING – 2 (ZOOMED IN)





LIVE TRACKING Provided on Customer's Website for the public to monitor progress



Project Reference - City of Scranton, PA LED Street Lighting Conversion

TEN Connected was recently selected by the **City of Scranton, PA** - over four other companies (Siemens, Johnson Controls, and SmartWatt) to conduct a technical energy audit and implement a guaranteed energy savings contract for the City's comprehensive LED street lighting initiative. TEN Connected's winning proposal included options for the City to replace fixtures, refinish and paint aging poles, the installation of lighting controls, and to incorporate revenue generating smart media technology throughout Scranton, known as the Electric City.



The project will include replacing more than 6,000 street lighting fixtures and is expected to save the City nearly \$400,000 in annual electricity and lighting system maintenance costs. Also under consideration by Scranton is the integration of a Smart Media Grid similar to those installed in New York City and Kansas City that will include outdoor and indoor interactive media kiosks, possibly digital LED banners on decorative poles, and a dedicated mobile app to generate revenue to help pay down the costs associated with the street lighting infrastructure upgrades. The revenue expected to be generated for the City of Scranton is approximately \$4 million over the first five years.

Contact Information:

David Bulzoni
City of Scranton, Business
Administrator
(570) 348-4118
dbulzoni@scrantonpa.gov

Once complete, the City of Scranton will not only have a 100% accurate GIS/GPS street lighting inventory and integrated GIS streetlight map, the City will have a new, world-class LED street lighting system with intelligent wireless controls to dim fixtures, turn them on/off, and to troubleshoot usage and maintenance.



Project Summary

Projected Cost Savings	\$400,000
Project Cost	\$4,000,000
Annual Electric Energy Savings (year.1)	2,595,669 kWh
Estimated Simple Payback	5.0 years
5-yr Smart Cities Revenue Projection	\$2+ million
Estimated Rebate Incentive	\$236,853
Procurement Vehicle	PA Guaranteed Energy Savings Act (Act 39)
Date Started	December 2016
Date Completed	To be Fully Completed & Commissioned in 2017

Parking Garage & Non-streetlight LED Lighting Upgrade Experience

When reviewing TEN's project references, note that TEN's team members, who are also assigned to this project, have been extensively involved with non-streetlight LED lighting upgrade projects. One of these recent projects is described below. For the Penn State University Beaver Stadium Project, TEN's team members performed the auditing, engineering, project development, selection and procurement of material and subcontractors (through competitive bidding processes), contract negotiation, construction management (including onsite project management), commissioning, training customer staff, and delivering the measurement and verification of project performance.

Project Reference: Penn State University, Beaver Stadium LED Lighting Upgrade with Integrated Controls

The significant element of this project was the critical path timing. In order to be ready for the upcoming football season, TEN had to expedite delivery of materials and contractors' schedules. The Beaver Stadium project included the installation of a comprehensive LED lighting system upgrade with a wireless controls system

- including exterior entry gates, concession walkway areas, loading docks, main concourses, ADA-accessible ramps, pedestrian ramps, and press stairways. All were completed in time for the first game of the season! And in fact, the fixture selected for the concession walkway areas, main

Contact Information:

Laura Miller, PE
Pennsylvania State
University
814-867-4740
Lmi20@psu.edu



concourses and pedestrian ramps **is a parking garage fixture (Cooper Top Tier) controlled by a sophisticated, but simple to use wireless controls front-end system.**

Total Fixtures	1,000
Installed Cost:	\$768,610
Annual Electric Cost Savings:	\$107,779
Total Annual Cost Savings:	\$139,779
Annual Electric Savings:	1,481,090 kWh
Annual O&M Savings:	\$32,000
Tons of CO2 Saved Annually:	1,021
Payback after Incentive (yrs):	4.9
Rebate Incentive:	\$76,776
Procurement Vehicle	PA Guaranteed Energy Savings Act (Act 39)



Parking Garage and Surface Lot References

TEN Connected can bring this same level of experience to the City of Portland's parking garages, where the City has ownership interest and manages the facility or in the facilities managed by MHR Management. TEN has noted that the City may want to include the following facilities in the project and to upgrade them with all new LED lighting: Elm St. Parking Garage (managed by the City of Portland Parking Division), Spring St. Parking Garage (managed by the City of Portland Parking Division), Casco Bay Garage (managed by MHR Management), and Temple Street Garage (managed by MHR Management).

TEN will leverage its significant parking garage experience, references and best practices to offer to the City of Portland economically sound options for upgrading all of the lighting in its parking garages to LED, with daylight harvesting, and controls to maximize energy savings and the fixture life cycle.

Below is a partial list of TEN's team experience with upgrading parking garage and surface lot lighting, as well as details on TEN's most recent parking garage LED upgrades installed at Temple University. References are available upon request.

Project Reference: Temple University: Liacouras Parking Garage

Total Fixtures Replaced	537
Funding Source	Customer funded
Installed Cost	\$453,029
Annual Cost Savings (year1) energy and O&M	\$74,315
Annual Electric Savings	752155 kWh
Tons of CO ₂ Saved Annually	428.4
Payback after Incentive (yrs.)	5.6 years
Contract Term	5.6 years of M&V post construction
Rebate Secured for the Customer	\$36,404 (Act 129 PPL Electric Utilities)
Procurement Vehicle	Self-Initiated by Temple

Project Reference: Temple University: Carlisle West Garage

Total Fixtures Replaced	417
Funding Source	Customer funded
Installed Cost	\$324,445
Annual Cost Savings (year1) energy and O&M	\$54,563
Annual Electric Savings	525,339 kWh
Tons of CO ₂ Saved Annually	299
Payback after Incentive (yrs.)	5.5 years
Contract Term	5.5 year M&V post construction
Rebate Secured for the Customer	\$24,239 (Act 129 PPL Electric Utilities)
Procurement Vehicle	Self-Initiated by Temple



Additional Parking Garage/Lot Project Experience

Customer Name and Location	Work Performed
5 th Avenue Place, PA	Lightings Upgrade for Large Multi-Tier Facility Parking Garage
American Beverage Corp-Papercraft, PA	LED Lighting Upgrade for Facility Parking Lot
Altoona Parking Authority, PA	LED Lighting Upgrade for Lot 16, Lot 17, Lot 20, and Parking Garage
Bellaire School District, OH	LED Lighting Upgrade for Parking Lots
The Bradley Center, PA	LED Lighting Upgrade for Facility Parking Lot
Carnegie Museums, PA	Lighting Upgrade for various Parking Lots & Multi-Tiered Parking Garage
City of New Kensington, PA	LED Lighting Upgrade for various Parking Lots
City of Morgantown, WV	Lighting Upgrade for various Parking Lots
Eastern Gateway Community College, OH	LED Lighting Upgrade for various Parking Lots
Pennsylvania State Capital (Harrisburg), PA	LED Lighting Upgrades for Capital Parking Complex
Housing Authority of Lawrence County, PA	LED Lighting Upgrade for various Parking Lots
Martins Ferry School District, OH	LED Lighting Upgrade for various Parking Lots
Neshannock School District, PA	LED Lighting Upgrade for Facility Parking Lot
Penn State University, PA	LED Lighting Upgrade for Medlar Field and various Parking Lots
Sardello Inc., PA	LED Lighting Upgrade for Facility Parking Lot
Temple University, PA	LED Lighting Upgrades for University Carlisle Garage, with controls
Temple University, PA	LED Lighting Upgrades for University Liacouras Garage Lighting, with controls
The Trimont Condominium Complex, PA	LED Lighting Upgrade for Facility Parking Garage
UPMC, PA – Magee Hospital, St. Margaret Hospital, Presbyterian Hospital, and Shadyside Hospital	Lighting Upgrade for 4 (four) Multi-Tiered Parking Hospital Parking Garages
Washington College, MD	LED Lighting Upgrade for D-Parking Lot, Kent Crossing Parking Lot, and other various Parking Lots.



E. Approach

TEN Connected's Approach

Designing a smart street lighting system for an entire city is no easy task. The City of Portland will require a high level of coordination, expertise, specialization, and experience to deliver the desired result of new street lights (equipped with technology that will enable the installation of a controls system if desired), possible infrastructure improvements, and positioning the City for any current and future smart city technology integration. TEN Connected will utilize proven methods, tools, and techniques to deliver a project that meets and even exceeds the City's expectations.

TEN Connected has been a first mover nationally, helping cities of various sizes upgrade their street lighting systems. The team at TEN Connected has done everything from developing a street lighting design to helping facilitate the procurement of materials to ensuring that all ideas are communicated with installation sub-contractors so that our customers receive the absolute best prices in the marketplace. Because of TEN Connected's turn-key role on numerous projects, **including the direct purchase of millions of dollars of street lighting and related lighting materials from the likes of Philips, Eaton/Cooper, GE, Leotek, Holophane (AEL), and CREE**, TEN has established substantial (and diplomatic) manufacturer relationships resulting in TEN's ability to analyze for our customers and then to deliver to them the highest quality commercialized lighting and smart city technologies, at the absolute lowest possible cost.

To meet the needs of the City, any contractor or manufacturer will require significant experience serving a city as well as being well versed in the latest smart lighting technologies. TEN Connected is intimately familiar with the significant coordination needs of a street lighting project and TEN Connected will design, develop and deliver to the City the best possible project at the lowest cost – **by driving down the costs of each project component – specifically including labor and material - at every level.**

General Scope Understanding, Project Goals, and Objectives

TEN Connected believes that clear communication and meeting the City's expectations every step of the way from the initial audit through the construction process, and training the City on new technologies and reporting results are all key components to successful project coordination and implementation. TEN Connected will work closely with Portland to help prioritize and to ensure that the project is implemented on-schedule, as budgeted, and that the benefits of the project survive for the long term.

TEN Connected will work with Portland to meet all goals related to design, product procurement, and installation, and TEN Connected is committed to working with the City to meet and exceed project goals:

- For the City: TEN Connected will produce a final design plan that maximizes energy and cost savings, that improves standardization while reducing the variety needed for inventory, and that matches communicated needs and improves overall public safety.
- For the City: TEN Connected will produce an Inventory Audit Report that will provide the desired information to secure funding and/or to provide further economic justification for remaining project-related costs, including locating each new asset by its GPS location – with 100% accuracy - rather than a 10-15 feet margin of error.
- For the City: TEN Connected's Inventory Audit Report will be **reconciled against CMP's current street lighting system data** to accurately confirm system inventories, and then to facilitate negotiation



surrounding the acquisition of the system from Central Maine Power under the newly established Maine legislation and Maine Public Utilities Commission regulations.



1. Audit

Methodology, Tools, and Techniques

TEN Connected will conduct a city-wide sample photometric field survey and audit, at street-level, delivered to the City of Portland sortable by asset, street, neighborhood, district, and by roadway type (access road, two-lane, etc.). The audit will verify compliance with existing regulations and lighting standards, address any deficiencies in the current street lighting systems, and will address any over-lit or under-lit areas of Portland.

Data collected concerning over-lit areas and discrepancies in lighting uniformity or irregularities in lighting levels will be used in preparation for reducing installed wattages to optimize energy savings to benefit the economics and payback of the project, while at the same time delivering recommended light level standards. Additionally, this data will be given to the City in an electronic file that can be seamlessly used with the City's existing GIS software, like ESRI ArcMAP, and in Microsoft Excel format.

The data associated with under-lit areas will be utilized to improve lighting levels in some areas to ensure greater public safety, security and proper visibility. For this purpose, TEN Connected has a fully-engineered process and dedicated audit team (equipped with the latest photometric measuring equipment) to inventory the existing lighting levels; the result of which are accurate street-level field measurements. In addition to photometric results, TEN Connected's inventory audit also gathers additional details and characteristics of the entire system.

TEN Connected's analysis not only will completely and accurately identify and inventory all assets of the street lighting system, but also will be cross-checked against the latest inventory data (to the extent available and from actual bills) provided by the City of Portland and uploaded onto TEN Connected's audit platform, to reveal any discrepancies. This will allow TEN Connected and the City of Portland to correct the data at the field level, at the time it is discovered.

TEN Connected's city-wide asset inventory audit, designed to facilitate a seamless transition to TEN Connected's project lighting design and installation, can, where the City finds value, capture electronically, each of the following:

Variables to Be Collected - Base Survey Information, including verification and GIS level mapping

1. All current streetlight fixtures;
2. Luminaire styles and types;
3. Wattages for all luminaires;
4. Actual lighting levels of each luminaire type;
5. Establish each pole's GPS location (with 100% accurate GPS location technology);
6. Cross reference with the City's existing asset inventory and lighting level and wattage information to identify inconsistencies in lamp type and wattage uniformity;
7. Current condition of pole and mast arms and;
8. Notation (comments and/or photos) of visual infrastructure issues related to poles and wiring or tree/limb obstruction or interference;
9. Confirmation of ownership and maintenance for each luminaire and pole;
10. Compliance with state roadway lighting requirements (where necessary);



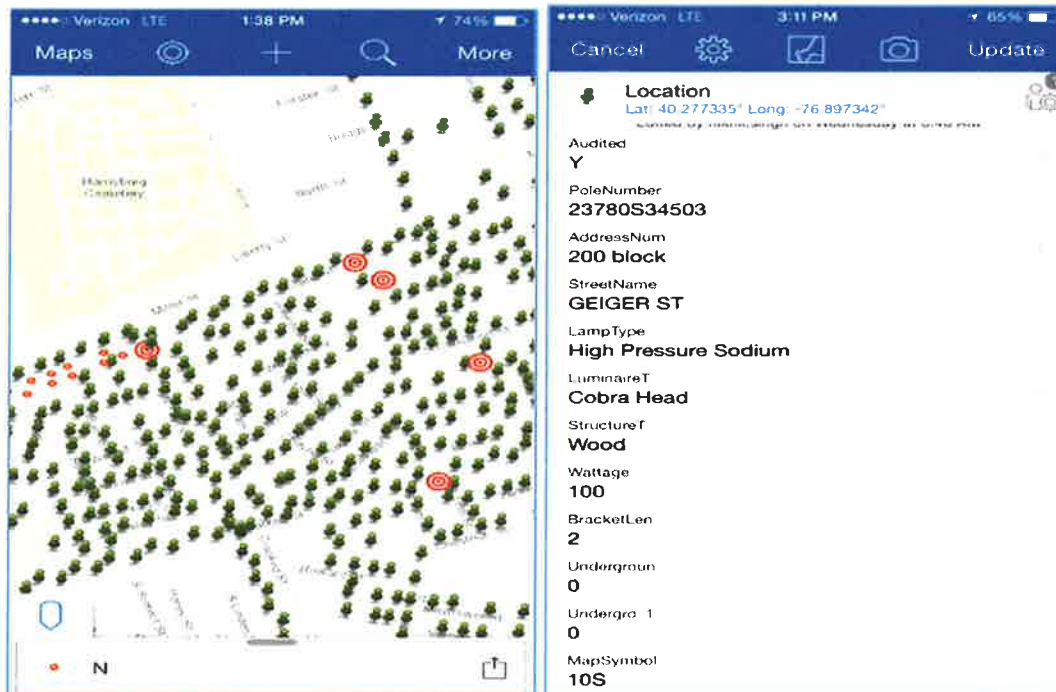
Optional Audit Information:

11. Roadway widths;
12. Discrepancies or irregularities in lighting levels;
13. Pole height of each asset;
14. Values and averages for lighting levels and uniformity; and compare them to current lighting standards, IES recommendations and any other specific City requirements.



TEN Connected will collaborate with the City of Portland through a final scoping analysis of the audit. Additionally, weekly (and sometimes daily) status reports will be made available through TEN's **GIS LIVE TRACK** system for each location to track the progress of the audits. Using our mobile-based inventory audit program, Portland officials will be able to access audit updates on the progress of the inventory assessment.

Following is a sample depiction of the system that would be used to collect data and to report to the City of Portland (daily or weekly) updates to the audit provided through TEN Connected's mobile-based audit platform.



Below is screen shot depicting TEN Connected's GIS/GPS progress mapping taken during the asset inventory audit for Harrisburg, PA. At the time this screen shot of the GIS system was taken, approximately 90% of the asset inventory audit was complete (red sections had not been audited). As TEN Connected completed the conversion of the street lighting system, TEN Connected also supplied **real-time LIVE TRACKING** updates to the City's platform to inform all stakeholders, real-time, as each new LED is installed.



For the Asset Inventory Audit, TEN Connected's highly trained project manager and lighting auditors will work with locally sourced and hired audit team members (and provide rigorous training on TEN Connected's developed platform) to complete the inventory assessment for the City of Portland.

For example, in Harrisburg, TEN hired local Harrisburg residents, who received extensive training on TEN's auditing platform – to work alongside our team to complete the audit of the lighting system and to assist in the final lighting design to be installed – resulting in a very successful locally-supported accurate audit. TEN Connected believes a similar approach would be ideal for the City of Portland. This is just one of the many ways TEN Connected creates local economic development opportunities through its projects.

Procedure to Develop an Audit Report

TEN Connected will review any inventory audit Excel spreadsheet and Central Maine Power account billing summaries (actual bills) supplied by the City of Portland to perform a utility bill analysis to determine the existing quantities on which the City is being billed, and the estimated wattage used to determine monthly costs to develop a baseline. The utility bill provides an explanation of terms and charges related to the supplier rate, generation and transmission rate in addition to noting the rate that Central Maine Power charges under the LED street lighting tariff.

TEN Connected used the City of Portland's breakdown of approximately 6,700 lights to develop the costs to deliver the audit reports, inventory assessment with utility bill reconciliation, financing assistance, system design, onsite project management, installation, and 24-hour maintenance utilizing a City of Portland & Central Maine Power pre-approved local electrical contractor.

Referencing the hours per year that Central Maine Power uses and the estimated wattage for each luminaire, TEN Connected then can determine the total yearly consumption. This analysis forms the basis for baseline energy consumption. In terms of design, relying on TEN Connected's past street lighting project experience, we know what the post wattages for each existing lamp type should be - therefore an estimate for costs (and eventually savings when TEN Connected is in receipt of Portland's comprehensive billing





information) is completed with an extremely high degree of confidence and certainty. Knowing the existing yearly consumption and estimated savings, TEN Connected then performs an energy balance reconciliation to determine and ensure our savings projections are correct.

The City will then see, as a result of completing this project, a kWh reduction and a reduction in monthly utility bills.

Once the verification and finalization of TEN Connected's Asset Inventory Audit (with Utility Bill Reconciliation) is completed city-wide, TEN Connected will have an extremely accurate depiction of existing quantities, wattages, conditions, and what will be required to finalize the design and installation plan. With a completed audit, TEN Connected can obtain accurate material and labor costs as well as post retrofit wattages – keeping in mind that the City may be currently paying for some street lights that no longer exist. The audit will facilitate accurate billing moving forward which will also represent some direct utility cost reduction without the need for any corresponding retrofit costs. After installation, and after all other utility negotiations are complete, TEN Connected will also advocate for reimbursement of previous billing inaccuracies that are documented through this process.

Approach to the Project and Establishing the Amount to be Financed

TEN Connected believes it to be prudent to use the City's current inventory audit spreadsheet (to the extent one is available) in finalizing the preliminary project size knowing (as is always the case with audits) that they have a certain level of accuracy. Therefore, the final project size will then be represented and TEN Connected will indicate the final amount of project funding, and that it may vary "slightly" as the project costs are refined and completed.

RAPID IMPLEMENTATION – Recommended Alternative✓ TEN's "Best Process" Implementation Approach for the City of Portland

Instead of conducting the inventory audit/survey prior to installation, TEN is proposing to undertake the verification survey/audit (and 100% accurate GIS level mapping) simultaneous with installation of the streetlight fixtures themselves.

As part of this recommended **RAPID IMPLEMENTATION**, TEN Connected then also recommends using a fully cost disclosed "add" / "deduct" unitized pricing approach in the contract to ensure and inform Portland of any discrepancies experienced in the audit as installations and upgrades are actively being completed. What this means is that Portland will only be charged for the exact number of fixtures converted to LED, and not some projected amount.

This approach has multiple benefits:

- i) Reduces the redundant cost of providing a second audit (if one already exists);
- ii) Shortens the delivery time of the project by months and allows TEN Connected to begin installing significant scopes of the project to capture savings for Portland more immediately;
- iii) Protects Portland from the concern of incurring unnecessary costs knowing "upfront" what the costs are for any changes encountered during construction; and,



iv) Provides for a way to reconcile, exactly, for any discrepancies in an audit through fully disclosed unit pricing.

If the City requires an asset inventory audit prior to installation, based on TEN Connected's significant experience and technical expertise, TEN Connected will deliver to the City a customized Asset Inventory Audit report **accurately identifying costs, savings and payback** (both simple and with any rebates or incentives included) for the LED streetlight retrofit. Again, there are few, if any, energy and utility situations TEN Connected has not already encountered and successfully addressed for other street lighting clients - specifically including: i) successfully applying for and maximizing available rebates, ii) applying for, and obtaining confirmation of corrected billing (tariff adjustment) for newly installed wattages from the utility, and iii) obtaining refunds for overcharges related to street lights that no longer exist.



2. Financial Stability and Capability

TEN Connected is financially well qualified to provide the City of Portland with custom financing options for funding 100% of the project. Because the economic and financial structure of each project is just as important as the technical outcomes, the proven financial capabilities of our team will result in the lowest possible cost financing options available at the time of financing.

Our team's experience in creating project financing for municipal customers over the past several decades will enable TEN Connected to assist Portland in meeting its internal financial requirements. Financing structures can vary based upon the types of equipment and systems to be installed, the available savings to be leveraged, and the type of accounting recognition and treatment requested by Portland. TEN Connected will customize the terms of our contract for the City of Portland to ensure that the City receives the absolute lowest cost financing.

TEN Connected is significantly experienced with managing, quickly and efficiently (within days), an internal RFP process for our customers to "open the financing up to competition" so that our customers receive the absolute most competitive rates and terms possible. This process often invites the City's current local financial institution to bid on the project financing. This is another way TEN Connected creates local economic development opportunities strengthening communities.

TEN Connected does not have any monetary interest in financing the project, nor will TEN Connected receive any commissions related to a financed project should TEN introduce the City to an eventual funding source.

Tax-Exempt Lease Purchase Option (Recommended)

A third-party funded, tax-exempt lease is a common method of funding LED street lighting conversion projects. The tax-exempt lease structure generally offers the advantage of quick availability of funding, low issuance costs and flexible payment terms that can match up to the savings cash flow, thereby always assuring the City borrowing the funds is cash flow positive, or at the very least, neutral.

"Shared Savings" / "Sale Leaseback" and Power Purchase Agreement (PPA) Models (Not Recommended)

TEN Connected does not recommend that the City of Portland enter a system acquisition agreement whereby an independent third party (not the City) purchases the street lighting system from the City or on behalf of the City and then leases the system back to the City of Portland. This "Shared Savings" funding model, in most cases, is unnecessarily costly and is generally reserved for non-government organizations that do not have access to extremely low-cost, tax-exempt funding sources.

The shared savings model is materially flawed (and has been out of favor for many years) because the City of Portland can obtain financing dollars to invest in the project much cheaper than a third party (with higher investment thresholds to meet) can loan it to them. In some cases, these "solutions" come with a hefty 10% or more cost of capital interest rate built to support the internal rate of return (IRR) requirements of the "lender" – making it necessary for that lender to "share" the savings with the City to cover the higher costs. Additionally, with current and historically low tax-exempt interest rates available, the City should not share the energy savings dollars with a third party when the most economically prudent course of action should be for the City to retain all of the energy savings to support the conversion – resulting in more scope for the available savings when compared to the shared savings model.



3. Design

There are important aesthetic, performance, operational, and ethical decisions that must be made when deciding on the street lighting package and installation configuration. These include determining the lighting levels required to accomplish the objectives; balancing the cost, energy efficiency, public safety, maintenance regime, and life cycle of the product chosen; choosing a fixture and pole style; addressing sky glow and light trespass through cut-off options; consideration of control systems; deciding on a light curfew (if appropriate); deciding on pole height and spacing; and evaluating the effect of lighting on nearby ecological habitats, such as parks, greenways, and riparian corridors. We discuss a few of these items for consideration in detail within this section, because important design decisions are informed by these considerations and the quality of the field audit.

The main goal of a TEN Connected-converted streetlight system is to ensure that safety, security and visibility are maintained throughout the City of Portland by ensuring appropriate lighting levels. At the same time, the volatility of electricity markets, how electricity is priced into the market and, the seemingly inevitable rise in electricity prices, require TEN Connected to focus directly on reducing excess energy consumption wherever possible to offset all costs of the project.

In addition to the goal of improving safety, security and visibility for residents, motorists, cyclists and pedestrians, TEN Connected's design will detail and verify lighting levels and discrepancies in uniformity of existing installations, and will identify any areas requiring corrective action to ensure that, municipality-wide, the lighting system, designed and installed by TEN Connected, will be consistent with nationally recommended lighting level standards post-conversion.

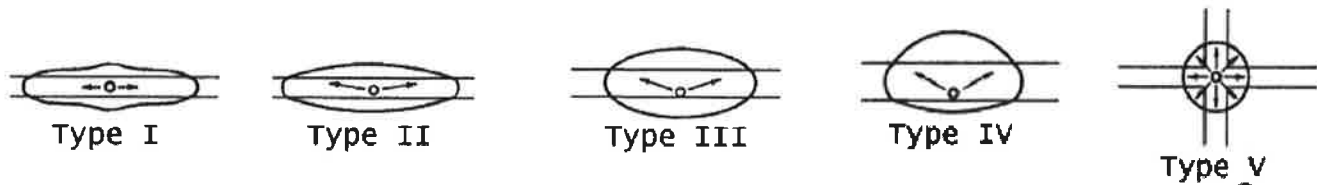
Importantly, TEN Connected shares the City's perspective that, although IES RP-8-14 recommends adequate light levels for the safety of pedestrians, cyclists, and vehicles, existing pole placements limit the degree to which IES standards can be met. Therefore, TEN Connected has independently developed design methodologies that will best deliver adequate lighting for the City for the expected product life cycle while at the same time supporting Portland's specific goals for the project. And therefore, IES standards may not be the standard selected by the City of Portland.

For example, enhanced public safety/homeland security is the primary focus of the City of Baltimore's Phase I LED conversion project. So much so, that the City asked TEN to evaluate fixtures best suited to "overdrive them" in high crime areas to shine a light on potential criminal activity – with the added feature to be able to dim the LED in the future if necessary to preserve its life cycle. TEN's customized design methodologies (based on life cycle costs and fixture performance analyses), after having been fully understood by Baltimore, were used by Baltimore to make its final selection on fixtures.

Appropriate Lighting – Pedestrian/Vehicle and Bicycle/Vehicle Crash Data, Light Levels/Spacing, Localized Land Uses, Volumes of Bicycle Activity, and Unique Neighborhood Activities.

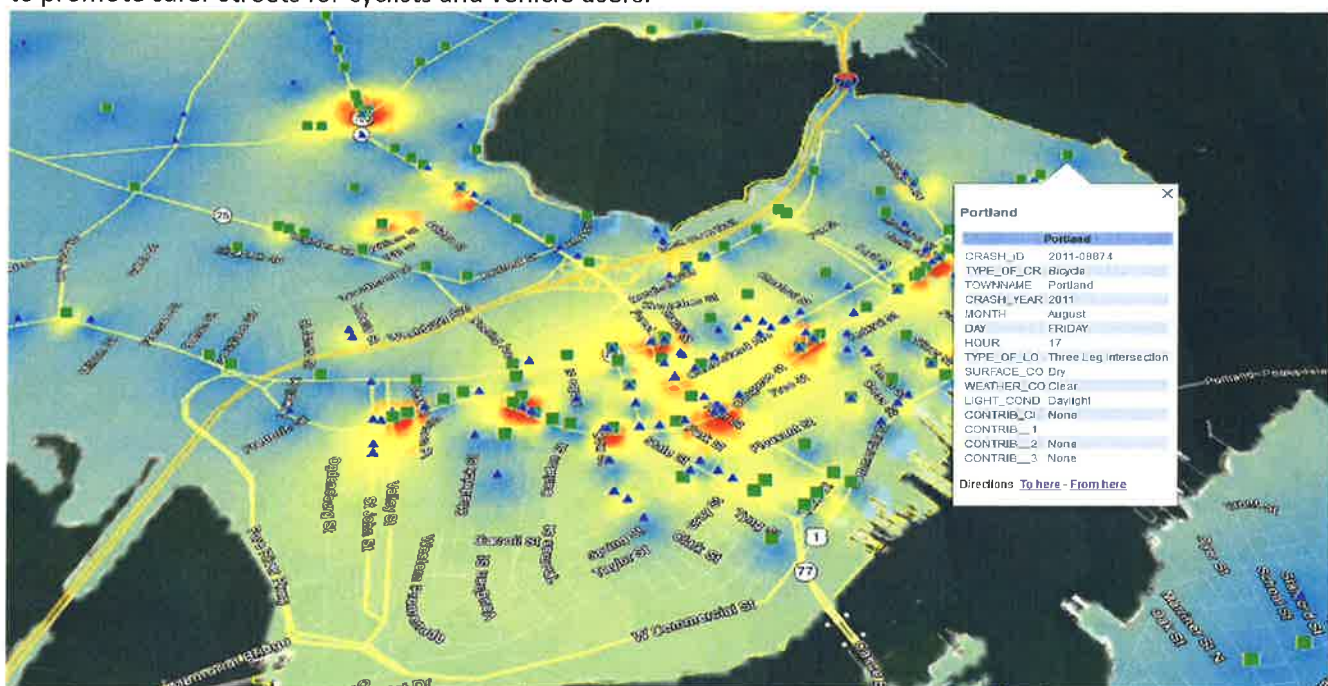
In the City of Harrisburg, PA, TEN was also asked to identify areas where lighting could be increased – public safety corridors, parking enforcement zones, and high crime locations, and then to recommend the most appropriate light levels and technology to meet those specific goals. TEN's design methodologies and recommendations were used by Harrisburg to inform their final fixture selection decision.

Different luminaire types can produce different lighting distribution. TEN Connected will work with the City of Portland to incorporate an appropriate analysis of important data points that can be used when developing the final lighting retrofit design. For example, this may include using a specific distribution footprint and light output for bicycle paths and high traffic locations coupled with pedestrian proximity, as well as analysis of the specific lighting distribution types, as follows:



Additionally, by utilizing data from the Maine DOT, the Greater Portland Council of Governments, and the City's Bicycle Coordinator, TEN Connected will utilize the specific and most recent pedestrian/vehicle and bicycle/vehicle crash data from the City of Portland, and our team will evaluate options available to the City and TEN Connected will make recommendations regarding appropriate changes to light levels in specific locations throughout the City.

TEN Connected Solutions would make recommendations to address lighting levels in the areas highlighted below to promote safer streets for cyclists and vehicle users:



From GPCOG, <http://www.gpcog.org/transportation-land-use/bikepedtrails/bike-ped-crash-data/>, Accessed 11.2.2016

Addressing Localized Land Uses

TEN Connected Solutions has experience working with cities to address lighting in areas like parks and waterfronts or where cultural facilities or public safety buildings require specialized lighting design. For example, TEN Connected has received positive feedback from Fire officials in the City of Harrisburg regarding the new LED street lights. Both firemen and local residents of Harrisburg feel the new lighting is an asset to the area and that it encourages better community engagement.

Addressing Placemaking, Wayfinding, and Unique Neighborhood Characteristics

When it comes to street lighting specifically, there are many opportunities for contributing to the aesthetic quality of a street. Using intelligent luminaires with a controls system, the intensity of street lights can be adjusted to not only save energy but also to highlight certain streets and provide cues to people that a particular street is residential (less bright) or commercial (brighter). Intensity of light can also be moderated to indicate activity during



special events. A further use of intensity is to provide illumination for direction during emergency or evacuation situations.

It is also important to limit the amount of light that trespasses onto adjacent buildings for a number of reasons. Light trespass can be a serious annoyance for residents whose interior space is affected by street lights with insufficient cut-off. Light trespassing on a building may also interfere with a property owner's attempts to control the nighttime aesthetics of their building via their own architectural lighting scheme.

Another factor is the surrounding reflective value of materials, such as sidewalks, and weather conditions, particularly rain and snow. Reflective surfaces impact luminance, increasing available light by up to three times. When sensors and control systems are in place, lights can be dimmed accordingly to optimize performance and minimize the cost of operation.

When glare and light trespass are due to fixture design, they can be solved using reflectors and shields on luminaire heads to shield or diffuse excess light. In general, when considering LEDs, a color temperature of 4,100K or warmer is recommended by TEN Connected.

Addressing Safety – Benefits Residents, Visitors, Public Safety Officials, and Businesses

A major task of street lighting is to increase safety for motorists, pedestrians and bicyclists, particularly at intersections where pedestrians/bicyclists may be crossing. A consistent concern is that high-mast streetlights, particularly those garnished with High Pressure Sodium (HPS) bulbs, do not adequately provide contrast between the pedestrian/ bicyclists and the background.

Metal halide fixtures have long been used at intersections because their better color rendering allows for better visibility and contrast. LEDs provide a similar benefit in this application. Street lighting also has an impact on the ability of emergency and utility personnel to perform their work at night. Emergency personnel report having a hard time seeing color properly under HPS lighting. This is an issue when work crews must identify colored electrical wires, for example.

LED lighting, with its potential for bright light and glare, needs to be designed to be compatible with camera performance. In some cases, cameras may also need to be repositioned for enhanced views. Safety officials generally prefer uniform light along the street, believing that uniform light eliminates shadows and adds to clarity. And LEDs improve the results of facial recognition technology.

Nighttime lighting also provides psychological comfort for society's more vulnerable members. When designed properly, lighting can be an effective tool in promoting outdoor safety. Crime Prevention Through Environmental Design (CPTED) researchers recommend that outdoor lighting be used to help with "natural surveillance" and "natural territorial enforcement" strategies.

Specific tactics include:

- Avoid poorly placed lights that create blind-spots for potential observers and miss critical areas
- Ensure potential problem areas, such as stairs, entrances and exits, ATMs, bus stops, dumpster and recycling areas, are well-lit (but not overly lit)
- Use shielded or cut-off luminaires to control glare
- In pedestrian areas, place lighting at heights that will illuminate the faces of the people
- Use lighting to identify property ownership and define public, private, and semi-private space

Addressing Energy Use – Benefits Residents, Visitors, Environment, and Businesses



LED technology's low-energy profile can further be enhanced using control systems and sensor programs that allow for user-control of street lights in certain contexts. Luminaire light output may also be reduced when there is sufficient natural ambient light.

LED lighting is increasingly emerging to capture energy efficiency savings around the world. There are more than 131 million street lights in the United States alone, producing 128 million metric tons of carbon dioxide pollution annually. Ninety percent of these lights are HPS or older technologies. Approximately ten percent are LEDs.

A comparative life cycle assessment of available street light technologies by the University of Pittsburgh's Mascaro Center for Sustainable Innovation noted the following:

- 22% of all energy generated in the U.S. is used for lighting, with 8% of that used for public outdoor lighting.
- A significant portion of the power used for current (not yet converted) street light bulbs produce heat, not light.
- LEDs are the most efficient, durable, long lasting and environmentally clean lighting source to date.
- LEDs last upwards of 20 years, significantly reducing maintenance costs, waste and environmental impact.

According to the US Department of Energy, in the next 20 years rapid adoption of LED lighting in the U.S. can:

- Reduce electricity demand for lighting by one-third
- Eliminate 258 million metric tons of carbon emissions
- Avoid building 40 new power plants
- Create financial savings that exceed \$200 billion

Post Field Survey Efforts:

Generally, Post Field Survey Efforts produce the following data which TEN would analyze, review and provide to the City: (1) Manufacturer Selection, (2) Final Design, (3) Installation Plan Base on Field Survey, and (4) Energy Analysis.

Addressing Monitoring – Benefits Residents, Visitors, Public Safety Officials, and Businesses

Since LED lighting is electronic, it can be remotely controlled or addressed on an individual fixture basis, on a series basis such as a particular street, on a City-wide basis, or any combination. Control methods include sending electronic signals by radio frequency (RF), internet (Wi-Fi), cellular, or simply by hard wiring. Safety officials feel the control possibilities of LED street lighting offer a variety of communication methods to alert fire and police. For example, the fixture closest to a 911 incident may flash on-and-off. Light intensity can also be increased to provide additional light when needed. Controls can be used to identify each street light's location to measure power usage on an individual basis, to signal when replacement is needed, to identify burned out or damaged fixtures, or to adjust color temperatures to accentuate warmer or cooler hues.

Addressing Durability – Benefits Local Government

AASHTO provides standards for the durability of luminaires in general. As a solid-state (SSL) technology, LED arrays are more able to hold up to shocks and vibrations.

Addressing Reliability – Benefits Local Government

Storm events knock out street lights and traffic signals, requiring Public Safety to assign police officers to critical intersections on a 24/7 basis for at least 24 hours and occasionally longer to direct traffic. At critical locations, backup batteries can be used for LED fixtures.



Addressing Light Output – Benefits Residents, Visitors, Public Safety Officials, and Businesses

Emergency responders require street lighting to be bright enough for emergency situations. While street lighting will need to meet minimum Lighting Ordinance footcandle standards, the amount of light may not be enough under certain emergency circumstances. The ability to increase LED light output at intersections via addressable controls is an advantage of the technology.



Addressing Light Pollution – Benefits Residents, Visitors, and Businesses

Light pollution is possibly the easiest form of pollution to prevent since it is easily controlled through proper fixture design. Additionally, much of it, such as streetlights, is directly under the control of the public sector or is subject to regulations.



4. Project Management

More detailed information about TEN Connected's Project Team can be found in **Attachment 1**.

TEN Connected Solutions' team has managed LED conversion projects of nearly identical size and complexity to the City of Portland in Harrisburg, Bethlehem, Baltimore, and most recently Scranton. TEN Connected's experienced in-house project managers will utilize our automated platform to update the City on project success, in addition to regularly scheduled in-person progress meetings. Starting in the design phase, and continuing through the implementation phase, TEN Connected will develop our partnership approach with Portland to identify the personnel required to efficiently execute the project.

TEN Connected believes that clear communication and meeting (or exceeding) the City's expectations every step of the way through the construction process is key to successful project coordination and implementation and garnering an excellent project reference from the City. TEN Connected will partner with the City to help prioritize and to ensure that the project is implemented on-schedule, as budgeted and that the benefits of this program survive for the long term.

When TEN Connected is invited to assist in optimizing equipment selection and system design, our team goal is purely to serve the City with a high-quality project implementation which saves operating costs (energy and maintenance) while delivering a street lighting system providing optimal comfort and safety, and other required environmental needs. TEN Connected's team researches, designs, and oversees field installations and testing of the high-quality, cost-effective lighting conversion project. TEN Connected will assist the City in analyzing the efficiency and other characteristics of several world-class LED fixtures for possible selection. TEN Connected's independence from any manufacturer enables us to provide the City of Portland with the most appropriate lighting solutions that efficiently address specific needs – further enhancing your results. TEN Connected's engineers and lighting designers have been able to diplomatically work with product manufacturers and suppliers on a national level to help in the selection of equipment and systems that can deliver a lower life cycle cost and better controls functionality.

Our team has experience project managing multiple projects simultaneously – from LED street lighting upgrades to smart city technology implementations to parking garage upgrades and more – and TEN Connected Solutions will bring that same level of expertise, experience, and coordination to the City of Portland.

Implementation

The major general steps of a TEN Connected construction implementation plan are as follows:

Initial Project Startup

Immediately upon award of the project, TEN Connected will further develop the partnership strategy with the City and identify all of the personnel required to efficiently execute this project. Once all the members of the project team are identified, the expectations for the project and its implementation will be clearly outlined. The project's implementation milestones will be established in the contract and in more detail in the project's regular construction meetings. These milestones will be confirmed regularly through clear lines of communication which have been established to facilitate a successful project implementation.

Procurement

As each project submittal is approved by the City, purchase orders will be issued for materials and subcontracts entered into for installation. TEN Connected will carefully evaluate the pre-identified subcontractors to determine the most appropriate fit for the project scope. TEN Connected's independence from any particular subcontractor or manufacturer ensures that it is able to provide the most appropriate solutions that efficiently address the City's



needs. As a result, TEN Connected can develop an objective and unbiased partnership with the City by implementing the lighting equipment and system upgrades that generate maximum returns often times through local community resources.

FOUR CRITICAL STEPS that TEN Connected has identified in the project implementation phase, that require precise record-keeping, and that are handled directly by TEN Connected's Project Manager are as follows:

1. MONTHLY Utility Notification of work complete - Allows savings to be "activated" on a monthly basis
2. MONTHLY Rebate Notification of work complete - Keeps a steady rebate cash flow returning to customer
3. MONTHLY Customer Invoicing of work complete - Systematically spaces project costs to customer
4. MONTHLY Subcontractor Invoicing of work complete - Provides cash flow for equipment and miscellaneous costs

Construction

Regular meetings will be held with the City to establish construction guidelines and TEN Connected will also work with the City to minimize the impact to the City's operation of the construction activities. Standard project management tools, such as a Gantt chart and detailed meeting minutes will be used to track progress. TEN prides itself on a proven track record of successful project implementations in varied settings which include everything from installations in offices, classrooms, gymnasiums and major sports stadiums, to special access situations in correctional and medical facilities – and certainly street lighting projects where traffic and pedestrian safety is of critical importance.

Construction services will be sourced through mutually agreed upon specialty electrical and controls subcontractors. All subcontractors perform their work under the direction of our in-house construction project management. TEN Connected's construction project managers will collaborate with our internal engineering team on specific design issues which are certain to arise. TEN Connected's internal project management team also will be responsible for training, preparing custom Operations & Maintenance (O&M) manuals, overseeing project commissioning, and ensuring the proper deployment of the control system (if included) to the satisfaction of the City.

Inspections & Reporting

As part of our Quality Control program, continuous inspections during construction are performed to ensure compliance with the scope of work and any City of Portland requirements. TEN Connected's project managers and engineers along with the City's representatives will inspect the construction of the project. Progress will be tracked on a daily and bi-weekly basis, and the results shared with the City and the Project Team.

Project Commissioning Plan

In the street lighting industry, the term "commissioning" is often applied to lighting control system activation, and applies to the entire City and its energy-using systems, including luminaires and controls. System activation and functional testing are steps within a larger process of ensuring all installed systems satisfy the design intent and owner requirements.

Commissioning answers the question, "Does the lighting system perform according to what the owner wanted and the designer intended?"

Using a Global Positioning System ("GPS") and a GPS functional control node embedded into each fixture, TEN Connected installs and activates the new street lights to be able to identify themselves and network instantly ("real time"). This approach reduces the cost of programming each fixture and eliminates on-site commissioning.



If the City's street lighting configuration resides on its own Geographic Information System ("GIS"), various types of lights including traffic signals, decorative, park lights, and various types of decorative lighting can be combined with the existing street lights ("layered GIS"). TEN Connected has (for other cities) and, will for Portland, integrate the LED converted street lights into Portland's GIS as the City sees necessary to do so.

Measurement and Verification (M&V) Approach

Energy savings for an LED street lighting upgrade project is determined by comparing annual energy use before and after the installation of the upgraded technology. The objectives of the M&V process are to document the annual energy savings achieved by the project. Energy use patterns are studied before and after the installation, and the annual energy savings are calculated as the difference between energy use before and after implementation (base-year and post-retrofit energy use). The calculation of energy savings may require adjustments to hours of operation if adjustments are permitted by the City's utility to optimize savings.

TEN Connected will provide a guaranteed savings program to Portland based upon sound and proven engineering design principles that: 1) isolate the energy efficiency criteria for which TEN Connected is responsible, and 2) specify those other parameters which are beyond TEN Connected's control.

TEN Connected will provide a customized M&V plan designed with the International Performance Measurement Verification Protocol (IPMVP) in mind. Our lengthy experience with all IPMVP options (A, B, C and D) enables us to delineate the cost and benefits of the approach needed to ensure the optimal structure of the M&V plan for Portland. As a means of equipment verification, the selected control system can act as a check and balance to verify the upgraded equipment is performing as TEN's Designer and the manufacturer intended.

Project Acceptance

TEN Connected's Project Manager will work in conjunction with the City's assigned project representative(s) and other personnel to make sure all systems, fixtures and equipment are performing as designed. Any deficiencies will be identified as punch list items and will be used to track and correct the deficiencies. Once the City and Project Manager have signed off on the completion of the Project, it is turned over to the City of Portland's street lighting operations personnel.

The Project Acceptance date marks the start of the material workmanship warranties from the manufacturer, and the savings measurement period.

In addition, a functional customized Operations and Maintenance (O&M) Manual will be provided to help optimize operation to provide significant energy savings and other lighting upgrade-related benefits. TEN views its O&M Manual as a risk reduction strategy, which will help systems run efficiently, function properly, and deliver its full life expectancy of value.

Operation & Maintenance Plan

TEN Connected's partnership approach continues throughout the contract term after the project's implementation to ensure that the savings guarantee (if applicable) and equipment operating parameters are realized. The warranties will be well-documented in the project-specific operating manuals and TEN Connected stands ready to assist Portland on any warranty issues throughout the warranty period. TEN Connected's approach to cost-effective maintenance of the project is to train City staff whenever possible, to understand and address operation and maintenance issues before the completion of the project.



In order to guarantee efficient functioning of all installed equipment, and the energy management goals of the City, a customized preventive operations and maintenance plan (POM Plan) will be delivered by TEN Connected after project completion.

The POM Plan would consist of a checklist of tasks that are performed at manufacturer-recommended intervals (usually measured in hours of equipment run time). This checklist will be kept in the form of a log and updated manually when tasks are performed. The POM Plan would include the following fundamental information, gathered during the audit:

- Installed Equipment;
- Manufacturer's name;
- Vendor's name and contact information;
- Date installed;
- Warranty information;
- Recommended parts lists;
- Vendor maintenance;
- City of Portland maintenance required;
- Maintenance checklist;
- Training;
- City-wide lighting and lighting controls layouts and as built lighting and lighting controls layouts.

In general, a comprehensive maintenance program should include:

- Fail alert system details
- Luminaire cleaning plan (Less heat is generated on lenses by LEDs than HID lamps so less dust normally adheres and fuses to the lens than historically with outdoor luminaires)
- Unit, power supply and photocontrol replacement plans (Note that today's power supplies are rated for 100,000 hour life and the expectation is that replacements will be very rare (<1%).

Training

Training is an important aspect of TEN Connected's offering. City personnel need to understand the objectives of the energy savings program and equipment operation to meet those objectives and to have them sustained over time. To that end, TEN Connected prefers to conduct comprehensive training on-site, during the construction phase to familiarize the City staff with the new systems. The majority of training is focused on familiarizing personnel with the new lighting and controls equipment being installed, equipment / system operation and regular maintenance. Most of the training will take place during start-up of the equipment and during the commissioning process, and some at project completion. All training is coordinated by TEN Connected project managers and the operations representatives from the City.

Integration with the City's GIS System, if available

Unique to our offering, we believe, is our ability to provide the City with electronic GIS shapefile format files that we create through our integrated, mobile-application driven auditing process and commissioning during our structured installation process. This enables the City to integrate all the new street lighting information (i.e. technology, inventory, wattages, GPS locations, etc.) into their existing Global Information System (GIS) at the time of installation. This is incredibly helpful, we believe, to the City of Portland in managing its street lighting system, providing analytics, and ensuring quick response times to outages.



When difficult and complicated challenges arise, TEN Connected's broad expertise and street lighting specialization will enable studying the problem, exploring all possible solutions, and supplying clearly defined options along with a recommended course of action.

Quality Control

TEN Connected Solutions believes that quality control starts in the development stage and continues all the way through construction, commissioning, and measurement and verification. An effective energy savings program requires a delicate balance between engineering and construction management. We establish this balance by involving the construction team during the development phase of the project to ensure the "constructability" of the lighting and lighting controls solutions we propose. The ultimate success of any energy savings project is measured by the ability of the installed systems to achieve the projected savings targets and to meet environmental expectations, while the success of a brilliant design is also predicated by its ability to be constructed. TEN Connected takes a comprehensive approach to development and engineering to establish this critical balance. This approach is made possible through TEN Connected's in-house design, engineering and construction management personnel.

Our design, engineering and construction teams work closely with each other to develop the scopes of work that are competitively bid to the City of Portland's approved vendors and subcontractors to ensure that the design intent is met, the project/system can be installed properly and maintained, and the construction team is very familiar with the project before installation begins. This seamless and transparent hand-off to construction ensures quality control. TEN Connected's team is also open to our subcontractors' input when it improves the design and/or lowers the cost to provide a better solution for the City.

Reporting to TEN Connected's Director of Installation, the on-site, 30-hour OSHA Certified Project Manager is accountable for the management of all assigned project construction activities taking place. The Project Manager (PM), through their on-site management, will ensure that the worksite is safe, supervised and managed in an effective and efficient manner for the City of Portland. Maintaining and managing daily communications with and directing the activities of all subcontractors is key to the success of the project. Our project managers utilize TEN Connected's proprietary cTEN application to quickly and efficiently report worksite progress, concerns and work scheduled to be performed the following day. This communication is shared with both our Director of Installation and designated personnel of the City as another procedure to monitor quality control.

Warranty and Maintenance

A comprehensive, 10-year material warranty will be included with TEN Connected's project offering for the City of Portland. The manufacturer's warranty will pass through TEN Connected directly to Portland to ensure that the City will have direct access to the full value of the manufacturers' warranty over the term of the contract. Specifics of this warranty will be negotiated to the best terms for the City and detailed in writing through the final engineering process with the selected manufacturer(s).



5. Technology Procurement

a. Fixtures

TEN Connected Solutions appreciates the desire to upgrade street lighting throughout the City of Portland to achieve the maximum benefits of LED technology while also maintaining a high level of value using quality products and careful installation. TEN Connected's unique approach to street lighting solutions will aid the City in determining the best lighting fixture(s) to meet their needs. On a weekly basis, TEN Connected is working with the world's leading exterior LED streetlight and decorative fixture luminaire manufacturers and distributors, and incorporating their technologies into our projects. This position allows TEN Connected to be an early evaluator of these technologies, and to come to conclusions regarding the efficiency, design, light output, quality and characteristics of the various technologies for and on behalf of our customers.

There are important aesthetic, performance, operational, and ethical decisions that must be made when deciding on the street lighting package and installation configuration. These include determining the lighting levels required to accomplish the objectives; balancing the cost, energy efficiency, public safety, maintenance regime, and life cycle of the product chosen; choosing a fixture and maybe a pole style; addressing sky glow and light trespass through cut-off options; consideration of control systems node or photocell; deciding on a light curfew (if appropriate); deciding on pole height and spacing; and evaluating the effect of lighting on nearby ecological habitats, such as parks, greenways, and riparian corridors.

The main goal of a TEN Connected-converted streetlight system is to ensure that safety, security and visibility are maintained throughout the City of Portland by ensuring appropriate lighting levels. At the same time, the volatility of electricity markets, how electricity is priced into the market and, the seemingly inevitable rise in electricity prices, require TEN Connected to focus directly on reducing excess energy consumption wherever possible.

As part of TEN Connected's competitive evaluation process on behalf of the City, TEN Connected Solutions will provide the City with a customized **LED Fixture Performance Characteristics Matrix** to facilitate discussions between TEN and the City with the end goal of assisting in the internal evaluation and final fixture selection.

Following is a depiction of the Performance Characteristics Matrix that was created by TEN (TEN Connected) for the City of Harrisburg prior to fixture selection. This matrix served as the foundation for TEN (TEN Connected Solutions), on behalf of Harrisburg, to request (in this case) cobra head fixture pricing – as well as to offer recommendations to Harrisburg regarding final selection.



Harrisburg Street Lighting					
Manufacture	GE	LEOTEK ELECTRONICS USA LLC	CREE	Cooper	HOLOPHANE / AEL
Part #	ERS1083E1140AGRAYB DT	GCM1-30F-MV-MW-3-GY-1A-PCR7	BXSPCHT2MEE40K-ULSVN- SPX	VERD-A02-D-U-T3-4N7- 10K-48-AP	ATBM E INVOLT R3 48 MP AO
Watts	117	105	101	92	115
Color Temp	4000k	4000k	4000K	4000k	4000k
CRI	70 CRI	70	70	>70	70+
Distribution	TYPE III	3	II LONG, II OR III	T3	R3
Lumens	9300	9,192	8,407	9,099	13,600
mA	1050mA	1000	375mA/4	1.6ma	950ma
lm/W	79	88	83	99	118
IP Rating	IP66	66	IP66	IP66	IP66
Life Rating	50,000	100,000	100,000	>254,000	100,000 system
EPA R2	0.5	0.44	0.7	0.5	.70
BUG Rating	B1-U0-G1	B2 U0 G2	B1 U0 G1	B2 U0 G2	B2 U0 G3
DLC (Y or N)	Y	Y	Y	Y	Pending
Weight #	20 lbs	10 lbs	<18LBS	20 lbs	21
7 pin NEMA PCR	7 pin included	Y	7 pin included	7 pin included	7 pin included
Lead time	2-4 weeks	2-3 weeks typical	4-6 WEEKS	2-4 weeks	3-5 WEEKS
Dimming	0-10V driver included	0-10V driver included	0-10 driver included	0-10 driver included	AO MANUAL OPTION or ROAM smart controls
Warranty	5yr std/10yr option	10 years complete	10 YEARS	10 Years	10 years
LEDs	Included	Included	10 YEARS CREE	10 Years	ABL Comm. Warranty
Drivers	Included	Included	10 YEARS CREE	10 Years	"
Finish	Included	Included	10 YEAR GRAY	10 Years	"
Fixture	Included	Included	XSP1C SERIES	10 Years	"
Labor Allowance	N/A	N/A	NA	N/A	N/A
Comments	Internal Bubble Level D 3-level dimming standard - Dimming Driver; T = 10kV/5kA Surge Protection Rubber seal around arm standard Mounting bubble standard 4-bolt option for mounting N/C Reversible mounting plate for different pipe OD Parts MADE IN Malaysia, assembled in CA (meets BAA)				
			Multi-level dimming standard	Bird Guard Mounting bubble	Multi-level dimming standard Mounting bubble standard

Sampling to Facilitate Final Fixture Selection

Using TEN Connected's Performance Characteristics Matrix, we worked with the City of Harrisburg and various national manufacturers to identify a prominent area for the street lighting samples to be installed. Upon installation, the City then invited residents, public works officials, and public safety professionals to vote for (or weigh in on) their favorite fixture using such variables as light output, design, ease of operation, insect guard availability, and several others. Two (2) samples of each manufacturers' LED cobra head were installed for purposes of determining ease of installation and maintenance, and one (sample) of each was "table-topped" in City Hall so that city personnel and the public could view and inspect the options for selection.

After residents weighed in on the fixtures installed and displayed in City Hall for public view and inspection, and, with consideration given to TEN's professional recommendations, the City made a final selection (Eaton/Cooper) of the cobra head fixture they believed best met the needs of the City of Harrisburg after considering all the variables.

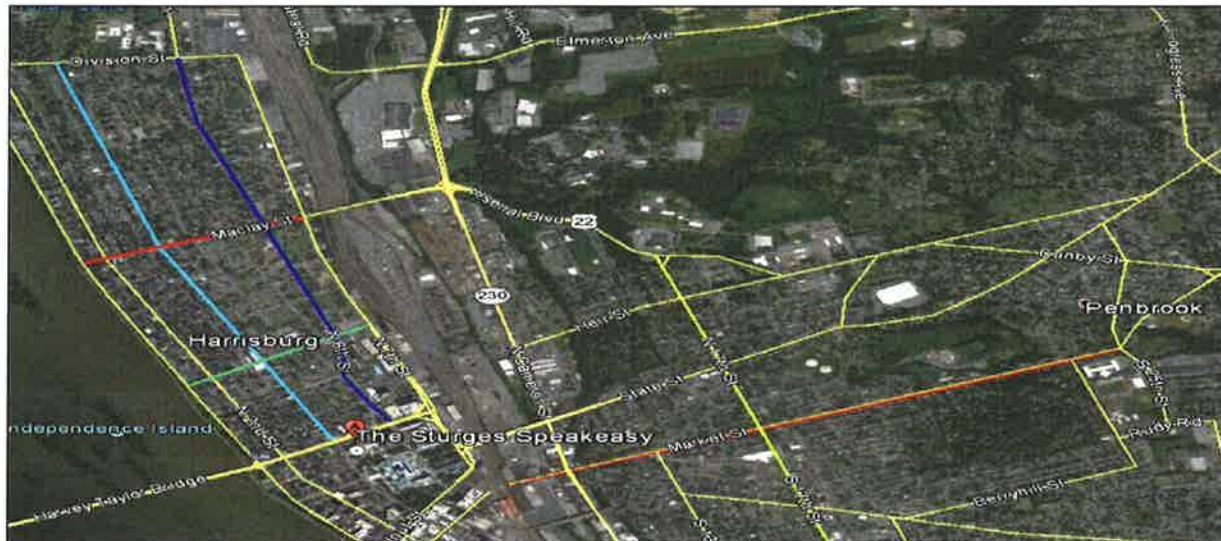
Picture of samples “table-topped” in Harrisburg City Hall for Inspection and public input



TEN Will Deliver World-Class Options for Portland

TEN Connected Solutions will work with the City to determine if incorporating a pilot would help in the education of the new technologies available and in the final selection of a new LED street lighting fixture. As a feature of TEN Connected’s approach to lighting design and street lighting, if the City decides to engage in a pilot process, this process will not result in any additional installation costs. TEN Connected will work with the lighting manufacturers and the distributors to negotiate free samples.

Using a similar matrix to those that have been prepared in our other street lighting projects, TEN Connected will also work with Portland to obtain products for comparison that detail each characteristic – everything from color temperature to ease of installation to length of manufacturer’s warranty – and that are appropriate for various locations throughout the City. Special consideration will be given to areas where Portland may want increased or decreased lighting. Again, in Baltimore and in Harrisburg, TEN Connected developed a lighting design that allowed City officials to improve light levels in target communities and safety corridors.



Depiction of pre-design mapping work for City of Harrisburg’s Emergency Evacuation Routes

Finally, TEN will deliver to the City a new update to the City’s GIS system that identifies the characteristics of the new LED street lighting system, an accurate inventory of all new products purchased, and all expected costs, savings, financial paybacks, and potential incentives for the new street lighting system.



b. Smart Controls, IoT, and other Smart City Solutions

TEN Connected Solutions develops projects that integrate Information Communications Technology (ICT), Connectivity-Related Devices and customized Smart Cities technology applications (e.g. security services, neighborhood services, media, etc.). While there are a number of products and software services available, TEN Connected would seek to incorporate commercially ready technologies that would meet the City of Portland's goals.

Advanced Wireless Controls

Installing advanced wireless controls enables the City to have more control over new LED street lights, increase overall luminaire lamp life, provide dimming capabilities (where applicable) for increased electric energy savings, and to reduce maintenance costs associated with the street lighting system.

When the full life-cycle of an advanced wireless controls system is considered, the technology (with the 7-pin NEMA connector) has been found to provide more control for the street lighting system and to "future-proof" the street light, and to enable additional technologies to be integrated at a later date. Any TEN Connected recommended and/or installed control system will have open Application Program Interface that will allow the city to ensure functionality and expand the system in the future if so desired.

Other Value-Add Systems Available through TEN Connected

LED STREET LIGHTING & SMART CITIES

- **Procurement.** Established municipal procurement method facilities delivering LED street lighting and technologies to cities
- **Installation.** TEN, through its technical and construction teams, install LED street lighting city-wide
- **Financing.** Energy and maintenance savings from LED streetlights help to pay for the deployment of other smart cities technology
- **Equipment/Technology Deployment.** LED Luminaires and mast arms themselves provide physical platform to deploy smart city applications
- **Revenue Generation.** Installed equipment/technologies platform serves as revenue generation apparatus for cities



ENERGY & MAINTENANCE

- Utility grade metering
- Usage monitoring
- Remote outage notification
- Utility rebate optimization

UTILITY

- Water metering
- Gas metering
- Equipment monitoring/control
- Hazardous materials emergency response

VEHICLE

- Smart parking
- Parking enforcement
- Vehicle detection
- Mobile payments
- EV charging

TRANSIT

- Fleet management
- Asset tracking
- Mobile payments
- Smart roads

PUBLIC SAFETY

- Video surveillance
- Remote security monitoring
- Emergency response
- Mass notifications

INFRASTRUCTURE

- Sensors
- Antennas
- Wireless connections
- Small cells
- Charging platforms

More specifically and by way of example, here are some smart city solutions offered by TEN Connected:

1. Public Wi-Fi, by REDZONE Wireless (TEN Connected Partner)



Redzone Wireless, LLC is a leading Maine-based wireless internet service provider. The company has deployed a combination of wireless broadband technologies to provide broadband network access to ~220,000 Households, and 30,000 Businesses in Maine.



The company deploys transmission equipment on fiber optic-connected telecommunications towers, delivering competitive broadband services for residential, commercial, enterprise and municipal applications. Redzone's networks operate on both FCC-licensed and open spectrum bands. Redzone's 4G LTE Advanced fixed wireless network operates on 2.5GHz EBS spectrum, secured through a long term exclusive lease agreement with the University of Maine System. Redzone is a certified Pine Tree Zone company, and has received a portion of it's financing through a loan program administered by The Finance Authority of Maine (FAME).

TEN Connected, in partnership with Redzone Wireless, proposes to investigate and propose the construction and activation of a city-wide high speed 100 Mbps public Wi-Fi network and infrared camera system in Portland. The system proposed would include installations of ~ 600 Wi-Fi Access points (APs), and ~600 Infrared cameras, and would be constructed during the installation of LED lighting upgrades.

The City of Portland is spread across 22 square miles of land area, and is lighted by approximately 6,600 light poles/fixtures. Preliminary engineering suggests that approximately 600 Wi-Fi APs would provide network coverage across the entire city.

Managed Wi-Fi Network

As part of the Wi-Fi network, TEN and Redzone will provide a large scale wireless mesh solution for Portland public & municipal use. We will provide a completely turnkey solution, from network design and RF planning to deployment and on-going network maintenance and support. TEN and Redzone will coordinate the installation of wireless broadband internet connections, configure all wireless access points and Redzone will provide Portland with ongoing monitoring, user technical support, and management of all equipment.

Redzone's Customer Service Center located in Maine provides live one-on-one support, 24 hours a day, 7 days a week, 365 days a year. We feel that superior customer service is one of the foundations of our network services.

Benefits of Managed Wi-Fi

- Consulting and Network Analysis
- Complete Turnkey Solution – Hands Off and Worry Free
- Proactive Network Monitoring and Maintenance
- 24 x 7 x 365 Customer Support

Managed IP Video Surveillance

TEN and Redzone also propose a managed IP video surveillance system as a means of protecting the community, as well as public and private property. TEN and Redzone propose a complete turnkey solution including camera/surveillance network design, installation, and on-going network maintenance and support.

Video Surveillance System Features



- Wireless Surveillance Cameras Are Installed on the Same Wireless Network Used for Wi-Fi
- Network Owners Can View and Control Versatile Pan/Tilt/Zoom Cameras from Anywhere via the Internet**
- Day and Night Vision
- Megapixel Resolution
- Weather Proof
- Vandal Resistant

The wireless connectivity will be supplied through Redzone's existing wireless network infrastructure currently deployed throughout the Portland metro area. The public Wi-Fi network will provide a defined period of free daily broadband access to users accessing connections through a custom Portland community portal. The Portland Wi-Fi portal will also provide direct access to local information & community services.

The 100 Mbps Free Public Wi-Fi network will distinguish Portland, Maine among the most connected American cities, bridging the digital divide among economically challenged segments of the population; assisting students with free access to broadband for homework and educational purposes; supporting job seekers with the tools to access and apply for available employment opportunities; and to support tourism by providing visitors to Portland with high value ubiquitous connectivity.

The infrared camera system will provide day & night visibility throughout the city at up to 600 locations to be determined including schools, parks, high traffic areas, intersections, downtown business & entertainment districts, public buildings, parking lots & municipal structures, etc. The system would provide digital recording capabilities and system administration through a cloud-based video control system. The system will allow multiple users in law enforcement, public safety, planning

TEN and Redzone already have established preliminary cost estimates to deliver this service to Portland, and look forward to sharing our vision with the City through this process.

Revenue Sharing

At the conclusion of the daily free Wi-Fi service period (projected to be 60 minutes), Public Wi-Fi network users will be presented the option to purchase access for additional time periods, generally offered in increments of 1 hr, 1 day, 1 week or 1 month. Based on mutual agreement to the specific terms and pricing, TEN Connected and Redzone would be willing to negotiate a revenue sharing arrangement with City of up to 20%. The agreement could enable the Portland to recover its monthly network operating expenses and potentially recapture the original capital investment based on usage.

2. Smart City Media (TEN partner) -- Revenue Generation

A Smart City Media LLC, smart media grid enables communities like Portland to be better connected. In fact, the platform uses information kiosks to create a better connected community, where citizens can easily discover events, programs, deals and other relevant and important information as they walk down the street. The system also allows public safety and homeland security officials to not only see and assess emergency situations but also to broadcast alerts and provide mobile updates to residents in targeted locations.





This platform also serves as a significant revenue generation opportunity. Advertisers can develop targeted content and pay premium fees to broadcast their individual content. This revenue opportunity can net up to several hundreds of thousands of dollars for Portland to be used to pay for light grid infrastructure improvements, public services, or reinvested into the smart street lighting system.

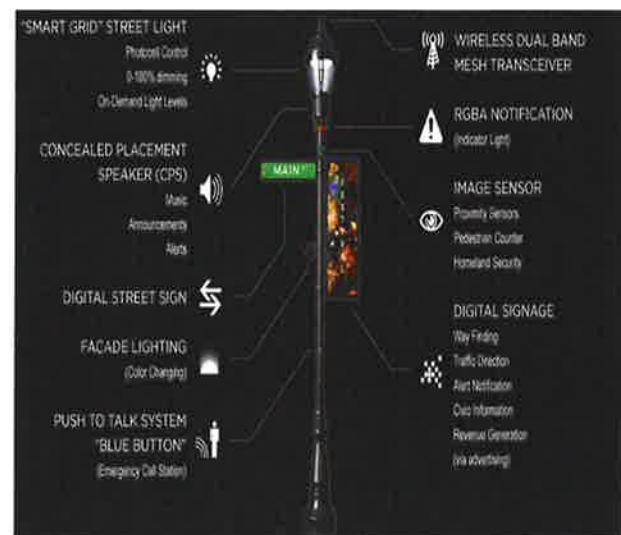


TEN and Smart City Media already have established preliminary cost estimates to deliver this service to Portland, and look forward to sharing our vision with the City through this process. **Original estimates indicate that a smart media platform could generate \$850,000 for Portland in the first 5 years without Portland having to invest any capital in the project.**

a. Intellistreets, by Illuminating Concepts (TEN partner) – Revenue Generation

In addition to generating revenue and providing public safety and homeland security officials with critical information, smart city technologies can also be used to broadcast audio messages to residents, serve as a mechanism to update residents on traffic closures, and use colors and lights to alert individuals to an emergency situation.

Intellistreets has designed, patented and manufactured electronic modules (EM) that may be embedded or attached to almost any form of structure or luminaire. The system uses wireless technology – not Wi-Fi (and therefore potentially less “hackable”) – to communicate with individual luminaires. Using wireless eliminates the high construction costs of wiring, cabling, and conduit and allows for integration within virtually any modern architectural control system for synchronization of any feature within and around the Intellistreets system.



Finally, the system can be used for entertainment purposes and allow the City to use of radio broadcast from playlists while a wide range of additional sensors can be utilized for exciting pedestrian user interaction.

b. Public Safety - Gun Shot Detectors

Recently, a national manufacturer partnered with a technology based company to fit microphones to LED street lighting systems. The microphones can detect gun shots and give approximate locations to local public safety officials who are then able to better determine the number of gun shots and potentially the number of shooters.



6. Installation & Maintenance

Description of Work

TEN Connected will provide an Investment Grade Audit to the City of Portland, detailing the scope of work to be completed. Additionally, once construction begins, TEN Connected will manage and maintain daily progress information and track quantities, contractor payments, and change orders. We will prepare and recommend for approval, periodic installation quantities satisfactory to the City.

Installation Schedule

TEN Connected will develop, monitor and maintain a master project schedule for the entire project to integrate and coordinate the activities of the various ongoing design and construction activities and contracts.

TEN Connected will adhere to the required installation schedule unless otherwise agreed upon by the City and TEN Connected to change the timeframe for installation based on the number of fixtures and smart cities technologies to be installed.

A project schedule can be found in **Section H: Project Schedule**.

Reference Standards

There are important aesthetic, performance, operational, and ethical decisions that must be made when deciding on the street lighting package and installation configuration. These include determining the lighting levels required to accomplish the objectives; balancing the cost, energy efficiency, public safety, maintenance regime, and life cycle of the product chosen; choosing a fixture and pole style; addressing sky glow and light trespass through cut-off options; consideration of control systems; deciding on a light curfew (if appropriate); deciding on pole height and spacing; and evaluating the effect of lighting on nearby ecological habitats, such as parks, greenways, and riparian corridors.

The main goal of a TEN Connected-converted streetlight system is to ensure that safety, security and visibility are maintained throughout the City of Portland by ensuring appropriate lighting levels. At the same time, the volatility of electricity markets, how electricity is priced into the market and, the seemingly inevitable rise in electricity prices, require TEN Connected to focus directly on reducing excess energy consumption wherever possible to offset all costs of the project.

In addition to the goal of improving safety, security and visibility for residents, motorists, cyclists and pedestrians, TEN Connected's design will detail and verify lighting levels and discrepancies in uniformity of existing installations, and will identify any areas requiring corrective action to ensure that, municipality-wide, the lighting system, designed and installed by TEN Connected, will be consistent with nationally recommended lighting level standards post-conversion.

Importantly, TEN Connected shares the City's perspective that, although IES RP-8-14 recommends adequate light levels for the safety of pedestrians, cyclists, and vehicles, existing pole placements limit the degree to which IES standards can be met. Therefore, TEN Connected has independently developed design methodologies that will best deliver adequate lighting for the City for the expected product life cycle while at the same time supporting Portland's specific goals for the project. And therefore, IES standards may not be the standard selected by the City of Portland.

For example, enhanced public safety/homeland security is the primary focus of the City of Baltimore's Phase I LED conversion project. So much so, that the City asked TEN to evaluate fixtures best suited to "overdrive them" in



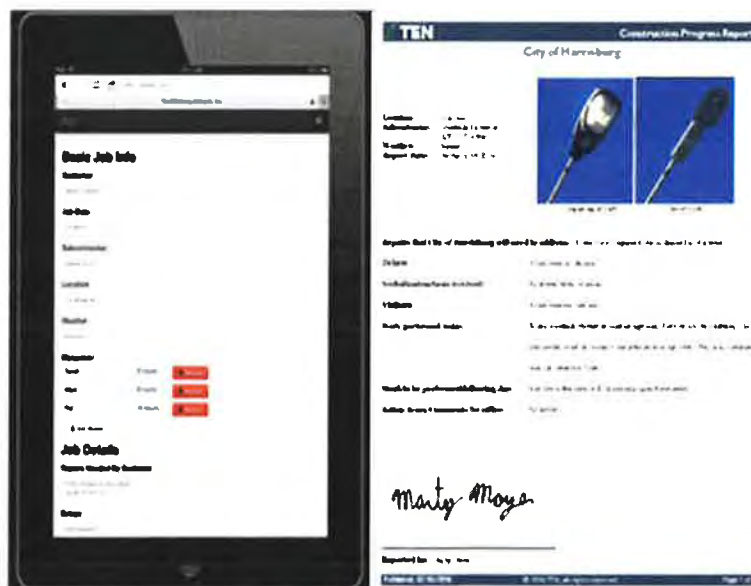
high crime areas to shine a light on potential criminal activity – with the added feature to be able to dim the LED in the future if necessary to preserve its life cycle. TEN's customized design methodologies (based on life cycle costs and fixture performance analyses), after having been fully understood by Baltimore, were used by Baltimore to make its final selection on fixtures.

Submittals

TEN believes that quality control starts in the development stage and continues all the way through construction and measurement and verification. An effective energy savings program requires a delicate balance between engineering and construction management. We establish this balance by involving the construction team during the development phase of the project to ensure the constructability of the lighting and lighting controls solutions we propose. The ultimate success of any energy savings project is measured by the ability of the installed systems to achieve the projected savings targets and to meet environmental expectations, while the success of a brilliant design is predicated by its ability to be constructed. TEN takes a comprehensive approach to development and engineering to establish this critical balance. This approach is possible because TEN utilizes in-house design, engineering and construction management.

Our design, engineering and construction teams work closely with each other to develop the scopes of work that are competitively bid to the City's qualified vendors and contractors to ensure that the design intent is met, the project/system can be installed properly and maintained, and the construction team is very familiar with the project before installation begins. This seamless and transparent hand-off to construction ensures quality control. TEN's team is also open to our subcontractors' input when it improves the design and/or lowers the cost to provide a better solution for the City.

Reporting to TEN's Director of Construction, the on-site 30-hour OSHA Certified Project Manager is accountable for the management of all assigned project construction activities taking place. The Project Manager, through their on-site management, will ensure that the worksite is safe, supervised and managed in an effective and efficient manner for the City. Maintaining and managing daily communications with and directing the activities of all subcontractors is key to the success of the project. Our project managers utilize TEN's proprietary cTEN application to quickly and efficiently report worksite progress, concerns and work scheduled to be performed the following day. *This communication (depicted below) is shared with both our Director of Construction and designated personnel of the City as another procedure to monitor quality control.*





Quality Assurance and Warranty

TEN Connected will conduct spot inspections, a final inspection, and semi-final inspection if directed, and generate a punch list of work to be completed for each contract. TEN Connected will monitor the punch list until it is complete. TEN Connected will provide written notice to the City when all project work is complete and recommend project acceptance.

TEN Connected will provide an individual experienced with safety programs in construction to serve as the City's agent and representative in matters of construction safety, specifically one with experience which directly relates to state and local safety laws, including statutes, rules, regulations, and ordinances. Tasks will include the following: a) Review the timeliness of safety and accident prevention procedures on the project and review and accept Contractor Safety Programs; b) In the event certain individuals are found to be in violation of safety requirements, direct the subcontractor to remove the individual employee, or to invoke any other contractual remedy deemed appropriate; c) Observe and monitor subcontractor compliance with OSHA, the City, and local and state laws and regulations; d) Periodically attend Foremen's 'tool box' safety meetings and evaluate effectiveness; e) Review and accept subcontractor emergency and safety plans and procedures; f) Organize and participate in monthly site inspections and report on findings; g) Continually coordinate the City's general and specific safety concerns with the Project; h) TEN's involvement in the Safety of the project shall in no way relieve or decrease the Contractor's obligation for safety.

Installation

TEN Connected will conduct bi-weekly on-site job progress meetings for the project with Project Management representation in attendance as needed. We will attend/facilitate pre-job and preconstruction conferences and all job related meetings. TEN Connected will discuss issues and actions to be taken with all responsible parties, and dates when issues are to be resolved. TEN Connected will review and negotiate costs for additional extra work. TEN Connected's team can provide detailed engineer's cost estimates, and our project managers will document the contractor's work force for any extra work that may be required or requested.

Field Quality Control

TEN Connected will provide daily management while the project is on-going. TEN Connected's project managers will be available to coordinate with contractors, utilities, and City of Portland operations personnel to evaluate progress and activity daily. TEN Connected's project managers will also provide regular emailed progress reports and updates from our proprietary cTEN construction management program.



Adjusting and Cleaning

For each of its customers, TEN delivers a custom Operations and Maintenance (O&M) manual for luminaires and controls systems. Minimal maintenance is required considering 20-year fixture life and extremely low failure rates of LEDs (i.e. less than 1% in TEN's experience). The development of the maintenance plan starts during the Audit phase of the project. TEN carefully evaluates operating procedures and characteristics so that the luminaires, controls, and ancillary equipment have the most appropriate maintenance plan. TEN's maintenance analysis process yields benefits because the systems can then be operated at the highest level of efficiency.

Disposal

TEN Connected will develop the strategy, identify vendors, and manage the overall process. Collected materials will be gathered including but not limited to capacitors, mercury containing devices, drums, bulbs, and ballasts



and placed in an accumulation area. For each project, accumulation sea containers will be stored at strategic locations for ease of disposal. These locations, when selected, will provide several advantages; most notably the fact that it is a covered and relatively sealed environment. Hazardous products will be kept in the original containers unless they are not re-sealable. The original material safety data sheets (MSDS's) will be retained and available for review by City of Portland. If surplus product must be disposed, disposal requirements set forth by the local, State, and Federal regulations will be followed as applicable. During the collection of materials, the selected recycling and disposal management vendor will ensure and certify that the integrity of the equipment or containers is sound. If the integrity is compromised, the vendor will immediately re-pack the containers or equipment. Temporary containment, if required, will be constructed of polyurethane sheeting and oil socks. The sheeting will be placed under the affected areas and oil socks will be placed around the perimeter of the sheeting.



7. Construction Administration

TEN Connected will work with the City to develop bidding documents for the installation of street lighting systems. Led by our Director of Installation and the Director of Design - TEN Connected will assist in the review of all bid documents to confirm that each specification is addressed and met by the respondents. TEN Connected Solutions offers a full commissioning of fixtures not simply a spot-check to determine if installation procedures are followed.

TEN Connected recommends maintenance on a time and materials basis due to the extremely low failure rate of LEDs (less than 1%) and the availability of "attic stock" to be provided by TEN Connected as part of the project. Alternatively, TEN Connected will offer to undertake a maintenance protocol which also provides a labor warranty directly to the City, and administers the materials warranty provided by the fixture manufacturer.

TEN Connected's specifications for Installation and Maintenance Contractors will include, but will not be limited to, the following:

- Description of Work;
- Required Installation Schedule;
- Order of Streets or Areas to be Converted;
- References;
- Submittals;
- Quality Assurance;
- Commissioning Assistance;
- Warranty;
- Installation Plan;
- Field Quality Control;
- Adjusting & Cleaning;
- Disposal;
- Availability of Maintenance Services; and,
- Safety Record

In addition to the language listed above, TEN Connected will also include language relating to platform training for TEN Connected's proprietary project management software (cTEN), fixture recycling, traffic control and flagging, obtaining permits, or any other goals required of the City, such as M/W/DBE participation goals.

After working with the City to determine the minimum requirements for installation and maintenance contractor(s), and after Invitations for Bids (IFB) have been issued by the City, TEN Connected will review each bid to confirm which vendors have met the minimum criteria, and will deliver to the City a concise summary of those bids for purposes of evaluating desired services and selecting and approving subcontractors.

All subcontractors will perform their work under the direction of TEN Connected's in-house construction project management and will be required to use TEN Connected's cTEN technology platform (for project progress reporting) that will enable the City, and the TEN Connected project team, to receive daily installation updates. Our project management team also will be responsible for training, preparing customized Operations & Maintenance (O&M) manuals, and overseeing project commissioning.

TEN Connected will conduct spot inspections in accordance with the RFP, a final inspection, and semi-final inspection if directed, and generate a punch list of work to be completed for each contract. TEN Connected will



monitor the punch list until it is complete. TEN Connected will provide written notice to the City when all project work is complete and recommend project acceptance.

For example, TEN Connected is currently installing LED street lights in the City of Baltimore. To keep the local community and city officials up-to-date on the progress of the project, TEN Connected coordinates weekly briefing documents. A sample bi-weekly report (TEN Connected prepared for the City of Baltimore) can be found in **Attachment 2: City of Baltimore Street Lighting Upgrades Bi-Weekly Report dated October 28, 2016.**



8. Acquisition of Street Lights

TEN Connected has been closely monitoring the case that has been proceeding in front of the Maine Public Utilities Commission. TEN Connected will assist the City in completing the acquisition of the street lighting system from Central Maine Power. The bulk of such negotiation of course is the Net Book Value (NBV) of the system, as well as the specific requirements (approved by the MPUC) for the City to take ownership of the system from CMP.

TEN Connected will specifically follow the guidelines and procedures currently being developed in strict conformity to that which is required – including whether fusing must be accomplished by CMP or it is legally permissible for someone else to install the required fusing.

Nevertheless, TEN Connected has dedicated staff on hand (who are former utility company executives), who are available to diplomatically negotiate and finalize the various acquisition documents between the City of Portland and CMP.

TEN Utility Billing Structure Experience

It is important to note that due to TEN Connected's contracts with the cities of Bethlehem and Harrisburg (both served by Pennsylvania Power and Light - PPL) and Baltimore (served by Baltimore Gas & Electric – BGE) for their LED streetlight conversion projects, TEN Connected has become intimately familiar with utility street light billing structures across these and other utility territories we are currently conducting business.

Furthermore, TEN Connected's work with the City of Baltimore required TEN Connected not only to design and project manage Baltimore's LED streetlight conversion project, but specifically includes the technical analysis of Baltimore's BGE utility billing structure, including utility bill reconciliation. TEN Connected is confident that we will be able to provide this same comprehensive level of understanding, experience, and successes to the City of Portland in preparing and submitting the information required by Central Maine Power to adjust to the new LED tariff rate.

Currently, TEN Connected is assisting the City of Harrisburg, PA in negotiating the acquisition of several hundred remaining street lights, which are the only remaining street lights in that city that are still owned by the local utility.



9. Rebate/Incentives

Prequalification Application – TEN Connected will repair and submit, on the City’s behalf, a prequalification application with Central Maine Power to begin the rebate and incentive program process, and will follow through with such application until all amounts are received that are due to the City or Portland.

Rebate Program Submission – TEN Connected will establish and maintain necessary records for any products eligible for rebates, and will submit rebate applications per the program’s instructions (as completed or at the end of construction) to the Central Maine Power. Upon receipt from the City, TEN Connected will submit itemized receipts or invoices with the manufacturer, model number, and purchase price of each qualifying product in addition to supplying manufacturers’ specification sheets, as well as submitting any other documentation required by Central Maine Power to maximize available rebates.

The following information from Efficiency Maine would be used to determine rebate estimates and would be used in the program submission application.

	New LED Fixtures	
	Measure Description	Incentive
	Streetlight or Parking Fixture (Pole Mounted; utility pole mounted fixtures are ineligible)	\$75-\$175
	Wall-Mounted and Area Fixture (Wallpack)	\$100
	Canopy or Parking Garage Fixtures	\$50-\$75
	Flood and Spot Lights	\$75-\$175

Final Submission – TEN Connected will compare final project quantity and products with final rebate submission, and will communicate with Central Maine Power to confirm that final submission has been submitted for approval.

Receipt and Payment – TEN Connected will coordinate with the City of Portland and Central Maine Power to ensure that final submissions and payment of rebates and incentives have been completed.

For this initiative, TEN Connected will also seek any other rebates and financial incentives available for the City of Portland. For example, as part of TEN Connected’s street lighting conversion for the City of Bethlehem (PA), TEN Connected obtained \$224,000 in rebates directly paid to the city by the local utility. In the case of the City of Harrisburg, TEN Connected assisted in facilitating a \$500,000 grant awarded to Harrisburg specifically intended for the purchase of equipment related to Harrisburg’s LED street lighting conversion, as well as applying for and securing for Harrisburg in excess of \$385,000 in direct-pay rebates to the city under Pennsylvania’s Act 129 utility rebate program. As certain incentives are time and program sensitive, TEN Connected will undertake careful planning to optimize and obtain maximum value of all incentives available to the City of Portland.



F. Value Added Services

Compared to high-intensity discharge (HID) lamps, like HPS, LEDs provide the following benefits for the City of Portland:

Technology

Reduce Maintenance	Save Energy
- Fewer lamps to replace and dispose due to longer life, lack of parts to replace - Less associated lane closures	- High system efficacy - Less site wattage due to utilization - Controllable and dimmable
Improve Optical Control	Improve Visibility
- Reduce direct and reflected uplight - Less light trespass with reduced shielding - Reduce wasted light	- Better color rendering - More uniform lighting distributions - Eliminate dark areas between poles
Increase Safety	Increase Environmental Care
- Reduced fixture outage liability concerns - Broad spectrum lighting (white light)	- Reduce hazardous waste - Reduce energy consumption

Services

TEN Connected Solutions has the expertise and the national network available to guide the City during the implementation of all smart cities technologies, including but not limited to citywide Wi-Fi, smart traffic and parking management, interactive electronic communication, advanced lighting controls, environmental sensing, electronic vehicle charging, waste management technologies, and other opportunities. For example, early research and estimates by TEN Connected Solutions indicates that the City of Portland could potentially generate millions of dollars in revenue over the term of the contract. These projections are based on advertising revenue and converting the street lighting system to LED; however, revenue generated from installing meters, smart street lighting controls, Wi-Fi, and other technologies will allow the City to realize additional substantial revenues that can be used for operations and infrastructure improvements.



G. Additional Information

Please see **Attachment 3: City of Harrisburg Case Study** and **Attachment 4: Project Photo Gallery**



H. Project Schedule

Construction services will be sourced through mutually agreed upon specialty electrical and controls subcontractors. All subcontractors perform their work under the direction of TEN Connected's construction project management team. TEN Connected's local construction project managers will collaborate with our internal engineering team on specific design issues which are certain to arise. Our project management team will also be responsible for training, preparing custom Operations & Maintenance (O&M) manuals, and overseeing project commissioning.

Draft Project Schedule assumes street lighting inventory will be purchased from Central Maine Power (CMP) prior to contract signing.

Once the City of Portland approves the contract and secures any project funding necessary, the following is our expected installation schedule.

Draft Project Schedule:

Task Description	Duration (Calendar days)
City of Portland Work Order Contract Issued & Fully Endorsed	5 business days
TEN Prepares Final Design	10 business days
Material Procurement & Delivery Services	30 business days
Installation Services, Utility Conversion & Controls Commissioning	65 business days
Substantial Completion (Punch List Submitted and Completed)	15 business days
Final Completion (including final controls commissioning)	10 business days



PRESENTED TO

CITY OF PORTLAND, MAINE

11/9/2016

ATTACHMENT 1:

TEAM RESUMES



TEN Maine

19 Yarmouth Road, Ste 301
New Gloucester ME 04260

TEN Philadelphia

40 West Evergreen Ave
Philadelphia PA 19118

TEN New England

51 Melcher Street
Boston MA 02210

TEN DC/Baltimore

9025 Maier Rd, Ste B
Laurel MD 20723

TEN Connected Solutions Headquarters 1501 Reedsdale St, Ste 401, Pittsburgh PA 15233

855.429.1010 | tenconnected.com | info@tenconnected.com

Street Lighting Team Individual Resumes

TEN Connected Solutions' Executive Team:

Troy Geanopoulos - CEO

Rob Campbell, PE, MBA - EVP

TEN Connected Solutions' Street Lighting Team:

Patrick Regan – VP, Customer Solutions

Olivia Benson, MBA – Program Manager

Joseph Statler - Director of Installation

Mike Schneider, LC, CLEP, CPM – Director of Design

Greg Lok, PE, CEM – VP, Technology & Engineering

Troy Geanopulos **CEO**

Total years of relevant energy-related experience: 20+ Years

Anticipated Role:

As TEN Connected's Chief Executive Officer, Mr. Geanopulos will be a main point of contact throughout the duration of the City's project, along with Patrick Regan, VP Customer Solutions. Troy will ensure proper coordination of TEN Connected's project team with Patrick Regan to ensure Portland's overall satisfaction.

Educational Background:

B.A – Dickinson College, Carlisle, Pennsylvania

Professional/Technical Professional affiliations:

Tepper School of Business – Entrepreneurial Leadership Forum

Member, U.S. Green Building Council

Member, Green Building Alliance

Experience

TEN and TEN Connected Solutions - Chief Executive Officer, 4 years

Mr. Geanopulos is responsible for guiding all of TEN Connected Solution's business development efforts and strategy to address client needs and objectives. He has a valuable combination of skills and experience, which enables him to understand and discuss customer contractual needs and requirements, and extensive hands-on experience in recognizing customer project strategies through decades of energy efficiency experience.

Constellation NewEnergy – Sr. Vice President of Sales, 2.5 years

As Sr. VP of Sales, Mr. Geanopulos was responsible for leading a national sales team for this Fortune 500 Company, developing marketing and business development strategies to position Constellation Energy (now an Exelon Company) as the nation's leading provider of energy efficiency solutions.

Five Year History of Energy Performance Contracting Project Experience

2015 - City of Harrisburg, PA (currently in construction)

Municipal Government Street Lighting, \$3.6 million

2013 / 2014 - City of Bethlehem, Bethlehem PA

Municipal Government Street Lighting, \$3.9 million

2013 / 2014 - United Steel Workers Building, Pittsburgh PA

Commercial Office Building, \$3,510,136

2015 - Eastern Gateway Community College

College, \$1,759,014

2014 - The Pennsylvania State University, Beaver Stadium

State University, Stadium Lighting, \$763,274

Rob Campbell, PE, MBA
Executive Vice President

Total years of relevant energy-related experience: 26+ Years

Anticipated Role:

As TEN Connected's EVP, Mr. Campbell will oversee management of the customer's goal development and strategic planning. Mr. Campbell's primary responsibilities will involve coordination and assignment of resources and project personnel/subcontractors to ensure construction and engineering timelines are met.

Educational Background:

Master of Business Administration – Carnegie Mellon University, Pittsburgh, Pennsylvania

B.S. in Mechanical Engineering – University of Toronto, Toronto, Ontario

Professional/Technical Professional affiliations:

Professional Engineer – Association of Professional Engineers of Ontario

Experience

The Efficiency Network - President and Chief Operating Officer, 4 Years

Mr. Campbell is responsible for the day-to-day operations at TEN Connected Solutions and manages the strategic planning and development goals of the clients.

Constellation NewEnergy – Vice President for Business Operations and Project Management, 7 Years

As Vice President for Business Operations and Project Management, Mr. Campbell provided strategic guidance and support to Constellation's project portfolio.

Five Year History of Energy Performance Contracting Project Experience

2015 - City of Harrisburg, PA (currently in construction)

Municipal Government Street Lighting, \$3.6 million

2013 / 2014 - City of Bethlehem, Bethlehem PA

Municipal Government Street Lighting, \$3.9 million

2013 / 2014 - United Steel Workers Building, Pittsburgh PA

Commercial Office Building, \$3,510,136

2015 - Eastern Gateway Community College

College, \$1,759,014

2014 - The Pennsylvania State University, Beaver Stadium

State University, Stadium Lighting, \$763,274

Patrick Regan, Esquire
VP, Customer Solutions

Total years of relevant energy-related experience: 7 Years

Anticipated Role:

As TEN Connected's VP of Customer Solutions, Mr. Regan will be the primary point of contact through the duration of the project. Working with the CEO, Troy Geanopulos, and EVP, Rob Campbell, Patrick will coordinate and deliver TEN Connected's project team to ensure overall customer satisfaction.

Educational Background:

J.D. – Duquesne University School of Law, Pittsburgh Pennsylvania

B.A – Boston College, Chestnut Hill, Massachusetts

Professional/Technical Professional affiliations:

Licensed Attorney in Pennsylvania

Member, National Energy Services Coalition (PA Chapter)

Experience

TEN Connected Solutions - VP, Customer Solutions, 2.5 Years

Mr. Regan is responsible for overseeing TEN Connected's sales efforts and strategy to address client needs and objectives. He has a valuable combination of skills and experience, including: a law degree, which enables him to understand and discuss customer contractual needs and requirements, as well as extensive hands-on experience in recognizing customer technology, operational, and financial strategy and needs, including presentation and analysis of various financial options and grant programs to determine those best suited for a customer's project.

Constellation, An Exelon Company – Senior Business Development Manager, 4 Years

As a senior business development manager, Mr. Regan managed Constellation's Pennsylvania energy efficiency teams from project evaluation through the Investment Grade Audit phase of development and served as the primary customer interface from scope definition through project implementation.

Five Year History of Energy Performance Contracting Project Experience

2015 - City of Harrisburg, PA

Municipal Government Street Lighting, \$3.6 million

2014 - City of Bethlehem, Bethlehem PA

City Street Light Conversion, \$3.8 million

2013 - Municipal Authority of Westmoreland County, PA

Municipal Water and Wastewater System, \$8.4 million

2013 - Lancaster County, PA

County Buildings, \$6.4 million

2012 - Fayette County, PA

County Buildings, \$2.8 million

Olivia Benson Program Manager

Total years of relevant energy-related experience: 2 Years

Anticipated Role:

As TEN Connected's Program Manager Street Lighting / Smart Cities Technology Solutions, Ms. Benson will assist in the overall development of the project and manage project-related communications to ensure effective coordination and customer satisfaction.

Educational Background:

M.S. – University of Pennsylvania, Philadelphia, Pennsylvania

M.B.A. – Point Park University, Pittsburgh, Pennsylvania

B.S. – Carnegie Mellon University, Pittsburgh, Pennsylvania

Professional/Technical Professional affiliations:

Experience

TEN Connected Solutions - Program Manager, 2 Years

Ms. Benson is responsible for identifying and developing opportunities to cultivate business relationships and to promote opportunities for TEN Connected Solutions. She assists in the development, award, and signing of contracts with clients and project support where needed in order to meet and exceed client expectations. Additionally, Olivia conducts legislative and industry research to provide clients with comprehensive information related to national street lighting projects and trends.

City of Pittsburgh – Youth Policy Director, 2 Years

As a policy director, Olivia managed and designed community-focused programming for city-wide implementation and led public affairs outreach strategies for youth and education initiatives.

Five Year History of Energy Performance Contracting Project Experience

2015 - City of Harrisburg, PA (currently in construction)

Municipal Government Street Lighting

\$3.6 million

2015 – Middletown Borough, PA (currently in construction)

Municipal Government Street Lighting

\$0.6 million

Joe Statler
Director of Installation

Total years of relevant energy-related experience: 15 Years

Anticipated Role:

As the Director of Installation, Mr. Statler will be the responsible for the development, installation and commissioning of all energy conservation measures.

Educational Background:

Associates Degree - Beaver County Community College, PA

Professional/Technical Professional affiliations:

PMP (Project Management Professional) training

OSHA 30 Hour Training

Certified Lift Operator

Competent Person/Scaffolding Erecting

CP/AED Certified

OSHA 10-hour Training

Experience

TEN Connected Solutions - Director of Installation, 3.5 Years

Mr. Statler is responsible for all aspects of project construction, including but not limited to cost-estimating, coordination of subcontractors, inspections and commissioning, quality assurance and quality control. He also manages relationships with supplier and contractor Network Partners.

Constellation – Director of Site Operations, 10 yrs.

As director of site operations, Mr. Statler was responsible for all aspects of project construction, including cost-estimating, coordination of subcontractors, inspections and commissioning.

Five Year History of Energy Performance Contracting Project Experience

2015 - City of Harrisburg, PA (currently in construction)

Municipal Government Street Lighting, \$3.6 million

2013 / 2014 - City of Bethlehem, Bethlehem PA

Municipal Government Street Lighting, \$3,924,843

2013 / 2014 - United Steelworkers Building, Pittsburgh PA

Commercial Office Building, \$3,510,136

2015 - Eastern Gateway Community College

University, \$1,759,014

2014 - The Pennsylvania State University, Beaver Stadium

State University, Stadium Lighting, \$763,274

Mike Schneider, LC, CLEP, CPM
Director of Design

Total years of relevant energy-related experience: 17 yrs.

Anticipated Role:

Mr. Schneider will research, design, and oversee field installations and testing of high-quality, cost-effective lighting and water conservation measures. His extensive field experience allows him to improvise and revise designs in order to best meet all situations. Having worked with nearly 60 lighting manufacturers, he knows the products, how they work, and the best applications for each option.

Educational Background:

Project Management Certification Program – Xavier University
Community College of Allegheny County

Professional/Technical Professional affiliations:

CPM - Certified Project Manager

Member IESNA (Illuminating Engineering Society of North America)

Certified Lighting Efficiency Professional – AEE

LC - Lighting Certified – NCQLP (National Council Qualifications for the Lighting Professions)

Experience

TEN Connected Solutions – Director of Design, 3 Years

Mr. Schneider's responsibilities include researching, designing, and overseeing field installations and testing of high-quality, cost-effective lighting and water conservation measures

NORESCO - Lighting and Water Energy Engineer, Years

As a lighting and water energy engineer, Mr. Schneider was responsible for the auditing, design, procurement and installation of energy efficient lighting and water solutions.

Five Year History of Energy Performance Contracting Project Experience

2015 - City of Harrisburg, PA (currently in construction)

Municipal Government Street Lighting, \$3.6 million

2013 / 2014 - City of Bethlehem, Bethlehem PA

Municipal Government Street Lighting, \$3,638,379

2013 / 2014 - United Steelworkers Building, Pittsburgh PA

Commercial Office Building, \$3,847,034

2014 - The Pennsylvania State University, Beaver Stadium

State University, Stadium Lighting, \$763,274

2015 - Eastern Gateway Community College

University, \$1,759,014

Greg Lok, PE, CEM
VP, Technology

Total years of relevant energy-related experience: 19 Years

Anticipated Role:

As TEN Connected's Vice President, Technology, Mr. Lok will be reviewing engineering design and structuring projects so that each customer receives the best possible economic and environmental terms.

Educational Background:

B.S Mechanical Engineering. – Queen's University; Kingston, Ontario, Canada

Professional/Technical Professional affiliations:

Professional Engineer (PE) in Pennsylvania, Ohio, West Virginia, Delaware, Virginia, New Mexico and Ontario

Certified Energy Manager (CEM)

Certified Project Manager (CPM)

Member, Association of Energy Engineers (AEE)

Experience

TEN Connected Solutions – VP, Technology, 2.5 Years

Mr. Lok is an energy management specialist that oversees the engineering development of projects, manages internal and external customer expectations, and makes sure that engineering development team meets the needs outlined by the customer. On the R&D side of the business, Greg is responsible for integrating the physical aspects of the energy services business into TEN Connected's software automation platform. He continuously looks for additional applications that can expand TEN Connected's offering and help customers create smart buildings.

Constellation – Executive Director of Product Development, 7 Years

As Executive Director of Project Development, Mr. Lok managed Constellation's MUSH (Municipal Governments, Universities, Schools, Hospitals) Energy Services team with over 35 professional designers and engineers encompassing projects from coast to coast.

Five Year History of Energy Performance Contracting Project Experience

2015 - Temple University, Philadelphia, PA

Higher Education, \$2.6 Million

2015 - Eastern Gateway Community College, Steubenville, OH

College, \$1,759,014

2015 - Community College of Allegheny County, Pittsburgh, PA

College – South Campus, \$3,755,230

2015 - City of Harrisburg, Harrisburg, PA (currently in construction)

Street Light LED Retrofit, \$3,600,000

Phase 1 – 2007; Phase 2 – 2009; Phase 3 – 2012 - Westmoreland County Housing Authority, Greensburg PA

Public Housing, \$12,000,000



PRESENTED TO

CITY OF PORTLAND, MAINE

11/9/2016

ATTACHMENT 2:

CITY OF BALTIMORE, MD BI-WEEKLY REPORT SUBMITTED TO CITY OCTOBER 28, 2016



TEN Maine

19 Yarmouth Road, Ste 301
New Gloucester ME 04260

TEN Philadelphia

40 West Evergreen Ave
Philadelphia PA 19118

TEN New England

51 Melcher Street
Boston MA 02210

TEN DC/Baltimore

9025 Maier Rd, Ste B
Laurel MD 20723

TEN Connected Solutions Headquarters 1501 Reedsdale St, Ste 401, Pittsburgh PA 15233

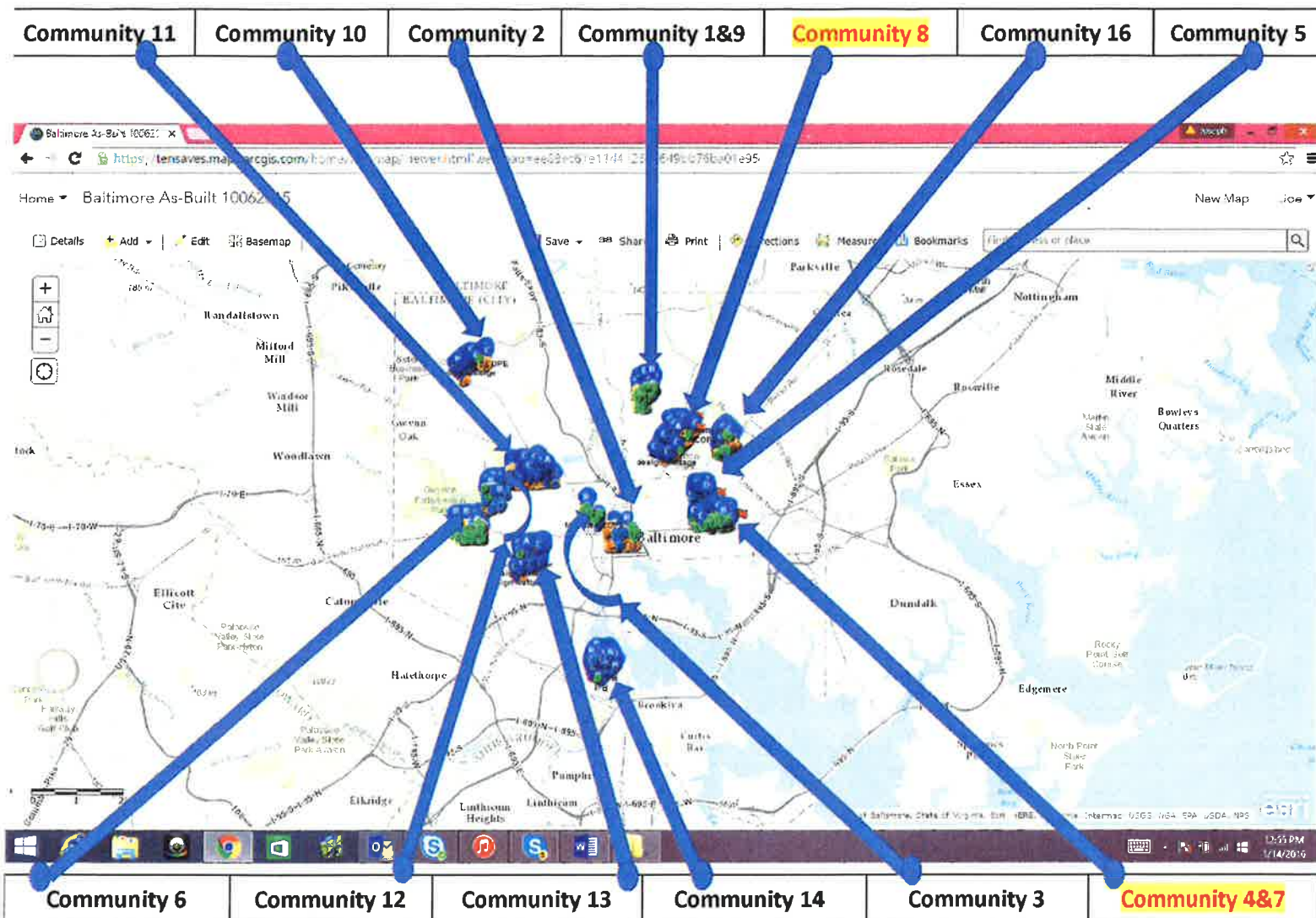
855.429.1010 | tenconnected.com | info@tenconnected.com

City of Baltimore Street Lighting Upgrades

October 28th, 2016

Overview of work:

- Communities highlighted have varying degrees of updates from the 10/14/2016 update



DOT Owned/BGE Maintained =

2142 Pendants Complete (located in fifteen communities)

677 PMAs Complete (currently working in community 8)

278 Acorns retrofitted (located in seven communities, 10, 3, 5, 2, 16, 4&7), No Status Change)

DOT Owned/DOT Maintained =

132 Pendants Complete (currently working in community 3, 1 & 9)

163 PMAs Complete (currently working in communities 4&7)

BGE Owned/BGE Maintained =

1,947 Pendants Complete (located in fifteen communities), ALS back on DOT owned work

6 PMAs Complete (completed PMAs in communities 13 and 6)

	Total complete	Total in project	
Pendant Only	4222	4260	99.11%
PMA (PT) Only	846	1721	49.16%
Acorn (PT3/PT4) Only	278	281	98.93%
Original Overall % Complete	5346	6262	85.37%
Adjusted % Complete (scope deducts)	5346	6244	85.62%

Summary of work:

Community 16 - no change since 10/14/2016

DOT Owned/BGE Maintained =

~29 Pendants Complete 11/11 (indicated by a "Blue C")

~0 PMAs Complete of 150

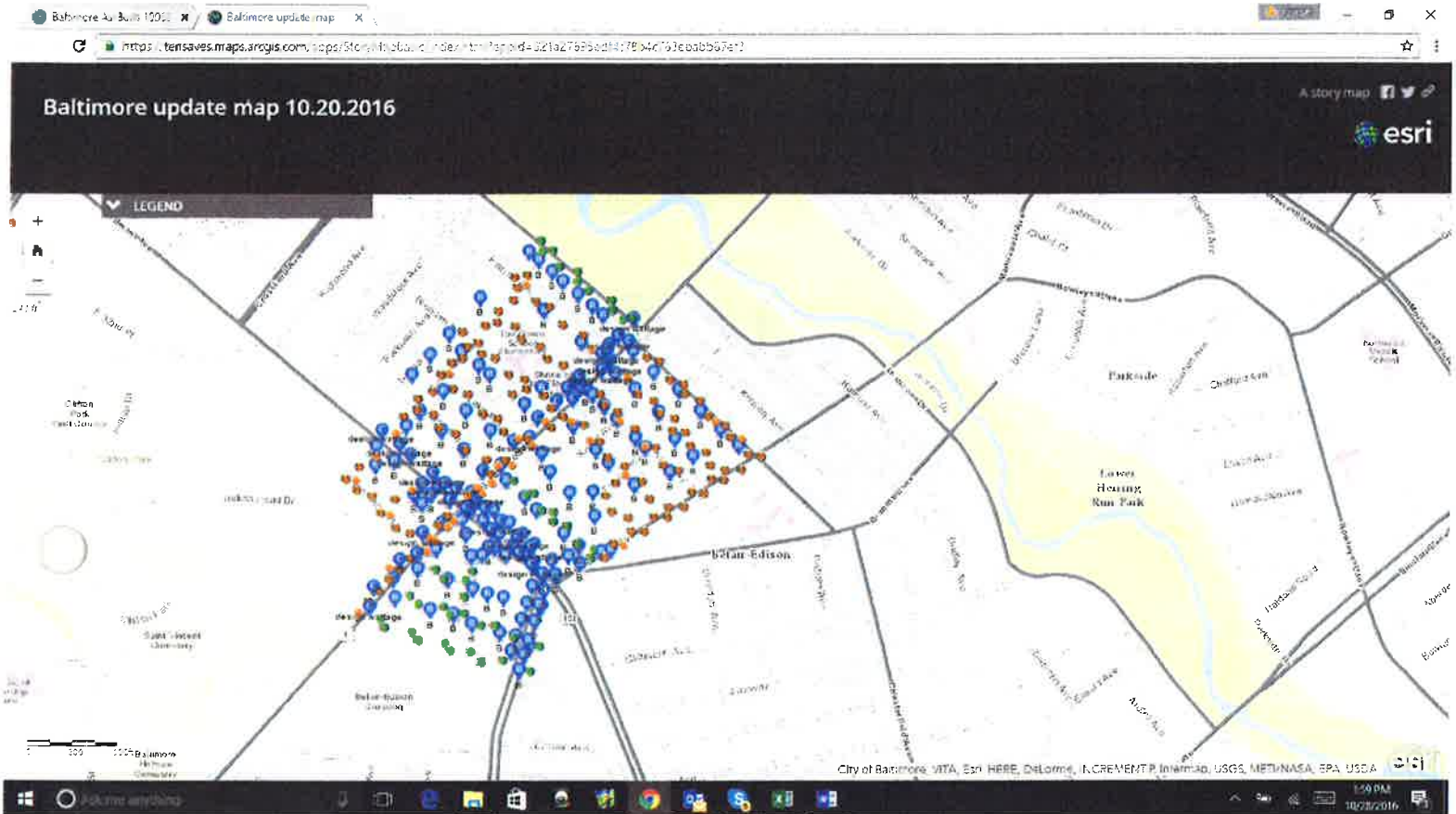
~17 Acorns retrofitted Complete 4/8 (indicated by a "Blue C")

DOT Owned/DOT Maintained =

~0 Pendants in scope

BGE Owned/BGE Maintained =

~114 Pendants Complete 7/20 (indicated by a "Blue B")



Summary of work:

Community 4 & 7

DOT Owned/BGE Maintained =

~220 Pendants Complete 12/3 (indicated by a "Blue C")

~90 PMAs Complete of 90, thru 4/8 progress

~14 Acorns Complete of 14, thru 4/8 progress

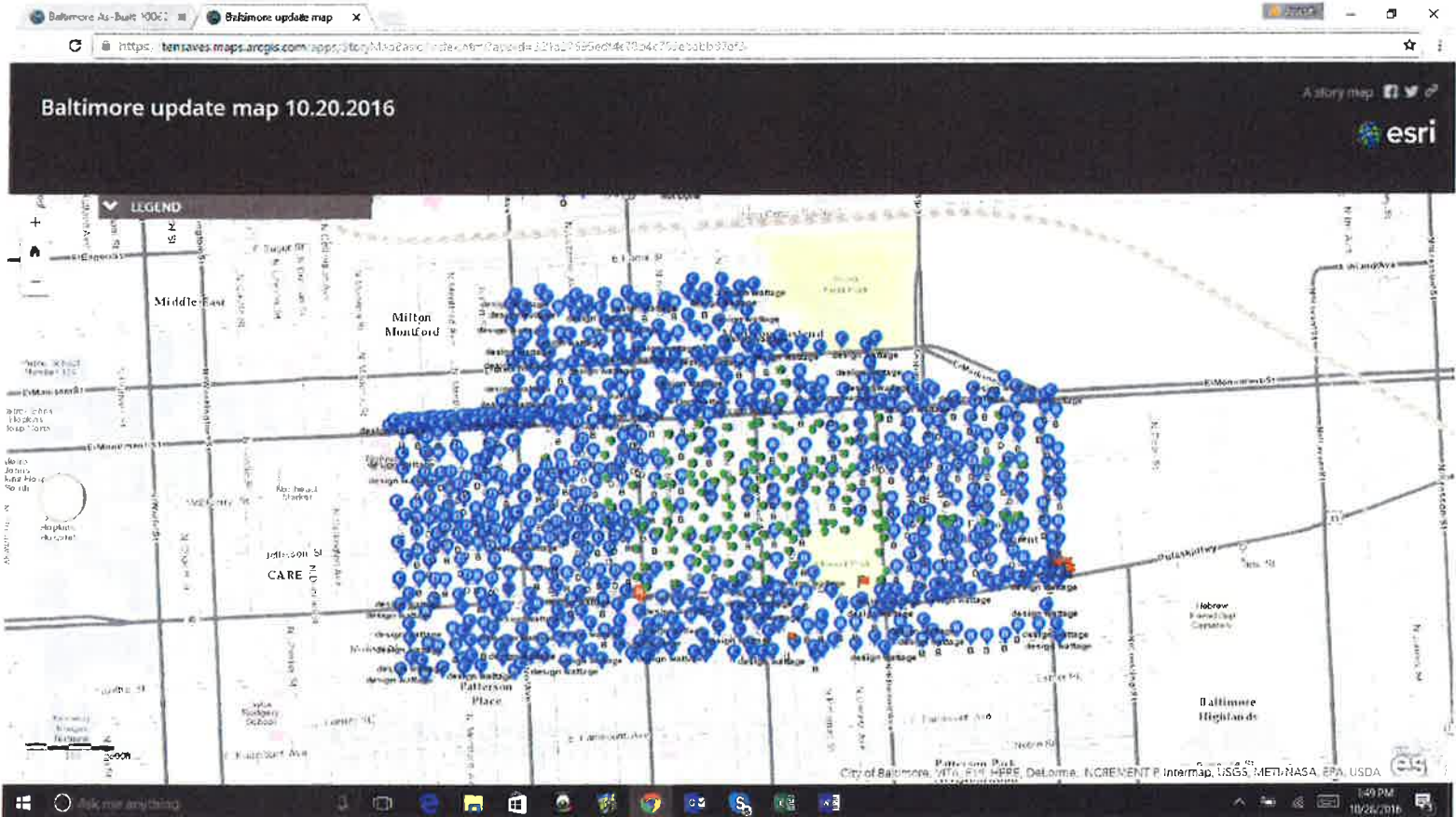
DOT Owned/DOT Maintained =

~16 Pendants of 16 Complete 3/25 (indicated by a "Blue D")

~98 of the 292 PMA fixtures, thru 10/28 progress

BGE Owned/BGE Maintained =

~247 Pendants Complete 2/26 (indicated by a "Blue B")



Summary of work:

Community 2 - no change since 10/14/2016

DOT Owned/BGE Maintained =

~463 Pendants of 466 Complete 4/8 (indicated by a "Blue C")

- In final stages of pendant scope verification and evaluating streets for completion

~NO PMAs to be done

~228 of 231 Acorns retrofitted Complete 3/25 (indicated by a "Blue C")

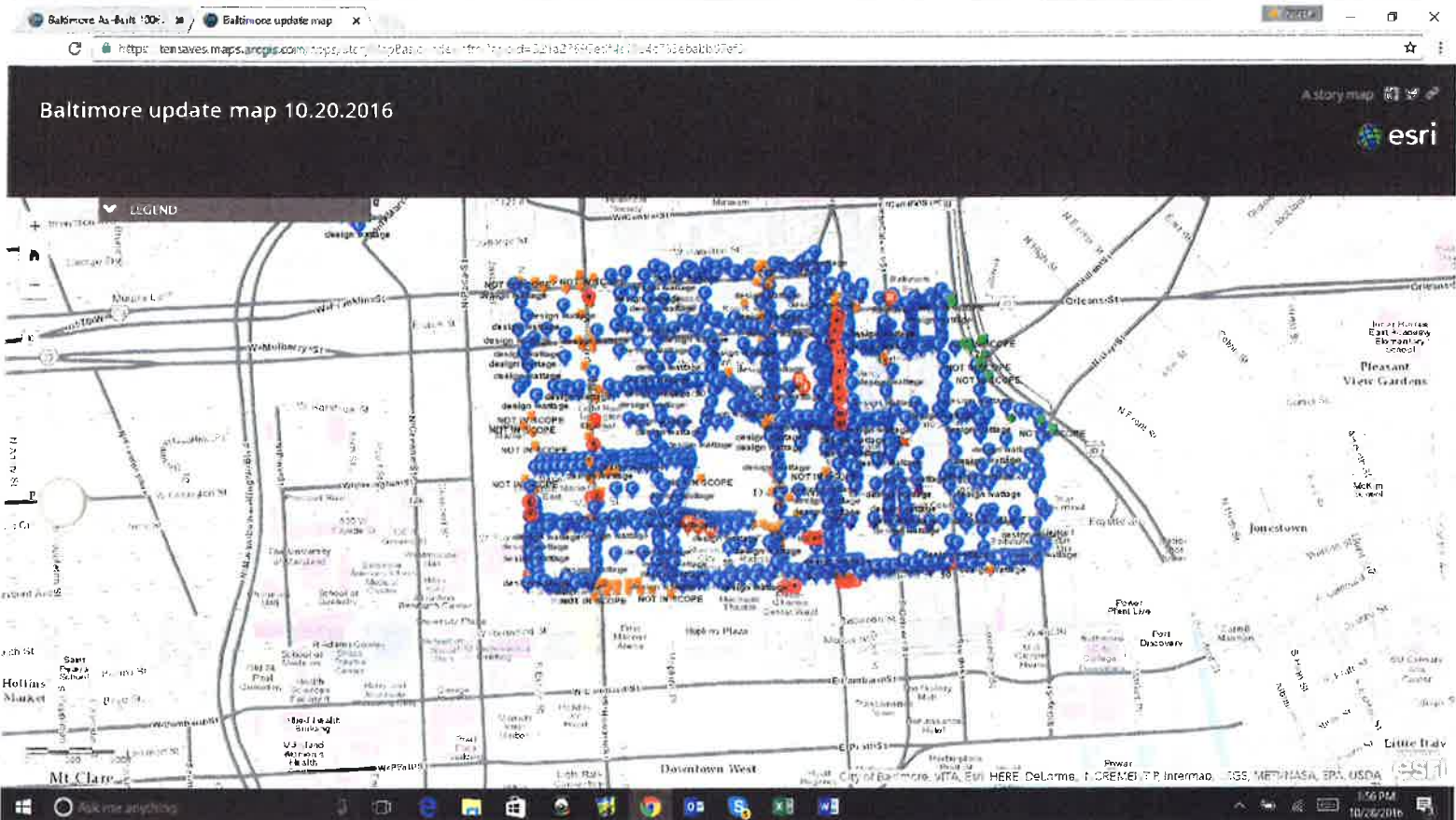
- In final stages of acorn scope verification and evaluating streets for completion

DOT Owned/DOT Maintained =

~2 Pendants in scope

BGE Owned/BGE Maintained =

~3 Pendants Complete 10/16 (indicated by a "Purple circle")



Summary of work:

Community 6 – no change since 10/14/2016

DOT Owned/BGE Maintained =

~81 Pendants Complete thru 2/24 (indicated by a "Blue C")

~126 PMAs Complete of 127, thru 9/29 progress

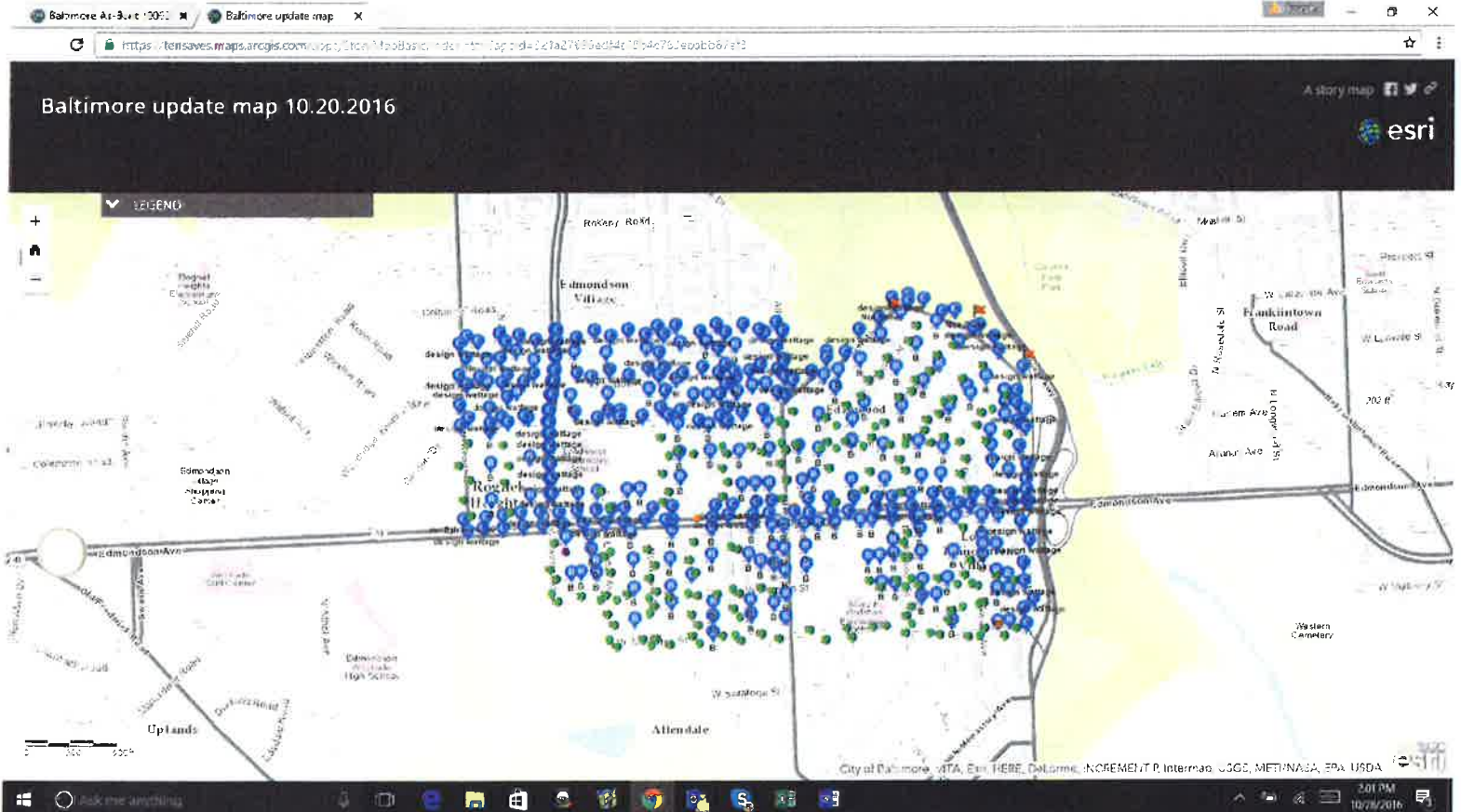
DOT Owned/DOT Maintained =

~0 Pendants in scope

~6 PMAs Complete of 6, thru 8/10 progress

BGE Owned/BGE Maintained =

~155 Pendants Complete 11/8 (indicated by a "Blue B")



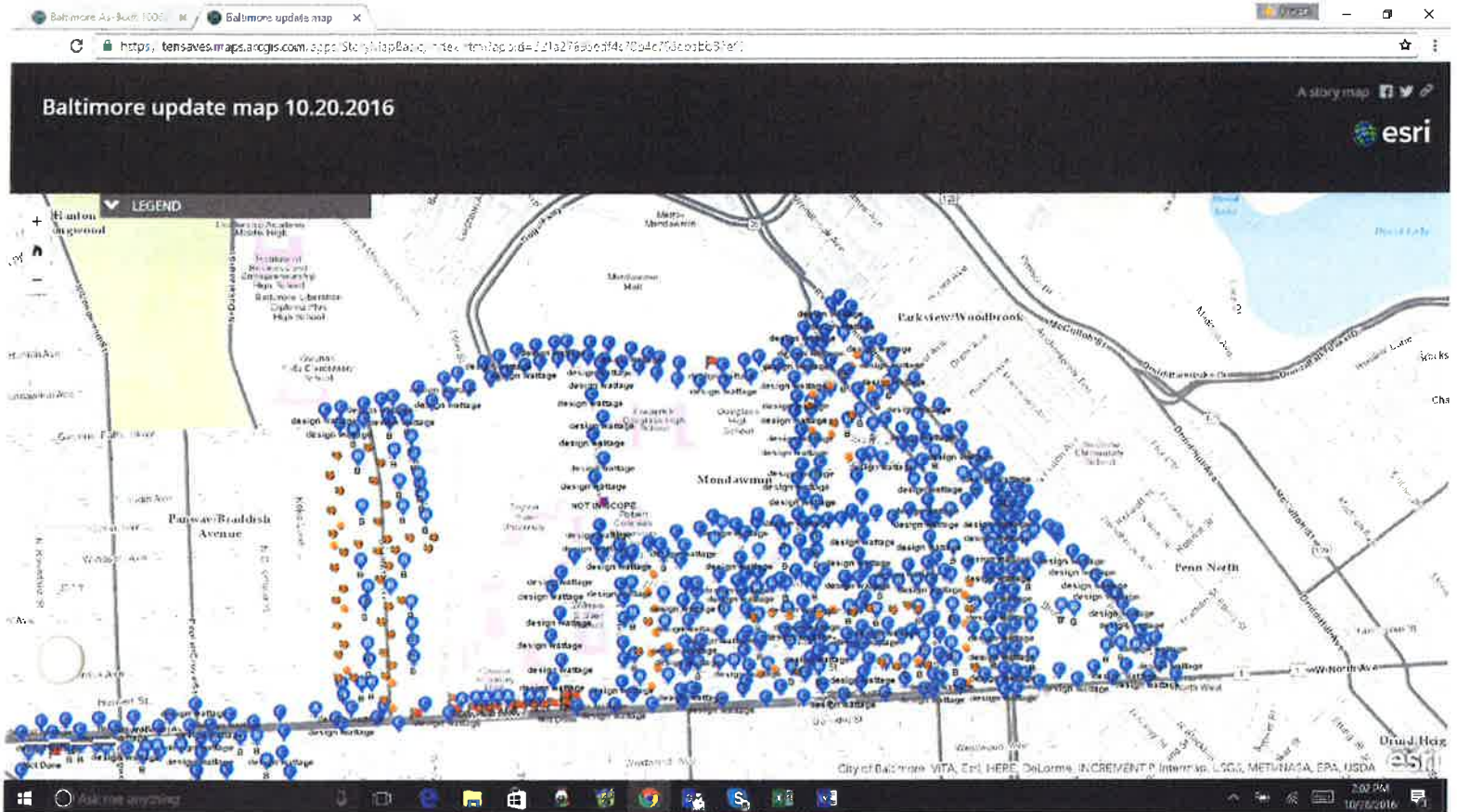
Summary of work:

Community 11 - no change since 10/14/2016

DOT Owned/BGE Maintained = ~312 Pendants Complete 1/15 (indicated by a "Blue C")

DOT Owned/DOT Maintained = ~0 Pendants in scope

BGE Owned/BGE Maintained = ~143 Pendants Complete 10/29 (indicated by a "Blue B")



Summary of work:

Community 13 - no change since 10/14/2016

DOT Owned/BGE Maintained =

~334 Pendants Complete 12/3 (indicated by a "Blue C")

~104 PMAs Complete of 119, thru 8/10 progress

~NO Acorns to be done

DOT Owned/DOT Maintained =

~5 Pendants in original scope, these are BGE poles and material was returned to storage

BGE Owned/BGE Maintained =

~180 Pendants Complete 11/21 (indicated by a "Blue B")



Project Images

Community 8 → East 31st Street





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11/9/2016

ATTACHMENT 3:

CITY OF HARRISBURG, PA CASE STUDY



TEN Maine

19 Yarmouth Road, Ste 301
New Gloucester ME 04260

TEN Philadelphia

40 West Evergreen Ave
Philadelphia PA 11918

TEN New England

51 Melcher Street
Boston MA 02210

TEN DC/Baltimore

9025 Maier Rd, Ste B
Laurel MD 20723

TEN Connected Solutions Headquarters 1501 Reedsdale St, Ste 401, Pittsburgh PA 15233

855.429.1010 | tenconnected.com | info@tenconnected.com



PROJECT SNAPSHOT

The City of Harrisburg's highly-competitive procurement process selected TEN Connected Solutions to analyze the City's street lighting system.

TEN Connected Solutions collaborated with City leaders to create an ambitious project plan, converting 6,200 streetlights to LED technology with guaranteed annual cost savings.

"The new LED lights are not only more energy efficient, but they are brighter than the old lighting," said Mayor Eric Papenfuse. "I am confident a brighter Harrisburg will mean a safer and more beautiful city for us all."

TECHNICAL COMPONENTS

- Complete audit and asset inventory, including GIS/GPS street light mapping
- City-wide system design and installation of high-efficiency LED lighting
- Intelligent wireless controls integration
- Utility rebate application and administration

For more information on street lighting projects and Smart Cities technologies please go to TENConnectedSolutions.com

CUSTOMER PROFILE

The City of Harrisburg is the capital of Pennsylvania and the county seat of Dauphin County, the center of the Harrisburg-Carlisle MSA of over a half million residents. Harrisburg is a dynamic and diverse city, enjoying an economic, cultural and social revitalization.

FINANCIAL RESULTS

\$3.6 Million
Project cost

\$525,000
Annual guaranteed energy savings

\$60,000
Annual operational savings

\$285,000
Rebates applied for and secured

FINANCIAL RESULTS

Annual savings of:

3,900,000 kWh Electricity

3021 Tons CO₂ Reductions

TEN Headquarters

1501 Reedsdale St, Ste 401
Pittsburgh PA 15233

TEN DC/Baltimore

9025 Maier Rd, Ste B
Laurel MD 20723

TEN Philadelphia

40 West Evergreen Ave
Philadelphia PA 1918

TEN New England

51 Melcher Street
Boston MA 02210



PRESENTED TO

CITY OF PORTLAND, MAINE

11/9/2016

ATTACHMENT 4:

TEN CONNECTED SOLUTIONS GALLERY



TEN Maine

19 Yarmouth Road, Ste 301
New Gloucester ME 04260

TEN Philadelphia

40 West Evergreen Ave
Philadelphia PA 19118

TEN New England

51 Melcher Street
Boston MA 02210

TEN DC/Baltimore

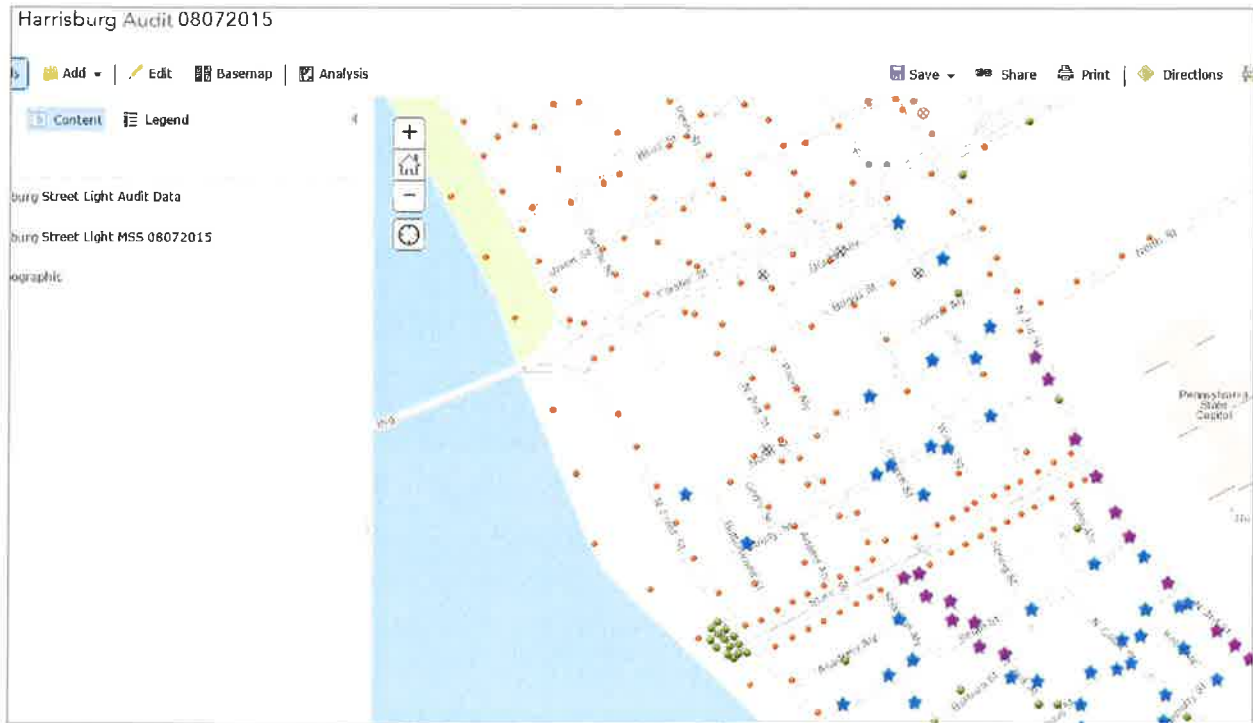
9025 Maier Rd, Ste B
Laurel MD 20723

TEN Connected Solutions Headquarters 1501 Reedsdale St, Ste 401, Pittsburgh PA 15233

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1. City of Harrisburg – Audit in Progress



2. City of Harrisburg – Tree Trimming Locations

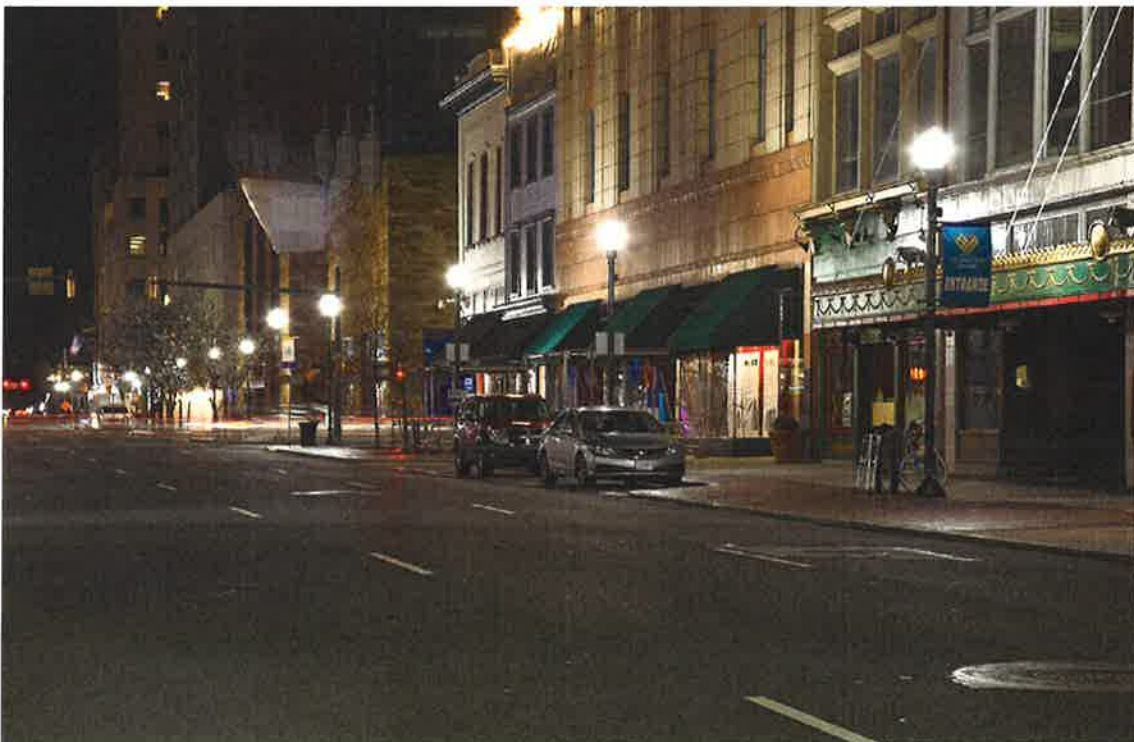




3. City of Harrisburg – North 7th Street LED Street Lights (Truly Green and Eaton/Cooper)



4. City of Harrisburg – Market Street Truly Green Decorative LED Lighting





5. City of Harrisburg – Italian Lake Truly Green Decorative LED Lighting



6. City of Harrisburg – Italian Lake Truly Green Decorative LED Lighting





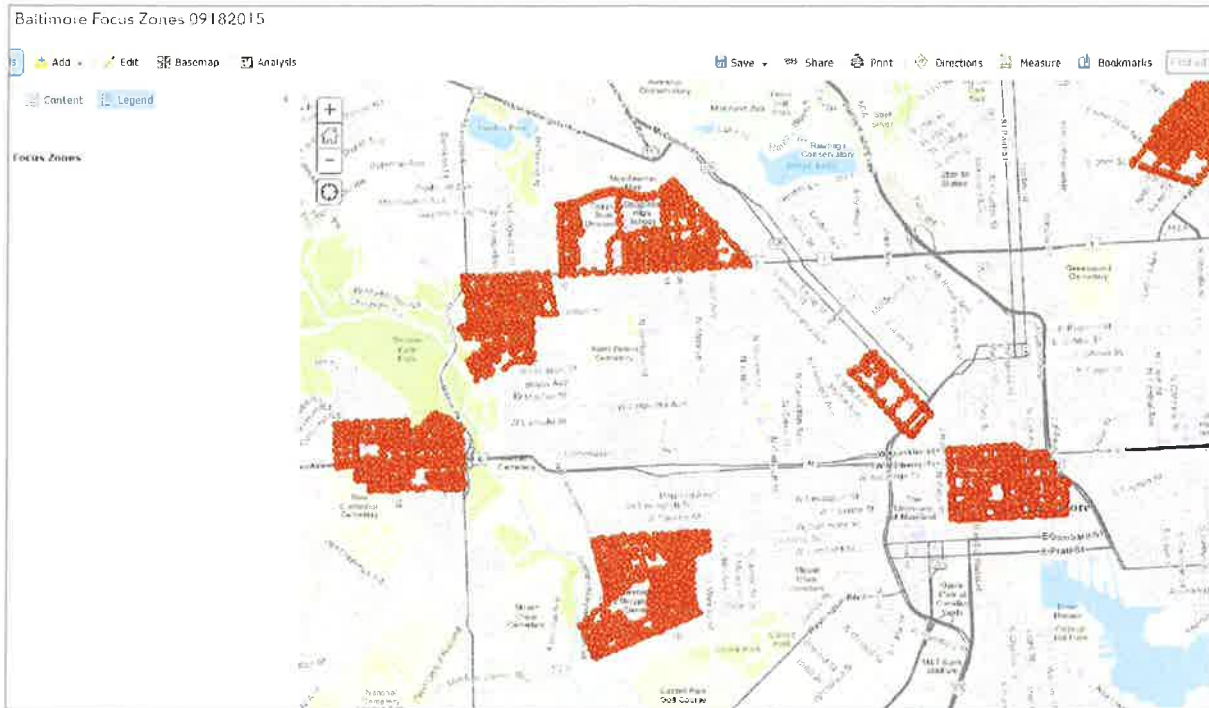
7. City of Harrisburg – Eaton/Cooper LED Cobra Head Luminaire



8. City of Harrisburg – Eaton/Cooper LED Cobra Head Luminaire



9. City of Baltimore – Focus Zones



10. City of Baltimore – LED Decorative Street Lighting Installation



EXHIBIT C – Project Design And Construction

1. Capitalized Terms

All capitalized undefined terms used in this Addendum shall have the meanings assigned thereto in the Contract to which this Exhibit is attached.

2. Project Assumptions and Development Parameters

Project Assumptions are based on the information Portland provided to Contractor as part of the RFP process, Contractor proposed project options to Portland, which options are more specifically identified in Contractor's Response dated 11/9/2016 (Contractor's RFP response) of the Contract.

If and when discovered throughout the audit and installation process, Contractor and Portland will work together, to the satisfaction of Portland, to correct and/or reconcile any inaccuracies in the information and data provided by Portland to Contractor as part of the RFP process.

3. Summary of Project Parts

Project Part 1: Contract date to June 30, 2018

QTY	Description	Price
Core LED Lighting and Control System Upgrade		\$ 2,916,948
4847	CMP cobrahead LED upgrade (new CREE fixtures)	\$ 1,757,017
283	CPM flood and shoebox LED upgrade (new fixtures)	\$ 208,703
5130	Fusing for CMP Cobraheads, floods, and shoeboxes	\$ 343,901
5130	Controls for CMP cobraheads, floods, and shoeboxes (Echelon)	\$ 544,694
2	Parking Garage LED upgrades	\$ 62,633
City Selected Smart City Project Allocation for:		\$1,083,052
Public and City Wi-Fi (1st half of project, ~ 100 locations)		
City Hall exterior color lighting upgrade		
Payson Park softball field LED upgrade		
Deering Oaks fountain color lighting upgrade		
Riverside Golf EV and Cart Charging project		
Pilot decoratives install, to help determine the approach on Phase 2 construction		
Interactive Kiosks for resident/visitor engagement		
Pilot project for Blue Emergency Light system		
Tree trimming, pole painting, LED project contingency		

TOTAL COST of PART 1: \$4,000,000

The dollar amounts set forth in the table above include a portion of the Phase I design work cost listed in Exhibit C-8.

Project Part 2: July 1, 2018 to June 30, 2019

QTY	Description	Price
Core LED Lighting and Control System Upgrade		\$ 2,308,404
290	CMP Holophane LED upgrade (50/50, retrofit/new)	\$ 421,077
784	CMP GE Town & Country LED upgrades (70/30 retrofit/new)	\$ 967,842
402	Portland Decorative LED Upgrades (50/50, retrofit/new)	\$ 602,422
1074	Fusing for CMP Holophane, GE (not for Portland-owned)	\$ 71,998
1476	Controls for all decoratives (CMP and Portland-owned)	\$ 245,065
City Selected Smart City Project Allocation for:		\$ 1,691,596
	Public and City Wi-Fi (2nd half of project, ~ 150-300 more locations)	
	Dougherty Field LED lighting installation (1 or more fields)	
	Traffic Flow System Forrest Ave Corridor	
	6 Electric Vehicle (EV) charging stations	
	Casco Bay Bridge color LED lighting installation	
	Environmental Sensors, Video Cameras installed in Strategic Locations	
	Riverton lighting upgrade	
	Tree trimming, pole painting, LED project contingency	

TOTAL COST of PART 2: \$ 4,000,000

Details for Project Part 1 and Part 2 are further outlined throughout this Exhibit.

Project Part 3: Ongoing Managed Services (TBD)

During the initial implementation of the Contract, Portland and Contractor will work collaboratively, in good faith, to develop a detailed plan to implement other managed services to further reduce City costs and/or increase City revenues. The cost of these services has yet to be determined and, as of the date of the Contract, is only contemplated by Portland. If implemented, Contractor will develop the scope of the managed services in close consultation with Portland. The final description and cost of any ongoing managed services to be provided under this paragraph is subject to the City's prior written approval.

4. Project Cost

The cost of Part 1 is not to exceed \$ 4,000,000.

The cost of Part 2 is not to exceed \$ 4,000,000.

5. Financing the Project: Indicative Interest Rate and Term

To finance the project, it is Portland's intention to obtain tax-exempt lease purchase financing from Bank of America, or other suitable lender, with an indicative interest rate of approximately 2.050%. It is anticipated that the term of the repayment of the project will not exceed ten (10) years, including the cost of financing.

6. Savings Measurement and Guarantee Services

Subject to the City's prior written approval for each applicable year, Contractor shall provide Measurement and Verification (M&V) services within 12 months after City provides final acceptance of Part 1, Phase II, and every year following, up to 12 years, unless Portland terminates the Measurement and Verification Services earlier. Immediately following the project acceptance date, Contractor shall request City's written approval to provide the following Savings Measurement and Guarantee Services with regard to the Project, (a) setting-up and administering the program, approved by Portland, for the annual verification of project savings achieved in accordance with the International Performance Measurement & Verification Protocol (IPMVP), (b) provide Project Savings Performance Guarantee, and (c) Perform contract administration functions during the Savings / Repayment Term, which shall include but not be limited to: (i) conducting periodic meetings with Portland to determine whether any changes in the Project have occurred, or may occur, that has resulted, or may result, in changes in the anticipated savings from the Project; (ii) assisting Portland in assessing the actual or anticipated impacts, if any, from such changes; (iii) advising Portland regarding options as a result of such changes, and (iv) analyzing savings reports on an annual basis to confirm operating assumptions for purposes of the Project Savings Performance Guarantee. If City requests such M&V services, the City shall pay Contractor at the rates set forth in section A(2) of Exhibit C-8.

7. Project Savings Performance Guarantee

Contractor guarantees that the Project shall provide Portland with a “Guaranteed Dollar Savings Amount” for each Measurement Year of the 10-year savings term.

In order to reconcile this Project Savings Performance Guarantee, the “Actual Project Dollar Savings Amount” for each Measurement Year shall be determined by Contractor in accordance with the procedures of the Measurement and Verification Plans approved by Portland. This reconciliation shall occur within ninety (90) days of the end of each Measurement Year during the 10-year savings term.

If the Actual Project Dollar Savings Amount is equal to or greater than the Guaranteed Dollar Savings Amount for that Measurement Year, then no reconciliation reimbursement from Contractor to Portland is necessary. If the Actual Project Dollar Savings Amount is less than the Guaranteed Dollar Savings Amount for that Measurement Year, then Contractor shall reimburse Portland for the difference between the Guaranteed Dollar Savings Amount and the Actual Project Dollar Savings Amount (“Dollar Savings Shortfall Amount”) for that Measurement Year, subject to the terms, conditions, procedures, reconciliations and formulae which are further outlined in the Portland-approved Measurement & Verification Plan described in Exhibit C-7.

8. Work Schedule

The Project’s Work Schedule, which shall be subject to review and approval by Portland, shall be attached hereto and made a part of the Contract, and the Work Schedule will describe the portions of the design specification and installation work based on the selected equipment.

9. Additional Project Documents

The following tables/schedules/information, attached hereto and made a part hereof, are added to the Contract as additional Project documents under the Contract:

EXHIBIT C Documents

- (a) **Exhibit C-1** - Determination of Savings
- (b) **Exhibit C-2** - Project Design Approval & Notice to Proceed Form
- (c) **Exhibit C-3** - Project Pricing and Adjustment Information
- (d) **Exhibit C-4** - Project Information and Schedule
- (e) **Exhibit C-5** - Project Work Schedule
- (f) **Exhibit C-6** - Scope of Work, Equipment Description, and Warranty Information
- (g) **Exhibit C-7** - Guaranteed Dollar Savings Amounts, Measurement & Verification Service, and Bonding - Schedule for Payment for the Work
- (h) **Exhibit C-8** - Payment for the Work
- (i) **Exhibit C-9** - Other General Conditions
- (j) **Exhibit C-10** - Contractor's Certificate of Liability Insurance
- (k) **Exhibit C-11** - Contractor's Registration with the Maine Department of the Secretary of State
- (l) **Exhibit C-12** - Applicable Rebate Information: Efficiency Maine
- (m) **Exhibit C-13** - Transfer of Ownership from Utility to Portland Inventory
- (n) **Exhibit C-14** - Portland Owned Inventory (no transfer of ownership required)

EXHIBIT C - 1

DETERMINATION OF SAVINGS

A. Determination of Energy Cost Savings

Energy Cost Savings will be calculated by comparing actual energy use with the energy use in the Base Period. Portland and Contractor, by mutual consent, may elect to determine Savings for some or all installations by sub-metering, direct measurement and calculation, or some other means, rather than by direct comparison with the Base Year. In this event, Contractor will perform the necessary calculations, which will be subject to approval by Portland. Energy use in the Base Year may be adjusted by mutual consent.

ENERGY COSTS:

Electricity Supply:

The following tariffs are derived from Portland's Competitive Energy Services Summary of Contracts, dated 10/28/2016:

a) Street lighting supply:

Streetlight A: NextEra All-Inclusive (term: 5/19/2015 to 11/30/2018) \$0.05835/kWh

Streetlight B: Constellation All-Inclusive (term: 2/1/2016 to 11/30/2019) \$0.04690/kWh

For the contract

Street lighting supply: \$0.05263/kWh

These are tariffs for illustrative purposes only and are not intended to reflect actual future tariffs which will likely be different and subject to market conditions.

b) Parking garage(s) supply:

City A: NextEra (MGS/SGS) All-Inclusive (term: 5/19/2015 to 11/30/2017) \$0.07795/kWh

Electricity Delivery:

City of Portland Utilities Charge:

a) Street lighting delivery: Central Maine Power (CMP) rate SL, Section 2, "Delivery Only" \$0.055332/kWh

b) Parking garage(s) delivery: Central Maine Power (CMP) MGS Secondary 3 Phase \$12.32/kW

Web reference:

<https://www.cmpco.com/YourHome/pricing/pricingSchedules/default.html>

SAVINGS DETERMINATION:

SCOPE	PROJECT PART	EXISTING COSTS					POST PROJECT UPGRADES COST					PROJECT COST SAVINGS
		kWh	kW used x 12 mo.	Supply, \$/kWh	Delivery, \$/kWh	Utility Maintenance	kWh	kW used x 12 mo.	Supply, \$/kWh	Delivery, \$/kWh	Utility Maintenance	
TRANSFER OF OWNERSHIP FIXTURES												
	1	2,601,923	N/A	\$136,939	\$143,970	\$517,004	699,705	N/A	\$36,825	\$38,716	\$0	\$722,371
	1	430,473	N/A	\$22,656	\$23,819	\$46,900	95,092	N/A	\$5,005	\$5,262	\$0	\$83,109
	2	204,224	N/A	\$10,748	\$11,300	\$28,635	77,353	N/A	\$4,071	\$4,280	\$0	\$42,333
	2	434,179	N/A	\$22,851	\$24,024	\$85,673	150,293	N/A	\$7,910	\$8,316	\$0	\$116,322
Utility Special Facilities - Poles Only		1	N/A	N/A	N/A	\$ 99,340	N/A	N/A	N/A	N/A	\$0	\$99,340
sub-total: Transfer of Ownership Fixtures			3,670,799	\$193,194	\$203,113	\$777,553	1,022,443		\$53,811	\$56,574	\$0	\$1,063,475

PORTLAND OWNED FIXTURES												
Decorative Fixtures - Retrofit (qty 201)	2	139,401	N/A	\$7,337	\$7,713	\$856	46,704	N/A	\$2,458	\$2,584	\$0	\$10,864
	2	147,141	N/A	\$7,744	\$8,142	\$856	53,408	N/A	\$2,811	\$2,955	\$0	\$10,976
sub-total: Portland Owned Fixtures			286,542	\$15,081	\$15,855	\$1,713	100,112		\$5,269	\$5,539	\$0	\$21,840

PORTLAND OWNED FACILITIES												
Elm Street Garage	1	133,215	228	\$10,384	\$2,809	\$1,748	57,685	96	\$4,497	\$1,183	\$0	\$9,262
	1	215,973	324	\$16,835	\$3,992	\$2,848	86,037	132	\$6,707	\$1,626	\$0	\$15,342
sub-total: Portland Owned Facilities			349,188	\$27,219	\$6,801	\$4,596	143,722	228	\$11,203	\$2,809	\$0	\$24,604

SAVINGS SUMMARY

PART 1 Savings		3,381,584		\$186,814	\$174,589	\$782,149	938,519		\$53,033	\$46,787	\$0	\$1,043,733
PART 2 Savings			924,946	\$48,680	\$51,179	\$1,713	327,758		\$17,250	\$18,135	\$0	\$66,186
TOTAL Savings			4,306,529	\$235,494	\$225,768	\$783,862	1,266,277		\$70,283	\$64,922	\$0	\$1,109,919

Cost Savings, for the purposes of this contract, are escalated at 2.00% per year for the term of the repayment period.

The Annual Electric Savings is based upon the pre-retrofit Portland billed amounts less the post-retrofit, as-built billing amounts projected for the traffic lighting quantities listed in Exhibit C-6. The Project's Estimated Annual Electric Usage (kWh) amounts for post-retrofit utility billings will be based upon the as-built street lighting quantities, operating hour assumptions, kWh, and billing rates in accordance with the procedures set forth in Exhibit C-4.

Should the as-built quantities change, the projected electric usage shall be adjusted by the added or deducted unit quantities multiplied by the corresponding kWh usage per unit. Such electric kWh adjustments shall be set forth in Change Order(s) to be prepared by Contractor and approved by Portland from time to time during the installation period. An updated Electric Usage Spreadsheet and electric utility billing model will be provided by Contractor for the as-built Project at the end of the installation period.

Base Utility Rates: The utility rates are set forth above. There are no gas or water savings associated with this work.

B. Determination of Transfer of Ownership (Buyback) Cost Savings

Transfer of Ownership Cost Savings:

In addition to the energy savings, this Project also creates significant equipment and maintenance savings through the Contractor and the City's ability to negotiate the transfer of ownership of certain street lighting fixtures from the local Utility to Portland. These savings can be quantified using the transfer of ownership agreements between the local Utility and Portland now that those negotiations are successfully complete. The source of the savings is largely due to and a result of the fixtures then being converted to much more long-lasting and highly warranted LED technology. The Contractor has also negotiated the best possible warranty for Portland with the ultimate equipment vendor. All Transfer of Ownership cost savings will be agreed to by Portland and will be deemed as such as part of any ongoing measurement and verification service. Transfer of ownership savings, for the purposes of this contract, are escalated at 2.00% per year for the term of the repayment period.

EXHIBIT C-2
PROJECT DESIGN APPROVAL AND NOTICE TO PROCEED FORM
TEMPLATES

EXHIBIT C-2, ATTACHMENT A

PHASE I - PROJECT DESIGN ACCEPTANCE AND NOTICE TO PROCEED FORM

(This form will be used by the City of Portland to acknowledge its acceptance of the design and serve as its notice to proceed with the installation of the project.)

In accordance with the Contract ("Contract"), entered into this _____ day of _____, 2017, by and between THE CITY OF PORTLAND (the "Portland") and TEN CONNECTED SOLUTIONS, INC. ("Contractor"):

Contractor has performed the Phase I Design Specification Work for the project and submitted to Portland the necessary design and specification documents for the Project ("Design Documents").

Portland has reviewed the Design Documents and hereby designates its acceptance of the Design Documents by execution of this Project Design Acceptance Form.

Contractor is hereby authorized to commence work on the installation of the above referenced Project in accordance with the Design Documents and the following:

- Project Information summarized in Exhibit C-4;
- The time period as set forth in Exhibit C-5, Project Work Schedule;
- The Project Pricing and Adjustment Information as set forth in Exhibit C-3;
- Measurement and Verification protocols summarized in Exhibit C-7.

Such Project Exhibits have been duly executed by both Portland and Contractor.

Contractor acknowledges and agrees that City's execution of this form is for purposes of accepting the Design Documents only, and such acceptance shall not be deemed to impose any duty or liability upon the City related to the design of Contractor's work, and such acceptance shall not relieve the Contractor of any duty or liability it may have under the Contract or applicable law.

Execution of this Design Acceptance and Notice to Proceed by Portland:

By: _____

Date: _____

Acceptance and Notice Acknowledgement By Contractor:

By: _____

Date: _____

EXHIBIT C-2, ATTACHMENT B

PHASE III - PROJECT ACCEPTANCE CERTIFICATE FORM

(This form will be used by the City of Portland to serve as its acceptance of the project and to authorize Contractor to begin to conduct the savings Measurement and Verification services.)

In accordance with the Contract ("Contract"), entered into this _____ day of _____, 2017, by and between THE CITY OF PORTLAND (the "Portland") and TEN CONNECTED SOLUTIONS, INC. ("Contractor"), Portland is hereby accepting that all portions of the Installation Work are substantially complete and operational as of this _____ day of _____, 20____, ("Project Acceptance Date").

Portland and Contractor acknowledge the attached "punch list" of items to be completed by Contractor no later than the dates as specified on the attached sheet for each item, in accordance with the terms of the Contract and its Scope of Work.

In accordance with the terms of the Contract, Contractor is hereby authorized to conduct the Savings Measurement and Verification Services, which includes the measurement and verification of the Actual Energy Savings in accordance with Exhibit C-7 of the Contract.

Contractor acknowledges and agrees that City's acceptance of the project and execution of this form shall not be deemed to impose any duty or liability upon the City related to the performance of Contractor's work, and such acceptance shall not relieve the Contractor of any duty or liability it may have under the Contract or applicable law.

Project Acceptance Certified by Portland:

By: _____

Date: _____

Project Acceptance Acknowledgement by Contractor:

By: _____

Date: _____

EXHIBIT C-3

PROJECT PRICING AND ADJUSTMENT INFORMATION

Project Cost Adjustments for “Changes in the Project”:

Contractor may experience additional costs or losses of Project Savings for reasons which could not reasonably have been anticipated or controlled by Contractor. Adjustments to the Project Cost may be authorized if, for example, Contractor experiences additional costs above those established in the Project Cost Budget or if there is a deletion or substantial modification to the Project by Portland.

There shall be no additional Project Costs without the City’s prior written approval. Any adjustments to the Project Cost shall be billed/credited by Contractor in accordance with the same general pricing and other terms set forth in this Contract. Contractor shall have no obligation to act on modifications to the Project Scope of Work unless it agrees to do so in writing.

A. UNIT PRICE FOR EACH ADDITIONAL or DEDUCTED UNIT (units added to or deducted from Quantities Listed in Exhibit C-6 Scope of Work, Equipment Description, and Warranty Information:

All unit pricing includes all materials, labor, and other expenses.

Quantities for each type of equipment can be found in Project Scope.

A 1. UNIT PRICE FOR EACH ADDED OR DEDUCTED TECHNOLOGY

Portland Approved Equipment	Portland Approved Part #	Add/Deduct Cost
Arm Mounted Cobra Head LED Light Fixture, 83W	Cree RSWM-A-HT-3ME-9L-30K7-UL-GY-N	\$321.46
Arm Mounted Cobra Head LED Light Fixture, 50W	Cree RSWM-A-HT-3ME-9L-30K7-UL-GY-N-X1	\$321.46
Arm Mounted Cobra Head LED Light Fixture, 74W	Cree RSWM-A-HT-3ME-9L-30K7-UL-GY-N-X6	\$321.46
Arm Mounted Cobra Head LED Light Fixture, 23W	Cree RSWS-A-HT-2ME-3L-30K7-UL-GY-N-X3	\$268.53
Arm Mounted Cobra Head LED Light Fixture, 30W	Cree RSWS-A-HT-2ME-5L-30K7-UL-GY-N-X1	\$277.91
Pole Mounted Dusk to Dawn/Barn Light LED Light Fixture, 50W	E-conolight E-DD1L50N1	\$245.43
Wall Mounted Flood Light LED Light Fixture, 79W	Holophane ACP0LED PK2 MVOLT WFR 30K YK GYSDP 10KVIL PER7 06 43 NL	\$642.91
Arm Mounted Shoebox Fixture LED Light Fixture, 110W	Holophane ATB0 30BLEDE10 MVOLT R3 3K BZ NL P7 w/Arm	\$481.44
Arm Mounted Shoebox Fixture LED Light Fixture, 72W	Holophane ATB0 30BLEDE70 MVOLT R3 3K BZ NL P7 w/Arm	\$481.44
CMP Approved Fuse Holder	Sicame USA FTSC25SCO 2L300N 10A	\$140.42
Control System – Fixture Control Node	Echelon TOP900 TLX-E GPS	\$115.22
Control System – Gateway/Segment Controller	Base station	\$4,177.20

EXHIBIT C-4

PROJECT INFORMATION

City of Portland Address: The City of Portland 389 Congress Street, Portland, Maine 04101

City of Portland's Designee: Troy Moon

Phone: 207-756-8362

Email: thm@portlandmaine.gov

A. SCOPE OF WORK:

The Project Scope of Work is based upon installation of the street lighting related equipment quantities listed in Exhibit C-6. Should the as-built quantities change, the Scope of Work shall be adjusted by the added or deducted unit quantities at the rates set forth in Exhibit C-3. Such Scope of Work adjustments shall be set forth in Change Order(s) to be prepared by Contractor and approved by Portland from time to time during the installation period.

B. PROJECT LOCATIONS:

Project Locations for the Street Lights retrofitted shall be in various traffic intersection and street locations throughout Portland as set forth in Exhibit C-6.

The specific Project Locations shall be updated as part of the As-built documentation provided by Contractor. Installation progress reports shall be generated by Contractor from time to time during the installation period to document Work completed at specified Project Locations up to the date of such progress report.

EXHIBIT C-5

PROJECT WORK SCHEDULE

PART 1 – PROJECT SCHEDULE (for Phase I and II of Core LED Street Lighting and Control System Upgrade Installation):

Final Design Completion: (Phase 1)	Contractor shall complete final design of the core project within two (2) weeks of execution of this Contract.
Final Design Review/Approved: (Phase 1)	Portland shall review final design of the core project and notify Contractor of any objections thereto within two (2) weeks of presentation of design documents by Contractor. Contractor shall promptly respond with a revised final design and the parties shall work expeditiously and in good faith to agree upon a final design.
Rebate Pre-approval if applicable (Phase 1)	Contractor shall complete final rebate pre-approval of the core project within two (2) weeks of execution of customer design approval
Commence Core LED Street Lighting and Control System Upgrade Installation (Phase 2)	Immediately after Utility pre-approval and to be complete within approximately twenty (20) weeks (subject to weather and subcontractor availability).
Substantial Completion Core LED Street Lighting and Control System Upgrade Installation (Phase 2)	Within three (3) weeks after core project installation completion. Notwithstanding anything to the contrary above, Substantial Completion of Core LED Street Lighting And Control System Upgrade shall be complete no later than June 30, 2018.
Field Utility Approval & Bill Reconciliation (Phase 2)	Within four (4) weeks after substantial completion of core project.
Final Completion and Project Acceptance (Phase 2)	Within two (2) weeks after final utility reconciliation of core project

All additional work related to Part 1, to be determined during Part 1, will be performed on a schedule substantially similar to the above.

PART 2 – PROJECT SCHEDULE:

The Schedule for Part 2 will be developed based on the final scope agreed upon between Portland and the Contractor and this work will be scheduled and communicated in a similar way to the Part 1 schedule above, prior to starting the Phase 2 installation of Part 2.

EXHIBIT C-6

SCOPE OF WORK, EQUIPMENT DESCRIPTION, and WARRANTY INFORMATION

A. PART 1 - SCOPE OF WORK

Contractor's City of Portland Street Lighting Scope of Work for the project is set forth below. The following wattages and hours of operation were used in the savings calculations.

LIGHTING EQUIPMENT & LED LAMP WATTAGES

Scope Related to Inventory Purchased from Central Maine Power (CMP) (Transfer of Ownership):

Contract Quantities	Existing Equipment	Pre-(billing) Wattage*	New Equipment	Proposed Wattage**
86	250 or 400W Sodium Cobra Head	300 or 465 W	Cree RSWM-A-HT-3ME-9L-30K7-UL-GY-N-X9	83 W
1,008	50, 70, 100, 150W Sodium Cobra Head	65, 95, 130, 195 W	Cree RSWM-A-HT-3ME-9L-30K7-UL-GY-N-X1	50 W
302	150 or 250W Sodium Cobra Head	195 or 300 W	Cree RSWM-A-HT-3ME-9L-30K7-UL-GY-N-X6	74 W
2,738	50 or 70W Sodium Cobra Head	65 or 95 W	Cree RSWS-A-HT-2ME-3L-30K7-UL-GY-N-X3	23 W
713	100W Sodium Cobra Head	130 W	Cree RSWS-A-HT-2ME-5L-30K7-UL-GY-N-X1	30 W
1	100W Mercury Dusk to Dawn	130 W	E-conolight E-DD1L50N1	50 W
178	250 or 400 W Sodium or Metal Halide Flood	300 or 465 W	Holophane ACP0LED PK2 MVOLT WFR 30K YK GYSDP 10KVIL PER7 06 43 NL	79 W
19	400 W Shoe Box Fixture	465 W	Holophane ATB0 30BLEDE10 MVOLT R3 3K BZ NL P7 w/Arm	110 W
85	250 W Sodium Shoe Box Fixture	250 W	Holophane ATB0 30BLEDE70 MVOLT R3 3K BZ NL P7	72 W

LIGHTING CONTROL SYSTEM AND OTHER EQUIPMENT

Quantities	Description	New Equipment
5,130	Control System – Fixture Control Node	Echelon TOP900 TLX-E GPS
6	Control System – Gateway	Echelon Base Station
1	1 Year Service and Software {hosted by City or Vendor??}	Echelon
1	Mapping Program and Software	Echelon
1	Factory Onsite Startup and Training on Control System	Echelon
5,130	Utility Grade Fuses	Sicame USA FTSC25SCO 2L300N 10A

* Based on Central Maine Power Utility Bills

** Based on Portland Approved Manufacturer and respective Wattage

(May change depending on location (High Crime, Parking Enforcement, Traffic control, existing source, etc.)0

Parking Garage(s) Scope and Quantities:

Contract Quantities	Existing Equipment	Pre-(bidding) Wattage*	New Equipment	Proposed Wattage**
4	4' Wraparound Surface Mounted Fluorescent, (2) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Prismatic Acrylic Diffuser	53	Surface Mounted T8 LED Retrofit Lamp with External Driver LED 4' T8 Lamp (2) 12W Lamps	24
4	4' Strip Surface Mounted Fluorescent, (2) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) (No Diffuser)	53	Surface Mounted T8 LED Retrofit Lamp with External Driver LED 4' T8 Lamp (2) 12W Lamps	24
3	4' Vapor Proof Surface Mounted Fluorescent, (2) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Prismatic Acrylic Diffuser	53	Surface Mounted T8 LED Retrofit Lamp with External Driver LED 4' T8 Lamp (2) 12W Lamps	24
11	Wall-Pack Wall Mounted High Pressure Sodium, (1) 50W lamp Prismatic Acrylic Diffuser	60	Wall Mounted Wall-Pack LED Light Fixture. (1) 12W	12
8	Wall-Pack Wall Mounted High Pressure Sodium, (1) 50W lamp Prismatic Acrylic Diffuser	60	Wall Mounted Wall-Pack LED Light Fixture. (1) 12W	12
9	Wall-Pack Wall Mounted High Pressure Sodium, (1) 50W lamp Prismatic Acrylic Diffuser	60	Wall Mounted Wall-Pack LED Light Fixture. (1) 12W	12
2	Wall-Pack Wall Mounted High Pressure Sodium, (1) 50W lamp Prismatic Acrylic Diffuser	60	Wall Mounted Wall-Pack LED Light Fixture. (1) 12W	12
8	Cobra Head Pole Mounted LED Light Fixture. (1) 100W Prismatic Acrylic Diffuser	94	Existing luminaire to remain as is No Retrofit. To remain as is.	94
9	Wall-Pack Wall Mounted High Pressure Sodium, (1) 50W lamp Prismatic Acrylic Diffuser	60	Wall Mounted Wall-Pack LED Light Fixture. (1) 12W	12
4	Wall-Pack Wall Mounted High Pressure Sodium, (1) 50W lamp Prismatic Acrylic Diffuser	60	Wall Mounted Wall-Pack LED Light Fixture. (1) 12W	12
9	Wall-Pack Wall Mounted High Pressure Sodium, (1) 50W lamp Prismatic Acrylic Diffuser	60	Wall Mounted Wall-Pack LED Light Fixture. (1) 12W	12
4	Wall-Pack Wall Mounted High Pressure Sodium, (1) 50W lamp Prismatic Acrylic Diffuser	60	Wall Mounted Wall-Pack LED Light Fixture. (1) 12W	12
11	2x4' Troffer Surface Mounted Fluorescent, (4) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Prismatic Acrylic Diffuser	101	Surface Mounted Install 2x4 2-Lamp Centering Kit LED 4' T8 Lamp (2) 12W Lamps	24
221	4' Vapor Proof Pendant Mounted Fluorescent, (3) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Vapor Proof Gasketed Diffuser	80	Pendant Mounted T8 LED Retrofit Lamp with External Driver LED 4' T8 Lamp (3) 12W Lamps	36
6	2x4' Troffer Recessed Fluorescent, (4) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Prismatic Acrylic Diffuser	101	Recessed Install 2x4 2-Lamp Centering Kit LED 4' T8 Lamp (2) 12W Lamps	24
20	4' Industrial Surface Mounted Fluorescent, (4) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) (No Diffuser)	101	Surface Mounted T8 LED Retrofit Lamp with External Driver LED 4' T8 Lamp (4) 12W Lamps	48
2	4' Vapor Proof Surface Mounted Fluorescent, (2) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Prismatic Acrylic Diffuser	53	Surface Mounted T8 LED Retrofit Lamp with External Driver LED 4' T8 Lamp (2) 12W Lamps	24
2	2x4' Troffer Recessed Fluorescent, (4) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Prismatic Acrylic Diffuser	101	Recessed Install 2x4 2-Lamp Centering Kit LED 4' T8 Lamp (2) 12W Lamps	24
2	2x4' Troffer Recessed Fluorescent, (4) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Prismatic Acrylic Diffuser	101	Recessed Install 2x4 2-Lamp Centering Kit LED 4' T8 Lamp (2) 12W Lamps	24
1	Bare-Lamp Keyless Socket Surface Mounted Compact Fluorescent, Screw-in, (1) 13W lamp (No Diffuser)	12	Existing luminaire to remain as is No Retrofit. To remain as is.	12
2	2' Wraparound Surface Mounted Fluorescent, (2) 24", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Prismatic Acrylic Diffuser	30	Surface Mounted T8 LED Retrofit Lamp with External Driver LED 2' T8 Lamp (2) 8W Lamps	16
2	2' Wraparound Surface Mounted Fluorescent, (2) 24", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Prismatic Acrylic Diffuser	30	Surface Mounted T8 LED Retrofit Lamp with External Driver LED 2' T8 Lamp (2) 8W Lamps	16
2	2x4' Troffer Recessed Fluorescent, (4) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Prismatic Acrylic Diffuser	101	Recessed Install 2x4 2-Lamp Centering Kit LED 4' T8 Lamp (2) 12W Lamps	24
2	2x4' Troffer Recessed Fluorescent, (4) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Prismatic Acrylic Diffuser	101	Recessed Install 2x4 2-Lamp Centering Kit LED 4' T8 Lamp (2) 12W Lamps	24
2	8' Industrial Surface Mounted Fluorescent, (2) 96", ES lamp (No Diffuser)	111	Surface Mounted Install 8' Strip, 2-Lamp Centering Kit LED 4' T8 Lamp (2) 12W Lamps	24
4	Bare-Lamp Keyless Socket Surface Mounted Compact Fluorescent, Screw-in, (1) 13W lamp (No Diffuser)	12	Existing luminaire to remain as is No Retrofit. To remain as is.	12
8	Cobra Head Pole Mounted LED Light Fixture. (1) 70W Prismatic Acrylic Diffuser	66	Existing luminaire to remain as is No Retrofit. To remain as is.	66
13	4' Wraparound Surface Mounted Fluorescent, (1) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Prismatic Acrylic Diffuser	28	Surface Mounted T8 LED Retrofit Lamp with External Driver LED 4' T8 Lamp (1) 12W Lamp	12
9	4' Wraparound Surface Mounted Fluorescent, (1) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Prismatic Acrylic Diffuser	28	Surface Mounted T8 LED Retrofit Lamp with External Driver LED 4' T8 Lamp (1) 12W Lamp	12
4	4' Strip Surface Mounted Fluorescent, (2) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) (No Diffuser)	53	Surface Mounted T8 LED Retrofit Lamp with External Driver LED 4' T8 Lamp (2) 12W Lamps	24
2	4' Strip Surface Mounted Fluorescent, (2) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) (No Diffuser)	53	Surface Mounted T8 LED Retrofit Lamp with External Driver LED 4' T8 Lamp (2) 12W Lamps	24
158	4' Vapor Proof Pendant Mounted Fluorescent, (3) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Vapor Proof Gasketed Diffuser	80	Pendant Mounted T8 LED Retrofit Lamp with External Driver LED 4' T8 Lamp (3) 12W Lamps	36
12	4' Vapor Proof Surface Mounted Fluorescent, (2) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Prismatic Acrylic Diffuser	53	Surface Mounted T8 LED Retrofit Lamp with External Driver LED 4' T8 Lamp (2) 12W Lamps	24
3	Wall-Pack Wall Mounted High Pressure Sodium, (1) 250W lamp Prismatic Acrylic Diffuser	266	Wall Mounted Wall-Pack LED Light Fixture. (1) 52W	51
10	Canopy Fixture Surface Mounted High Pressure Sodium, (1) 70W lamp Prismatic Acrylic Diffuser	86	Surface Mounted Install LED Screw-in A-Style Lamp Light Emitting Diode Lamp, Screw-in, (1) 21W lamp	19
7	Wall-Pack Wall Mounted LED Light Fixture. (1) 20W Prismatic Acrylic Diffuser	19	Existing luminaire to remain as is No Retrofit. To remain as is.	19
8	High-Bay Pendant Mounted Metal Halide, (1) 250W lamp Prismatic Acrylic Diffuser	266	Pendant Mounted High-Bay LED Light Fixture. (1) 100W	99
3	Wall Sconce Wall Mounted Compact Fluorescent, quad, (1) 26W lamp Prismatic Acrylic Diffuser	30	Wall Mounted Retrofit Wall sconce with Half Sphere LED Kit LED Light Fixture. (1) 11W	11
1	4' Vanity Surface Mounted Fluorescent, (2) 48", T-8 lamp, Instant Start Ballast, NLO (BF: .85-.95) Prismatic Acrylic Diffuser	53	Surface Mounted T8 LED Retrofit Lamp with External Driver LED 4' T8 Lamp (2) 12W Lamps	24
1	Canopy Fixture Surface Mounted Compact Fluorescent, long twin, (1) 36W lamp Prismatic Acrylic Diffuser	46	Surface Mounted Retrofit Existing Drum with 8" LED Kit LED Light Fixture. (1) 11W	11

OTHER WORK INCLUDED IN PART 1 includes:

City Selected Smart City Project Allocation:

- 1) Public and City Wi-Fi (1st half of project, ~ 100 locations)
- 2) City Hall exterior color lighting upgrade
- 3) Payson Park softball field LED upgrade
- 4) Deering Oaks fountain color lighting upgrade
- 5) Riverside Golf EV and Cart Charging project
- 6) Pilot decoratives install, to help determine the approach on Phase 2 construction
- 7) Interactive Kiosks for resident/visitor engagement
- 8) Pilot project for Blue Emergency Light system
- 9) Tree trimming, pole painting, LED project contingency

The funding for the City Selected Smart City Project **allocation items is \$1,083,052**. The final scope of work for these items and schedule for such work will be determined during Part 1, through the piloting of certain technologies, by the City in collaboration with the Contractor.

TOTAL COST OF PART 1: \$4,000,000

B. PART 2 - SCOPE OF WORK

Contractor's and City of Portland's contemplated scope for Part 2 is set forth below. Contractor acknowledges and agrees that the City has not yet obtained financing for Part 2, and Contractor shall not incur, and City shall not be responsible for, any expense related to Part 2 of the Project without the City's prior written approval.

QTY	Description	Price
Core LED Lighting and Control System Upgrade		\$ 2,308,404
290	CMP Holophane LED upgrade (50/50, retrofit/new)	\$ 421,077
784	CMP GE Town & Country LED upgrades (70/30 retrofit/new)	\$ 967,842
402	Portland Decorative LED Upgrades (50/50, retrofit/new)	\$ 602,422
1074	Fusing for CMP Holophane, GE (not for Portland-owned)	\$ 71,998
1476	Controls for all decoratives (CMP and Portland-owned)	\$ 245,065
City Selected Smart City Project Allocation for:		\$ 1,691,596
	Public and City Wi-Fi (2nd half of project, ~ 150-300 more locations)	
	Dougherty Field LED lighting installation (1 or more fields)	
	Traffic Flow System Forrest Ave Corridor	
	6 Electric Vehicle (EV) charging stations	
	Casco Bay Bridge color LED lighting installation	
	Environmental Sensors, Video Cameras installed in Strategic Locations	
	Riverton lighting upgrade	
	Tree trimming, pole painting, LED project contingency	

TOTAL COST OF PART 2: \$4,000,000

C. OPERATING HOUR ASSUMPTIONS

All proposed fixtures will be charged using the current utility (CMP) SE tariff rate of 4,260 hours of operation each year, even though the control system will be installed and will have the capability to “futureproof” and reduce hours of operation in the future. All pre-project street lighting is being charged at 4,260 hours per year.

D. LOCATIONS AND QUANTITIES

Project locations and quantities for the Street lights retrofitted shall be provided as part of the As-built documentation provided by Contractor. Installation progress reports shall be generated by Contractor during the installation period to document work completed at specified Project Locations up to the date of such progress report.

Contractor will update Portland’s existing GIS software system with the new data being provided under this Contract.

E. WARRANTY INFORMATION

Material Warranty

The following **manufacturer** warranties will pass through Contractor directly to Portland to ensure that Portland will have direct access to the full value of the manufacturers’ warranty over the term of the contract.

Equipment	Manufacturer	Warranty Period
Cobra Heads*	Cree	10
Decorative Retrofit Kits	Hylite or Equal	5
Linear T8 Retrofit Lamp, Type C (External Driver)	Sylvania	7
Miscellaneous Shoebox Fixtures*	Holophane	5
Fixture Mounted Nodes*	Echelon	10
Gateway/Segment Controllers*	Echelon	10

**Includes fixtures, LED lamps, and drivers*

Labor Warranty

Contractor will provide a one (1) year **Labor** warranty to provide all of the labor necessary to install all equipment warranted by the manufacturer and provided by Portland to Contractor for replacement. The one year labor warranty for Part 1 of the Project will run from the City’s final acceptance of Part 1, Phase 2 of the Project, and the one year labor warranty period for Part 2 of the Project will run from the City’s final acceptance of Part 2, Phase 2 of the Project. Any ongoing equipment replacement labor obligations to replace failed equipment covered under the manufacturer warranties after expiration of the labor warranty periods, will be the obligation of Portland.

EXHIBIT C-7

GUARANTEED DOLLAR SAVINGS, MEASUREMENT & VERIFICATION SERVICE

A. GUARANTEED DOLLAR SAVINGS AMOUNTS PER MEASUREMENT YEAR

For the Project, the Guaranteed Dollar Savings Amounts per Measurement Year during the Project Savings Guarantee Period are set forth below:

Project Savings Guarantee Period = 10 years.

MEASUREMENT YEAR	PROJECT SAVINGS			
	UTILITY and MAINTENANCE SAVINGS PART 1	UTILITY and MAINTENANCE SAVINGS PART 2	TRANSFER OF OWNERSHIP SAVINGS ⁽²⁾	GUARANTEED DOLLAR SAVINGS AMOUNTS ⁽¹⁾
Year 1	\$266,179	\$0	\$777,553	\$1,043,733
Year 2	\$271,503	\$66,186	\$793,104	\$1,130,793
Year 3	\$276,933	\$67,510	\$808,966	\$1,153,409
Year 4	\$282,472	\$68,860	\$825,146	\$1,176,477
Year 5	\$288,121	\$70,237	\$841,649	\$1,200,007
Year 6	\$293,884	\$71,642	\$858,482	\$1,224,007
Year 7	\$299,761	\$73,075	\$875,651	\$1,248,487
Year 8	\$305,756	\$74,536	\$893,164	\$1,273,457
Year 9	\$311,872	\$76,027	\$911,028	\$1,298,926
Year 10	\$318,109	\$77,548	\$929,248	\$1,324,905
TOTAL	\$2,914,590	\$645,621	\$8,513,991	\$12,074,202

Rebates and Incentives:

Contractor makes no guarantee with respect to utility rebates. Rebates will be available if there still exists capacity in the Efficiency Maine rebate program at the time the Contractor and Portland apply for the rebate allocation and the technologies used in the project are compliant. Payment of rebates to Portland will happen subject to the payment terms of Efficiency Maine.

Note 1: To the extent that the actual final Project Scope is modified, then the Project Cost, Savings and Rebates amount shall be adjusted accordingly.

Note 2: Transfer of Ownership Cost Savings are allocated at \$ 777,553 per year based on analysis of the actual costs incurred by Portland prior to the transfer of ownership of the assets to Portland. These savings are also escalated at 2.00% annually. These savings result from longer life and warranted equipment installed as part of the project (see elsewhere in this Contract). These savings are deemed to occur in each year of the repayment term (guarantee period). No ongoing measurement and verification will be assumed needed or provided for these Transfer of Ownership Cost Savings.

B. MEASUREMENT and VERIFICATION SERVICE

1. Performance Verification Procedures

Contractor shall provide savings measurement and guarantee services to confirm the Project savings levels as specified in this Exhibit C-7. The savings measurement involves pre- and post-installation determination of energy usage billing for the equipment. For this approach, once the post installation calculations are made, the energy use is quantified and stipulated operating and billing parameters are applied to yield confirmed energy dollar savings.

At the end of the installation period, the actual energy usage for the As-built retrofits will be calculated, and savings will be adjusted to match as-built fixture counts.

Savings verification for this Project will consist of the following steps:

A complete, location inventory of all lighting fixtures affected by the lighting retrofit will be compiled and provided and will include the following information – Location, Fixture type, Quantity and kWh and billing amounts.

The inventory of the As-built retrofit will be compiled and compared listing the same categories of information with updated quantities and post-retrofit kWh usage and billing amounts after Phase III is complete.

Post-retrofit Capacity (kW): Contractor shall determine the As-built Post-retrofit kW for each type of retrofit (“Actual Capacity”).

Baseline Operating Assumptions: The baseline operating assumptions for the Project have been Exhibit C-6 “Operating Hour Assumptions” per Central Maine Power rate schedule and shall be used to calculate the Post-retrofit electric usage.

Electric Energy Usage: At the end of the installation period, the Actual Capacity (kW) and the Baseline Operating Assumptions, shall be used to determine the Post-retrofit Electric Energy Usage (kWh).

Electric Dollar Savings: The Actual Electric Energy Usage shall be multiplied by the corresponding unit prices and totaled to yield the Post-retrofit Electric Dollar amount for billing purposes (“Post-retrofit Billing Amount”). Unit prices to be used shall be the base unit prices set forth in Exhibit C-3.

2. Reconciliation Procedures

Reconciliation Adjustments: For each Measurement Year, the Actual Project Dollar Savings Amount shall be compared and reconciled with the appropriate Guaranteed Dollar Savings Amount for the Project as set forth in Exhibit C-7, on a Reconciliation Statement to be prepared by Contractor and submitted to Portland within ninety (90) days following the end of that Measurement Year.

(i) Positive Reconciliation: If for a Measurement Year, the Guaranteed Dollar Savings Amount less the Actual Project Dollar Savings Amount is equal to or less than zero, then this difference shall be referred to as a “Positive Reconciliation Amount” and no reimbursement to Portland is necessary.

(ii) Negative Reconciliation: If for a Measurement Year, the Guaranteed Dollar Savings Amount less the Actual Project Dollar Savings Amount is greater than zero, then this difference shall be referred to as the “Dollar Savings Shortfall Amount” and Portland shall have the right to reimbursement for the Dollar Savings Shortfall Amount determined using the following procedure:

Reimbursement of Dollar Savings Shortfall Amount:

Contractor shall reimburse Customer for the Dollar Savings Shortfall Amount within thirty (30) days following the date of the Reconciliation Statement (the “Reconciliation Payment”). Contractor shall develop and maintain a Project tracking spreadsheet which shall track the Project’s Energy and Dollar Savings performance and the amounts and balances as set forth above which might be carried over from Measurement Year to Measurement Year. Such Project tracking spreadsheet shall be part of a Project Savings Performance report that Contractor shall generate at the end of each Measurement Year where monitoring services are provided by Contractor during the Savings Term.

However, Contractor shall not be required to reimburse any Dollar Savings Shortfall Amount if (a) Customer modifies, disconnects or fails to operate or maintain the Energy Conservation Measures (ECM's) in accordance with the procedures set forth in the final as-built lighting commissioning or Operations Manual, (b) Customer is in material breach of or in material default under this Contract, including but not limited to failure to maintain the equipment and systems in good working order and condition, (c) this Contract has terminated (due to a non-breach occurrence) as permitted or provided for in this Contract , or (d) the equipment suffers fire, flood or other casualty, until the equipment is properly repaired and restored, . Contractor reserves the right to reasonably modify its Project Savings Performance Guarantee based on any modifications to the Project. This Project Savings Performance Guarantee is contingent upon Contractor's involvement with the Project's performance monitoring, without which the Project Savings Performance Guarantee shall cease. Accordingly, Contractor's annual Guarantee Administration Services shall be purchased by Customer for the period set forth in Schedule C in order for the Project Savings Performance Guarantee to remain in effect.

EXHIBIT C-8

PAYMENT FOR THE WORK

A. Project Cost of Work: The Project Cost of Work includes all amounts to furnish and be responsible for all of the Scope of Work as specified in Exhibit C-6, which shall include labor, materials, tools, equipment, insurance and supervision necessary to satisfactorily specify, design, procure, install, inspect, start-up and test the Equipment and monitor the savings for the Project in accordance with the provisions of this Contract. The Cost of the Work is divided into three phases as follows:

1. Payment for the Work Phase I and Phase II. Portland shall pay Contractor as compensation for Phase I Project Development and Pre-Design Work and Phase II Installation Work, as defined below in monthly progress amounts totaling but not to exceed amount of \$8,000,000 for the Project. The monthly progress amounts shall be invoiced based upon a percentage of the Payment for the Work Phase I Work and Payment for the Work Phase II Work completed and shall contain sufficient documentation to support the invoiced amount. Portland acknowledges that Contractor's Work conducted to prepare the design and negotiate the pricing for materials and subcontractor labor for the Project shall be compensated under this Contract as a portion of the Payment for the Work Phase I cost.

Description	Phase I * Design Work Cost	Phase II Construction Cost	Total Cost
PROJECT - PART 1 Implementation period: Contract sign date to June 30, 2018			
Core LED Lighting and Control System Upgrades	\$145,847	\$2,771,101	\$2,916,948
City Selected Smart City Projects	\$54,153	\$1,028,899	\$1,083,052
Sub-total: Part 1	\$200,000	\$3,800,000	\$4,000,000
PROJECT - PART 2 Implementation period: July 1, 2018 to June 30, 2019			
Core LED Lighting and Control System Upgrades	\$115,420	\$2,192,984	\$2,308,404
City Selected Smart City Projects	\$84,580	\$1,607,016	\$1,691,596
Sub-total: Part 2	\$200,000	\$3,800,000	\$4,000,000
TOTAL PROJECT	\$400,000	\$7,600,000	\$8,000,000

*This Payment for the Work Phase I Cost includes the cost of preparing the scope, assisting with selection of equipment, selection of subcontractors, and negotiation of pricing completed by Contractor.

Note: Additions / Reductions in Scope –

The Payment for the Phase II Project Cost is based upon installation of the actual street light quantities. Should such as-built quantities change, the Payment for the Work Phase II cost shall be adjusted by the added or deducted unit quantities multiplied by the per unit prices set forth in Exhibit C-3. Such cost adjustments shall be set forth in Change Order(s) to be prepared by Contractor and approved by Portland from time to time during the installation period.

2. Payment for the Work Phase III. As compensation for Payment for the Phase III Savings Measurement and Verification and Other Managed Services for the Project, as set forth in Exhibit C-7, Portland shall pay a fee at the submission of each annual Phase III Report as set forth below:

MEASUREMENT YEAR	Annual Measurement and Verification Services ⁽¹⁾	Annual Street Lighting Control System Service ⁽²⁾	Total Annual Services
Year 1	\$12,500	\$13,200	\$25,700
Year 2	\$12,875	\$13,596	\$26,471
Year 3	\$13,261	\$14,004	\$27,265
Year 4	\$13,659	\$14,424	\$28,083
Year 5	\$14,069	\$14,857	\$28,926
Year 6	\$14,491	\$15,302	\$29,793
Year 7	\$14,926	\$15,761	\$30,687
Year 8	\$15,373	\$16,234	\$31,608
Year 9	\$15,835	\$16,721	\$32,556
Year 10	\$16,310	\$17,223	\$33,533

Notes:

Note 1:

Upon City's request as set forth in section 6 of Exhibit C, Measurement and Verification (M&V) services will be provided by Contractor within 12 months after the construction period and every year following, up to 10 years, unless Portland terminates the Measurement and Verification Services earlier.

Note 2:

Annual Street Lighting Control System Services shall be provided directly by the control system vendor directly to the City. These services are to access a cloud-based central management system (CMS) for provisioning, monitoring, controlling and analyzing their new street lighting control system. The cost of this system, annually, is approximately \$13,200.

EXHIBIT C-9

OTHER GENERAL CONDITIONS

These construction terms shall be implemented in conjunction with the specifications for the Scope of Work which are provided in the Contract and any Design Documents.

1. Definitions.

A. "Portland", "City", "Contractor", "Contract", "Premises", and "Project", shall have their respective meanings specified in the Conversion of City Street Lights to LED Fixtures & Transfer of Ownership from Utility to Municipality Contract to which these General Conditions are attached.

B. "Support" means the following: Contractor's officers, employees, agents, and representatives; Contractor's suppliers, and subcontractors of any tier; the respective officers, employees, agents, and representatives of Contractor's suppliers, and subcontractors of any tier; and any other person or entity acting under the direction or control or on behalf of Contractor or any of Contractor's suppliers or subcontractors of any tier in connection with or incident to the performance of the Work or this Contract.

C. "Work" shall refer to all acts by Contractor necessary to fulfill all of its obligations under the Contract Documents with respect to the Project and performance of the three Phases of Services for Parts 1 and 2 of the Project, as defined in Exhibit C-8, and in accordance with the terms and conditions set forth in the Contract Documents. Work includes all of the following furnished (or to be furnished), and the performance of all other obligations, under this Contract by Contractor or its Support: personnel, labor, and supervision; technical, professional, and other services; equipment, materials, tools, supplies, goods, and other property; transportation, information, drawings, plans, specifications, design, data, and all other items necessary to complete the Project to the City's reasonable satisfaction.

2. Performance of the Work.

A. Unless specifically modified by Portland and Contractor in a Contract Amendment or Change in the Work agreed to by both parties, all materials and all equipment listed in the Contract, and/or approved Design Documents, and all labor by all trades which are required to complete the installation are included in Contractor's Work. Contractor shall be and act as an independent contractor (and not as the agent or representative of Portland) with regard to performance of the Work and this Contract. Contractor has the right to suspend performance of work on any Portland directed change of a value in excess of ten percent (10%) of the Phase II Cost of Work in the absence of agreement on price and schedule impact of such change. Portland agrees to pay Contractor on a time and materials basis, for a mutually-agreed upon predetermined amount, for changed work being performed while the parties are negotiating the price and schedule impact of the change.

B. Subject to compliance with the requirements of this Contract, Contractor shall perform the Work in accordance with its own methods. Any equipment, apparatus, machinery, material, small items not mentioned in detail and labor not hereinafter specifically mentioned that may be found necessary to complete or perfect any portion of the installation in a substantial manner and in compliance with the requirements stated, implied, or intended in the Contract, Design Documents shall be furnished and installed without extra cost to Portland.

C. Contractor shall fully cooperate with Portland and coordinate the Work to minimize traffic delays. If any Work depends upon the results of work performed by Portland or others, Contractor shall, prior to commencing such Work, notify Portland of any actual or apparent deficiencies or defects in such work that render such other work unsuitable for performance of the Work in accordance with this Contract.

D. Contractor shall provide Portland with a list of its subcontractors prior to Contractor entering into a subcontract for services. Contractor is directly responsible for obtaining the performance of and making all payments to all subcontractors, suppliers and those who perform any and all services or provide any and all supplies to or for the Project, unless directly contracted for by Portland; and further, such subcontractors, suppliers and those who perform services shall have no recourse to or against Portland, unless directly contracted for by Portland.

Contractor shall require its subcontractors to comply with the automobile and general liability insurance requirements set forth in the Contract.

3. Compliance with Laws; Permits.

A. Contractor shall comply, and shall ensure that the Work and all of Contractor's Support comply, with all applicable laws, ordinances, rules, regulations, orders, licenses, permits, and other requirements, now in effect. Contractor shall execute and deliver to Portland all documents as may be required or appropriate to effect or to evidence such compliance or such change in expenses, if any. All laws, ordinances, rules, regulations, orders, licenses, and permits required to be incorporated in agreements of this character are incorporated herein by this reference.

B. Unless otherwise specified in the Contract or directed by Portland, Contractor shall obtain and pay for all permits, inspections, licenses, and fees required to perform the Work in accordance with this Contract. Contractor shall advise Portland in writing and consult with Portland prior to applying for any permit or other authorization from, or entering into any agreement with, any governmental authority with regard to the Work.

4. Contractor Representations.

Contractor represents and warrants the following to Portland (in addition to any other representations and warranties contained in the Contract Documents), which representations and warranties shall survive the execution and delivery of this Contract, any termination of this Contract and the final completion of the Work:

- A) Contractor and its Subcontractors are financially solvent, able to pay all debts as they mature and possessed of sufficient working capital to complete the Work and perform all obligations hereunder;
- B) Contractor is able to furnish the plant, tools, materials, supplies, equipment and labor required to complete the Work and perform its obligations hereunder and has sufficient experience and competence to do so;
- C) Contractor is authorized to do business in the State of Maine and is properly licensed by all necessary governmental and public and quasi-public authorities having jurisdiction over Contractor and over the Work and the Project

5. Construction and Project Management.

A. Contractor shall provide for a Construction Manager who shall be responsible for providing the following services:

- Coordinate all Project details and Support's construction activities;
- Perform on-site observations and inspections of the progress and quality of the Work for conformance with Contract Documents;
- Communicate with Portland's representative(s) on a regular basis to insure work schedules. Portland's representative is **Troy Moon, Sustainability Coordinator**;
- Submit written monthly progress reports to Portland no later than the 5th day of each month reporting on the prior month's progress, planned progress for the current month, indicating any issues or project concerns and such other information and on such reporting forms as the Portland requests.
- Record all construction changes or deviations in writing to Portland;
- Schedule and attend regular project meetings with Portland representative;
- Conduct or observe and report on tests/measurements required by the Contract Documents; and
- Maintain construction records in an orderly manner.
- Submit certified applications for payment of work completed on Portland approved forms. Failure to submit applications for payment may delay payment of invoices.

B. Pre-Construction Meeting - Contractor shall coordinate and attend a pre-construction meeting with Portland, Contractor and Contractor's subcontractors to discuss:

- approved installation work plan schedule;
- mobilization plan;
- inspection plan;
- coordinating storage, parking, delivery of material;
- daily clean-up;
- service calls;
- hazardous material storage and disposal procedures;
- start-up, testing, inspection and acceptance procedures; and
- safety concerns and other pertinent information procedures.

C. Progress Meetings - Once the installation starts, Contractor shall hold regular monthly (or more frequent) meetings or phone conferences with Portland to coordinate the work schedule, receiving material, report on the status of removal of hazardous waste, provide progress reports, discuss the progress of the job and other matters important to the successful completion of the project.

D. Operation and Maintenance Manuals - Contractor shall submit for review, prior to final inspection, one (1) complete copy, in 3-ring binder, and one (1) complete copy, electronically formatted, of the following:

1. Maintenance instructions and catalog data for each piece of equipment, plus any applicable maintenance instructions for the system(s);
2. Copies of all approved shop drawings;
3. Equipment warranties and certificates;
4. All operation and maintenance data.

6. Quality Assurance and Inspection.

A. Contractor shall use the best approved method for performing the work. Only good workmanship will be accepted, all other will be rejected. All items furnished shall be new items unless the Contract identifies reuse of existing items.

B. Contractor shall perform such detailed examination, inspection, tests, and quality surveillance of the Work as will ensure that the Work is progressing and is being completed in strict accordance with this Contract.

C. All Work shall at all times be subject to inspection by Portland. The Contractor acknowledges and agrees that such inspection is for purposes of approving payment alone and such inspection shall not be deemed to impose any duty or liability upon the City to supervise any aspect of or approve the quality of the Work.

7. Protection of Persons and Property.

A. Contractor shall take all reasonable precautions which are necessary to prevent bodily harm to persons and damage to any property or environment in connection with performance of the Work. Without limiting the generality of the foregoing, Contractor shall erect and maintain such barricades, signs, flags, and other safeguards as are required. Contractor agrees to abide by the safety policies and regulations of Portland, the Maine Department of Transportation, Central Maine Power and any other applicable laws, rules, regulations, and policies.

B. Subject to the warranties described herein, once the Project is finally accepted by Portland, Portland shall be responsible for and shall bear any and all risk of loss, deterioration, theft, vandalism, or destruction of or damage

to the Work and anything used (or to be used or consumed) in connection with the Work, including but not limited to Equipment, except to the extent such loss, theft, destruction or damage results from the sole negligence or willful misconduct of Contractor or its Support. Contractor shall work with the City to arrange for secured storage, enclosure or other protection of the Work and anything used (or to be used or consumed) in connection with the Work.

C. Unless otherwise specified in this Contract or directed, in writing, by Portland, all structures and other improvements damaged, altered or removed by Contractor or any of its Support in connection with the performance of the Work shall be repaired, replaced, or otherwise restored by Contractor at its expense to at least as good quality and condition as existed prior to such damaging, alteration, or removal.

D. Unless otherwise specified in this Contract or directed, in writing, by Portland, Contractor shall ensure that no utility (including all supply, disposal, distribution and communication systems, and all similar or related facilities, equipment, and other property) is damaged, removed or unreasonably interrupted by Contractor or any of its Support in connection with the performance of the Work. If Contractor requires the temporary shut off of any utility, Contractor shall request Portland's approval thereof at least twenty-four (24) hours in advance of the time it requires the shut off.

8. Environmental Considerations

A. Site Inspection

1. From the date of this Contract until the Work contemplated hereunder commences, Portland shall afford authorized representatives of Contractor reasonable access to the Premises for the purpose of inspecting the Work area in the Premises or Equipment Location (sometimes the "Site"). As reasonably requested by Contractor, Portland shall provide Portland personnel to accompany the representatives of Contractor and provide all material information with respect to the Site and existing or known Environmental Conditions (as defined in subparagraph 2 below) which are present on or at the Site.

2. The term "Environmental Condition" shall mean the presence of environmental contamination, including the presence of any of the following (collectively, "Hazardous Materials"): oil or other petroleum products; flammable explosives; asbestos and asbestos containing materials; polychlorinated biphenyls (PCBs); urea formaldehyde insulation; radioactive materials; hazardous wastes; fungus, mold, mildew, spores or other biological or microbial agents the presence of which may affect human health, impair occupancy or materially affect the utility of the Site or Premises; toxic or contaminated substances or similar materials, including, without limitation, any substances which are "hazardous substances," "hazardous wastes," "hazardous materials" or "toxic substances" under the Hazardous Materials Laws (defined below) and/or other applicable environmental laws, ordinances or regulations, and tanks or containers whether regulated or not.

3. Hazardous Materials Laws, shall mean all federal, state and local laws, ordinances and regulations relating to Hazardous Materials ("Hazardous Materials Laws"), including, without limitation: the Clean Air Act, as amended, 42 U.S.C. Section 7401 et seq.; the Federal Water Pollution Control Act, as amended, 33 U.S.C. Section 1251 et seq.; the Resource Conservation and Recovery Act of 1976, as amended, 42 U.S.C. Section 6901 et seq.; the Comprehensive Environmental Response, Compensation and Liability Act of 1980, as amended (including the Superfund Amendments and Reauthorization Act of 1986, "CERCLA"), 42 U.S.C. Section 9601 et seq.; the Toxic Substances Control Act, as amended, 15 U.S.C. Section 2601 et seq.; the Occupational Safety and Health Act, as amended, 29 U.S.C. Section 651; the Emergency Planning and Community Right-to-Know Act of 1986, 42 U.S.C. Section 11001 et seq.; the Mine Safety and Health Act of 1977, as amended, 30 U.S.C. Section 801 et seq.; the Safe Drinking Water Act, 42 U.S.C. Section 300f et seq.; any substance subject to the National Emissions Standard Hazardous Air Pollutants as found in 40 C.F.R. Part 61; and all comparable state and local laws, applicable laws of other jurisdictions or orders and regulations.

B. Environmental Condition Remediation; and Removal and Disposal of Florescent Lamps and Ballasts.

1. If Contractor encounters an Environmental Condition at the Premises (including the Site) which may interfere with the Work to be performed under this Contract, Contractor shall notify Portland and shall promptly

take any and all measures necessary to remove and properly dispose of the Hazardous Materials. Contractor shall be solely responsible for the costs and expenses associated with the removal of the Hazardous Materials necessary to provide the Work in this Contract.

2. Outdoor Trash Receptacles for cardboard, metal, and general rubbish shall be supplied and paid for by Contractor, as applicable, and Contractor shall be responsible for the proper disposal of all such materials.

9. General Requirements.

- A. In the event of any conflict with Contract Documents, applicable codes and ordinances shall take precedence over the requirements set forth in this Contract.
- B. Contractor shall cooperate at all times with Portland's staff in all matters concerning scheduling the work, unavoidable interference with Portland's normal working routine, access to work areas, placing and removing temporary barricades, and protection and other safety procedures. All work shall be scheduled with the approval of the City. Work shall not interfere unduly with City operations.
- C. Contractor shall notify Portland of any system deficiencies and repair costs promptly after the pre-construction survey. Contractor shall, at Portland's discretion and the City's expense, repair existing system deficiencies as required to produce a complete and operable system under a Change in the Work process. As a minimum, repairs to the system shall conform to the original standard of construction for the existing equipment.
- D. Installations shall be performed by skilled and certified technicians and trades people.
- E. Contractor shall confine all operations in the performance of the Work (including, but not limited to, storage, assembly, vehicle parking, ingress, egress, and movement of Equipment, materials, tools, and workers) to such areas and during such time periods as set forth in the Work Schedule and approved by Portland. Unless otherwise directed by Portland, acceptance and handling of Work materials shall be performed by Contractor. Storage of materials or tools for the work within a building shall be limited to areas approved by Portland. Outside storage will be permitted only when approved by Portland. Portland shall not be required to provide vehicle parking on the Premises or elsewhere.
- F. Contractor shall, and shall cause its Support to, keep the Work areas, and access to such areas, cleared of rubbish, refuse, and other debris, and in a reasonably neat, clean, and safe condition. Upon completion of Work each day, Contractor shall promptly remove all rubbish, refuse, and other debris and all of its equipment and surplus equipment and materials not to be used at or near the same location during later stages of the Work and make areas safe for conduct of business.

EXHIBIT C-10

CONTRACTOR'S CERTIFICATE OF LIABILITY INSURANCE



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

7/25/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Joseph J. Joyce Associates, Inc. 9 North Main Street Pittston PA 18640-0506	CONTACT NAME: Cheryl Fazio PHONE (A/C No. Ext): (570) 655-2831 FAX (A/C No.): (570) 655-4668 E-MAIL: cfazio@joyceinsurance.com ADDRESS: INSURER(S) AFFORDING COVERAGE NAIC # INSURER A: Crum and Forester Specialty Co INSURER B: Pennsylvania National Mutual 14990 INSURER C: Hartford Fire Insurance Company 19662 INSURER D: INSURER E: INSURER F:
INSURED The Efficiency Network Inc. 1501 Reedsdale Street Pittsburgh PA 15233	

COVERAGES

CERTIFICATE NUMBER: MASTER 17-18

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADCL SUBR INDR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	☒ COMMERCIAL GENERAL LIABILITY CLAIMS-MADE ☒ OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: ☒ POLICY ☐ PROJECT ☐ LOC OTHER		SPK-118339	8/1/2017	8/1/2018	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000 Pollution Liability \$ 2,000,000
B	☒ AUTOMOBILE LIABILITY ANY AUTO ☐ SCHEDULED AUTOS ALL OWNED AUTOS ☐ NON-OWNED AUTOS HIRED AUTOS		A090731601	8/1/2017	8/1/2018	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ Uninsured motorist combined \$ 1,000,000
A	☒ UMBRELLA LIAB EXCESS LIAB ☐ OCCUR DED ☒ RETENTION \$ 0 CLAIMS-MADE		SPK-100361	8/1/2017	8/1/2018	EACH OCCURRENCE \$ 9,000,000 AGGREGATE \$ 9,000,000
C	WORKERS COMPENSATION AND EMPLOYERS LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N/A	44WEC190711	8/1/2017	8/1/2018	PER STATUTE ☐ OTHER ☐ E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
A	Professional Liability		SPK-118339	8/1/2017	8/1/2018	Each Occurrence \$2,000,000 Aggregate \$2,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

RE: Proof of Insurance

CERTIFICATE HOLDER

**

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Cheryl Fazio/CRM

ACORD 25 (2014/01)

INS025 (05/14/01)

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EXHIBIT C-11

**CONTRACTOR'S REGISTRATION WITH
THE MAINE DEPARTMENT OF THE SECRETARY OF STATE**



MAINE

Department of the Secretary of State

Bureau of Corporations, Elections and Commissions

[Corporate Name Search](#)

Information Summary

[Subscriber activity report](#)

This record contains information from the CEC database and is accurate as of: Fri Sep 08 2017 13:23:11. Please print or save for your records.

Legal Name	Charter Number	Filing Type	Status
TEN CONNECTED SOLUTIONS, INC.	20170551 F	BUSINESS CORPORATION (FOREIGN)	GOOD STANDING

Filing Date	Expiration Date	Jurisdiction
02/27/2017	N/A	DELAWARE

Other Names (A=Assumed ; F=Former)

NONE

Clerk/Registered Agent

NORTHWEST REGISTERED AGENT LLC
415 CONGRESS STREET, STE 202A
PORTLAND, ME 04101

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EXHIBIT C-12

APPLICABLE REBATE INFORMATION: EFFICIENCY MAINE



Commercial & Industrial Prescriptive Program

Information for Qualified Partners

Lighting Solutions**

Effective 7/1/17 - 9/30/17*

Interior Lighting Measure Code	Measure Description	Measure Subcode	Incentive
S21	Recessed, Surface and Pendant-Mounted LED Downlight	N/A	\$10
S30	Refrigerated Case LED Light Fixture	N/A	\$30 per Door
S32	Refrigerated Case LED Horizontal Light Fixture	N/A	\$25 per Foot
S51	Space Lighting Design with New LED Luminaires	LED 2x2 Interior Fixture <40W	\$70
		LED 2x2 Interior Fixture ≥40W	\$70
		LED 2x4 Interior Fixture <50W	\$75
		LED 2x4 Interior Fixture ≥50W	\$75
		LED 1x4 Interior Fixture <40W	\$70
		LED 1x4 Interior Fixture ≥40W	\$70
S52	LED Retrofit Kits for Interior Luminaires	Integrated Retrofit Kit for LED 2x2 Interior Fixture	\$65
		Integrated Retrofit Kit for LED 2x4 Interior Fixture	\$65
		Integrated Retrofit Kit for LED 1x4 Interior Fixture	\$65
		Linear Retrofit Kit for LED 2x2 Interior Fixture	\$50
		Linear Retrofit Kit for LED 2x4 Interior Fixture	\$50
		Linear Retrofit Kit for LED 1x4 Interior Fixture	\$50
S61	High/Low Bay Design with New Fixtures	LED High/Low Bay Fixtures <100W	\$10
		LED High/Low Bay Fixtures ≥100-<150W	\$30
		LED High/Low Bay Fixtures ≥150-<200W	\$95
		LED High/Low Bay Fixtures ≥200-<300W	\$115
		LED High/Low Bay Fixtures ≥300W	\$185
S62	LED Retrofit Kits for High/Low Bay Fixtures	LED High/Low Bay Fixtures <150W	\$175
		LED High/Low Bay Fixtures ≥150W	\$225
S64	LED High/Low Bay Mogul Screw-Base Replacement Lamps for HID Lamps	Replacement Lamps Type A <50W	\$60
		Replacement Lamps Type A ≥50W	\$130
		Replacement Lamps for Low-Bay (Type B/C) <80W	\$150
		Replacement Lamps for Low-Bay (Type B/C) ≥80W	\$200
		Replacement Lamps for High-Bay (Type B/C) <120W	\$225
		Replacement Lamps for High-Bay (Type B/C) ≥120W	\$275
S81	Space Lighting Design with New LED Linear Ambient Luminaires	LED Linear Ambient Luminaire <50W	\$90
		LED Linear Ambient Luminaire ≥50 - <100W	\$100
		LED Linear Ambient Luminaire ≥100W	\$125
S110	LED T8 Replacement Lamps	Type A LED Lamp	\$5
		Type C LED Lamp	\$10

Efficiency Maine is the independent administrator for energy efficiency programs in Maine. Efficiency Maine's mission is to lower the cost and environmental impacts of energy in Maine by promoting cost-effective energy efficiency and alternative energy systems to help customers save electricity, natural gas and heating fuels throughout all levels of the Maine economy.

efficiencymaine.com

866-376-2463

8/23/2016 CommandPress

*This information sheet is updated quarterly. The next update period will be 10/1/2017-12/31/2017.

**See Measure Code Reference Guides by Solution for specific measure eligibility criteria.



Commercial & Industrial Prescriptive Program

Information for Qualified Partners

Lighting Solutions**

Effective 7/1/17 - 9/30/17*

Controls

Measure Code	Measure Description	Measure Subcode	Incentive
L50	Cooler Case Mounted Occupancy Sensor For LED Fixtures	N/A	\$30 per Door
L60	Fixture Mounted Occupancy Sensor	N/A	\$35
L70	Occupancy Sensors Remote Mounted Only	N/A	\$60
L71	Vacancy Sensors	N/A	\$30

Exterior Lighting

Measure Code	Measure Description	Measure Subcode	Incentive
S6	Outdoor LED Mogul Screw-Base Replacement Lamps for HID Lamps, Type B & C Only	Low Output (250-5,000 lm)	\$65
		Mid Output (>5,000-10,000 lm)	\$80
		High Output (>10,000 lm)	\$90
S8	LED Retrofit Kits for Streetlights, Parking Lot Lights and Fuel Pump Canopy Fixtures	<80W	\$100
		>80-<100W	\$125
		≥100-<200W	\$175
		≥200W	\$200
S11	Outdoor Pole-Mounted LED Streetlight or Parking Lot Fixture	<50W	\$80
		≥50-<100W	\$130
		≥100-<250W	\$180
		≥250W	\$600
S13	Outdoor Wall-Mounted & LED Area Fixture (Wallpack)	N/A	\$100
S17	LED Canopy or Parking Garage Fixtures	<50W	\$70
		≥50-<80W	\$100
		≥80-<130W	\$75
		≥130W	\$70
S23	LED Flood and Spot Lights	LED Flood/Spot <50W	\$125
		LED Flood/Spot 50-100W	\$200
		LED Flood/Spot >100W	\$250

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efficiencymaine.com

866-376-2463

6/20/2016/CommittedPart

*This information sheet is updated quarterly. The next update period will be 10/1/2017-12/31/2017.

**See Measure Code Reference Guides by Solution for specific measure eligibility criteria.

EXHIBIT C-13

TRANSFER OF OWNERSHIP FROM UTILITY TO PORTLAND INVENTORY

Refer to attached spreadsheet.

EXHIBIT C-14

PORTLAND OWNED INVENTORY (NO TRANSFER OF OWNERSHIP REQUIRED)

Refer to attached spreadsheet.



Finance Department
Brendan T. O'Connell, Director

MEMORANDUM

TO: Finance Committee (FC)

FROM: Brendan T. O'Connell - Finance Director,
Troy Moon – Sustainability Coordinator

DATE: September 22, 2017

SUBJECT: **Financing Recommendation – City Streetlights LED Conversion**

Executive Summary

In 2016 one of the City Council Common Goals for the Energy and Sustainability Committee was a plan to convert street lights to LED. City Council allocated funding (\$100,000 in FY17, \$500,000 in FY18) in each of the previous two rounds of Capital Improvement Plan project funding towards the conversion. In October, 2016 City staff released a request for proposals for LED streetlight conversion and selected The Efficiency Network Connected Solutions (“TCS”) to assist with the overall project. According to TCS, the overall project cost will be approximately \$8.6M. Furthermore, once all streetlights have been repurchased from Central Maine Power (“CMP”) and converted to LED the City will realize a savings of over \$900,000 per year – a combined reduction in kilowatt hours usage and removal of the lease/maintenance charges from our CMP bills.

To fund the project a total capital outlay of \$8.6M is required. A phased implementation approach is being recommended by City staff and the City Manager, with two \$4M phases in each of the next two years. This will help stagger the debt burden across multiple fiscal years and will also hedge against future replacement of all the LED lights being required at generally the same time. A 10-year, 2.05% municipal lease with Banc of America Public Capital Corp is being recommended for the financing. The interest rate is competitive, the lease will be subject to an annual appropriation clause by City Council, and the annual debt service costs from the lease will be more than offset if the savings projected by TCS are realized.

Additional Analysis - Cost of Streetlight Project

City staff has worked with TCS to develop a scope of work for the streetlight project that incorporates a variety of related lighting and smart cities projects to be implemented during the two phases of the project. The work assigned to each phase and its cost is highlighted below:

Preliminary work:

Purchase of CMP owned streetlight assets \$590,000 (predominantly already allocated CIP Funds)

Project Part 1:

CMP cobra head LED upgrade (new fixtures)	\$1,757,017
CMP flood and shoebox LED upgrade (new fixtures)	\$208,703
Fusing for CMP cobra heads, floods, and shoeboxes	\$343,901
Controls for CMP cobra heads, floods, and shoeboxes	\$544,694
Convert lighting in two parking garages to LED	\$62,633
Selected Smart City and lighting projects	\$1,083,052

These could include:

- Public Wi-Fi (approximately 100 locations)
- City Hall exterior lighting
- Athletic field lighting improvements
- Riverside GC solar charging station for golf carts
- Pilot project for decorative lighting
- Pilot emergency alert system using lights
- Tree trimming, pole painting, project contingency

Total Cost – Phase 1 **\$4,000,000**

Project Part 2:

CMP Holophane LED upgrade (50/50 retrofit/new)	\$421,077
CMP GE Town and Country LED upgrades (70/30 retrofit/new)	\$967,842
Portland decorative LED upgrades (50/50 retrofit/new)	\$602,422
Fusing for CMP Holophane and GE (not currently City owned)	\$71,998
Controls for all decorative lights	\$245,065
Selected Smart City and lighting projects	\$1,691,596

These could include:

- Public WiFi (between 150 – 300 additional locations)
- Athletic field lighting upgrades
- Intelligent traffic controls (Woodford Corner, Allen Corner)
- Electric vehicle charging stations
- Environmental sensors
- Tree trimming, pole painting, project contingency

Total Cost – Phase 2: **\$4,000,000**

Additional Analysis – Savings from Streetlight Project

TCS projects significant annual savings for the City of Portland once this project is completed. These savings result from a significant reduction in kilowatt hours used annually (i.e. the “generation” costs), a small

reduction in delivery costs (the cost charged by CMP to get their electricity to our locations) and a complete removal of the “equipment maintenance charge.” See Exhibit A below for complete breakdown.

Exhibit A – City of Portland Cost Savings Calculation as Provided by TCS

City of Portland - savings calculations

	kWh used prior to upgrade	cost breakdown	Old Rate (per kWh)	Old Cost to City (per yr)	New kWh post upgrade	New Rate (per kWh)	Post upgrade cost (/yr)
Portland CMP cobraheads	3,328,675	generation	\$0.05263	\$175,188	972,423	\$0.05263	\$51,179
		delivery	-	\$952,408		\$0.055332	\$53,806
		equip, maintnc				-	0
Portland decoratives	398,906	generation	\$0.05263	\$20,994	189,214	\$0.05263	\$9,958
		delivery	\$ 0.05529	\$22,057		\$0.055332	\$ 10,470
Portland Garages (2)	369,757	generation	\$0.05263	\$19,460	191,071	\$0.05263	\$10,056
		delivery	\$ 0.06	\$22,185		\$0.06	\$11,464
TOTALS:	4,097,338			\$1,212,293	1,352,708		\$146,933

Note: the \$0.05263/kWh rate is a blended rate, averaging the rates from Streetlight "A" (\$0.05835 from NextEra Energy) and "B" (\$0.469 from Constellation)

Potential Savings: **\$1,065,360**

- the \$0.055293 per kWh is from CMP rate SL, section 2, 'Delivery only'
- the \$0.055332 per kWh is the new CPM tariff rate, effective July 1, 2017 from CMP rate SL, section 2, 'Delivery only'
- the parking garage rate of "\$0.06" rate is estimated; TBD by CMP

As the Finance Director for the City and a practicing CPA professional skepticism must always be used over information provided by third parties. The reduction in kilowatt hours post-conversion to LED streetlights is backed up by a) our existing data from CMP, b) statistical / manufacturer information from the streetlight hardware chosen by TEN and City staff and, c) a full 10 year manufacturer's warranty for the street lights to be installed. In relation to the overall annual savings the total generation costs are relatively minor and we are confident they will be realized. Although the savings in dollars related to generation is relatively minor, the total reduction in kilowatt hours is incredibly significant and should be highlighted as a major potential success of the project as this helps the City achieve its stated goal of conserving energy and reducing greenhouse gas emissions.

The major savings from this project hinges on the total elimination of the nearly \$1M annual “lighting equipment” charge being levied on the City of Portland by CMP. According to TEN and our Sustainability Coordinator Troy Moon, the approximately \$952k annual charge will no longer appear on City utility bills from CMP after the City repurchases all of our own streetlights from CMP. The savings will be easy to measure as it is separately stated on all of our utility bills (see Exhibit B below for example).

Although the City will no longer receive the “lighting equipment charge” on our utility bills, the City will also no longer receive “equipment maintenance” services from CMP and will be required to maintain our own streetlights. This may require a contract with a third-party vendor but after the initial conversion failure rate of LED streetlights is projected by TCS to be very low. Finally, it is worth noting that CMP is a utility, which may set their charges to offset their cost of doing business. Although the City will no longer be paying the \$952k in “equipment maintenance charges” the loss of that revenue at CMP will need to be incorporated into their future charges and the City may see their share of increases. Without consideration of these additional costs, TCS has projected the City will see an annual operating savings of \$1,065,320.

Exhibit B – Sample City of Portland CMP Bill

ST LIGHTS	12/30/16	11/28/16	32		
Account Summary					
Prior balance					\$0.00
Payments received through 01/04/17				\$0.00+	\$0.00
Balance forward					
New charges					
Electricity Delivery: Central Maine Power (see detail below)				\$2,552.26+	
Electricity Supply: NextEra Energy Services ME LLC (see page 3)				\$637.42+ - Electricity	\$3,189.68
Total new charges					
Current Account Balance:				Please pay before 01/31/17	\$3,189.68

Central Maine Power Delivery Service Account Detail

Prior balance for Central Maine Power delivery				\$0.00	
Payments received				\$0.00+	\$0.00
Balance forward					
Current delivery charges					
Delivery Charges: Street Lights					
Sodium Enclosed 70W	222 units @	\$10.20			
Delivery Service	222 units @	\$1.88		\$417.36+	
Lighting Equipment	222 units @	\$8.32		\$1,847.04+	
Sodium Enclosed 100W	2 units @	\$11.28			
Delivery Service	2 units @	\$2.54		\$5.08+	
Lighting Equipment	2 units @	\$8.74		\$17.48+	
Sodium Flood 250W	2 units @	\$17.99			
Delivery Service	2 units @	\$5.92		\$11.84+	
Lighting Equipment	2 units @	\$12.07		\$24.14+	
Sodium Cut Off 70W	21 units @	\$10.92			
Delivery Service	21 units @	\$1.88		\$39.48+	
Lighting Equipment	21 units @	\$9.04		\$189.84+	
Delivery Service Charge				\$473.76+ - Delivery	
Lighting Equipment Charge				\$2,078.50+ - Equipment	
Total current delivery charges					\$2,552.26
Central Maine Power account balance					\$2,552.26

As demonstrated on the example bill, the lighting equipment charge of \$2,078.50 accounts for about 65% of the monthly streetlighting cost. This cost will no longer be assessed once the City purchases the existing lighting equipment.

Specifics – Municipal Lease Finance Recommendation and Annual Debt Service Costs

City staff solicited financing proposals from several different vendors and Bank of America had the lowest interest rate proposal for the project – 10 years at a fixed 2.05%. Under the scenario as provided to the vendor, the City would finance the first \$4M of the project in October 2017, with the first annual lease payments not due until FY19, subject to approval of the FY19 budget by City Council. The total cost of the first \$4M lease in the FY19 through FY28 operating budgets would only be approximately \$446k annually, significantly less than the overall \$1.065M project savings projections from TEN. Keep in mind, due to the phased approach of this project, a second \$4M lease would be brought to City Council in FY19, and that lease would add an additional \$450-\$550k in annual debt service costs to future City budgets (depending on interest rates). In

total, it is estimated that the \$8M of leases would add between \$900k and \$1M to the City operating budgets for each of the next 10 years. However, these lease payments are less than the projected annual savings from TEN.

Bank of America has provided a term sheet for the finance package with the following amortization plan for repayment over the course of ten years. Please note that it calls for semi-annual payments so there would be two payments per year. (Total of 20)

Sample 10 Year Amortization

Total Project Costs	4,000,000.00
Repayment Term (semi-annual)	20
Interest Rate	2.050%
Closing/Funding Date (est.)	10/20/17
Average Life	5.679

Pmt. No.	Year No.	Payment Date	Funding Amount	Payment Amount	Interest Portion	Principal Portion	Outstanding Balance
0		10/20/2017	4,000,000.00				4,000,000.00
1	1	7/15/2018		223,284.32	60,361.11	162,923.21	3,837,076.79
2	1	1/15/2019		223,284.32	39,330.04	183,954.28	3,653,122.51
3	2	7/15/2019		223,284.32	37,444.51	185,839.81	3,467,282.70
4	2	1/15/2020		223,284.32	35,539.65	187,744.67	3,279,538.03
5	3	7/15/2020		223,284.32	33,615.26	189,669.05	3,089,868.98
6	3	1/15/2021		223,284.32	31,671.16	191,613.16	2,898,255.81
7	4	7/15/2021		223,284.32	29,707.12	193,577.20	2,704,678.62
8	4	1/15/2022		223,284.32	27,722.96	195,561.36	2,509,117.26
9	5	7/15/2022		223,284.32	25,718.45	197,565.87	2,311,551.39
10	5	1/15/2023		223,284.32	23,693.40	199,590.92	2,111,960.47
11	6	7/15/2023		223,284.32	21,647.59	201,636.72	1,910,323.75
12	6	1/15/2024		223,284.32	19,580.82	203,703.50	1,706,620.25
13	7	7/15/2024		223,284.32	17,492.86	205,791.46	1,500,828.79
14	7	1/15/2025		223,284.32	15,383.50	207,900.82	1,292,927.97
15	8	7/15/2025		223,284.32	13,252.51	210,031.81	1,082,896.16
16	8	1/15/2026		223,284.32	11,099.69	212,184.63	870,711.53
17	9	7/15/2026		223,284.32	8,924.79	214,359.53	656,352.00
18	9	1/15/2027		223,284.32	6,727.61	216,556.71	439,795.29
19	10	7/15/2027		223,284.32	4,507.90	218,776.42	221,018.87
20	10	1/15/2028		223,284.32	2,265.44	221,018.87	0.00
				4,465,686.36	465,686.36	4,000,000.00	

Cash Flow Analysis:

As indicated, project savings will cover the annual finance costs and should allow some additional savings in the operational budget during the life of the lease agreement. TCS ran a cash flow analysis which demonstrates a comparison of savings versus financing costs. The financing scenario assumes phase two projects would be financed over nine years instead of ten so that the entire project is paid off in ten years and that the interest rate for Phase 2 is comparable to the 2.05% offered by Bank of America for Phase 1.

YEAR	PROJECT SAVINGS				PROJECT FINANCING COSTS			NET CASHFLOW
	UTILITY SAVINGS	UTILITY SAVINGS	TRANSFER OF OWNERSHIP	GUARANTEE D DOLLAR SAVINGS AMOUNTS	DEBT SERVICE PHASE 1	DEBT SERVICE PHASE 2	TOTAL DEBT SERVICE	
	PART 1	PART 2	SAVINGS ⁽²⁾					
Year 1	\$210,983	\$0	\$738,439	\$949,422	(\$446,472)	0	(\$446,472)	\$502,950
Year 2	\$215,202	\$107,601	\$753,208	\$1,076,011	(\$446,472)	(\$491,232)	(\$937,704)	\$138,308
Year 3	\$219,506	\$109,753	\$768,272	\$1,097,532	(\$446,472)	(\$491,232)	(\$937,704)	\$159,828
Year 4	\$223,896	\$111,948	\$783,638	\$1,119,482	(\$446,472)	(\$491,232)	(\$937,704)	\$181,779
Year 5	\$228,374	\$114,187	\$799,310	\$1,141,872	(\$446,472)	(\$491,232)	(\$937,704)	\$204,168
Year 6	\$232,942	\$116,471	\$815,297	\$1,164,709	(\$446,472)	(\$491,232)	(\$937,704)	\$227,006
Year 7	\$237,601	\$118,800	\$831,603	\$1,188,004	(\$446,472)	(\$491,232)	(\$937,704)	\$250,300
Year 8	\$242,353	\$121,176	\$848,235	\$1,211,764	(\$446,472)	(\$491,232)	(\$937,704)	\$274,060
Year 9	\$247,200	\$123,600	\$865,199	\$1,235,999	(\$446,472)	(\$491,232)	(\$937,704)	\$298,295
Year 10	\$252,144	\$126,072	\$882,503	\$1,260,719	(\$446,472)	(\$491,232)	(\$937,704)	\$323,015
TOTAL	\$2,310,201	\$1,049,609	\$8,085,704	\$11,445,514	(\$4,464,719)	(\$4,421,087)	(\$8,885,806)	\$2,559,709

As indicated, project savings exceed costs by over \$2.5 million over the life of the lease. If the savings attributed to the project fail to materialize, TCS has agreed to reimburse the City for the amount of the shortfall as part of its guaranteed savings contract.

This project offers the City an excellent opportunity to improve public safety by improving the overall quality of light in the City while conserving energy and financial resources. We would be happy to answer any questions you may have about it.